

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED
Registration Number : 147 dated 10 May 2011

| PUBLIC DISCLOSURES FOR THE HALF YEAR ENDED 30 SEPTEMBER 2021 | | |
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Form L-1-A-RA

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Registration Number : 147 dated 10 May 2011

REVENUE ACCOUNT FOR THE QUARTER ENDED 30 SEPTEMBER 2021

POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT)

(₹ in Lac)

| Particulars | Schedule | Linked Business | | | | Participating | | | Non-Linked Business | | | | | | Grand Total |
|--|------------|-----------------|---------------------------|------------|---------------|----------------|------------------------|---------------|---------------------|----------------------------|------------|---------------|----------------|---------------|---------------|
| | | Individual Life | Individual Linked Pension | Group | TOTAL | Individual Par | Individual Par Pension | TOTAL | Individual Non Par | Individual Non Par Annuity | Health | Group Non Par | Group Variable | TOTAL | |
| Premium earned-net | L-4 | 6,970 | 59 | 341 | 7,370 | 12,348 | 179 | 12,527 | 13,246 | 532 | 62 | 675 | 7 | 14,523 | 34,419 |
| (a) Premium | | -17 | - | - | -17 | -18 | - | -18 | -564 | - | -14 | -226 | - | -803 | (838) |
| (b) Reinsurance ceded | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Sub Total | | 6,953 | 59 | 341 | 7,353 | 12,330 | 179 | 12,509 | 12,683 | 532 | 48 | 450 | 7 | 13,720 | 33,581 |
| Income from Investments | | 745 | 26 | 89 | 860 | 1,399 | 165 | 1,565 | 3,612 | 87 | 14 | 265 | 48 | 4,025 | 6,450 |
| (a) Interest, Dividend & Rent (Net) | | 5,863 | 228 | 251 | 6,341 | 425 | 70 | 494 | 461 | - | - | 1 | - | 462 | 7,299 |
| (b) Profit on sale / redemption of investments | | -252 | -12 | -19 | -283 | -95 | -9 | -104 | -2 | - | - | - | -0 | -2 | (388) |
| (c) (Loss on sale / redemption of investments) | | 6,325 | 14 | 22 | 6,361 | - | - | - | 130 | - | - | - | - | 130 | 6,490 |
| (d) Transfer /Gain on revaluation / change in fair value* | | 12,681 | 256 | 344 | 13,281 | 1,729 | 227 | 1,955 | 4,202 | 87 | 14 | 265 | 48 | 4,615 | 19,850 |
| Sub Total | | | | | | | | | | | | | | | |
| Contribution from Shareholders' Account towards Excess EOM | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Contribution from Shareholders' Account | | 875 | 37 | 34 | 947 | 1,726 | - | 1,726 | 2,627 | 205 | - | 855 | - | 3,687 | 6,360 |
| Income on Unclaimed amount of Policyholders | | - | - | - | - | - | - | - | 2 | - | - | - | - | 2 | 2 |
| Other Income | | 0 | - | - | 0 | 11 | - | 11 | 55 | 0 | 0 | - | - | 55 | 65 |
| Sub Total | | 875 | 37 | 34 | 947 | 1,737 | - | 1,737 | 2,684 | 205 | 0 | 855 | - | 3,744 | 6,427 |
| Total (A) | | | | | | | | | | | | | | | 59,858 |
| Commission Expenses | L-5 L-6 | - | - | - | - | - | - | - | - | - | - | - | - | - | 2,556 |
| Operating Expenses related to Insurance Business \$ | | - | - | - | - | - | - | - | - | - | - | - | - | - | 12,578 |
| GST/Service Tax on Charges | | - | - | - | - | - | - | - | - | - | - | - | - | - | 191 |
| Provision for Doubtful debts | | - | - | - | - | - | - | - | - | - | - | - | - | - | 21 |
| Bad debt written off | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Provision for Tax | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | -1 | - | -1 | -118 | - | - | - | - | -118 | (118) |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) Provision for Standard and non standard assets | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total (B) | | 1,903 | 56 | 47 | 2,006 | 5,586 | 13 | 5,599 | 7,126 | 213 | 26 | 256 | 3 | 7,623 | 15,228 |
| Benefits Paid (Net) | L-7 | 2,599 | 207 | 70 | 2,876 | 1,090 | 60 | 1,151 | 2,447 | 116 | 13 | 1,863 | 14 | 4,455 | 8,480 |
| Interim Bonuses Paid | | - | - | - | - | 0 | 0 | 1 | - | - | - | - | - | - | 1 |
| Change in valuation of liability in respect of life policies | | 16,009 | 89 | 604 | 16,702 | 9,119 | 284 | 9,403 | 10,162 | 495 | 25 | -569 | 32 | 10,146 | 36,251 |
| (a) Gross ** | | - | - | - | - | - | - | - | -169 | - | - | 20 | - | -149 | (149) |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Amount accepted in Reinsurance | | 18,607 | 296 | 674 | 19,578 | 10,209 | 345 | 10,554 | 12,441 | 612 | 38 | 1,314 | 47 | 14,451 | 44,583 |
| Total (C) | | | | | | | | | | | | | | | |
| SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C) | | - | - | (3) | (3) | - | 47 | 47 | - | - | (3) | - | 6 | 3 | 47 |
| Appropriations | | | | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Balance being Funds for Future Appropriations (PAR) | | - | - | - | - | - | 47 | 47 | - | - | - | - | - | - | 47 |
| Revenue Surplus transferred to Balance Sheet (NON PAR) | | - | - | -3 | -3 | - | - | - | - | - | -3 | - | 6 | 3 | (0) |
| TOTAL (D) | | - | - | (3) | (3) | - | 47 | 47 | - | - | (3) | - | 6 | 3 | 47 |
| NOTES: | | | | | | | | | | | | | | | |
| * Represents the deemed realised gain as per norms specified by the Authority. | | | | | | | | | | | | | | | |
| **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ Nil thousands for the current year (previous year ₹ Nil Lac)] | | | | | | | | | | | | | | | |
| The total surplus is disclosed below: | | | | | | | | | | | | | | | |
| (a) Interim Bonuses Paid | | - | - | - | - | 0 | 0 | 1 | - | - | - | - | - | - | 1 |
| (b) Allocation of Bonus to Policyholders | | - | - | - | - | - | - | - | - | - | - | - | - | - | 0 |
| (c) Surplus shown in the Revenue Account | | - | - | -3 | -3 | - | 47 | 47 | - | - | -3 | - | 6 | 3 | 47 |
| (d) Total Surplus [(a) + (b) + (c)] | | - | - | -3 | -3 | 0 | 48 | 48 | - | - | -3 | - | 6 | 3 | 49 |

| Form L-1-A-RA | | | | | | | | | | | | | | | | |
|--|----------|-------------------------------------|---------------------------|--------|--------|---------------------|------------------------|--------|--------------------|----------------------------|--------|---------------|----------------|----------|-------------|--------|
| Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED | | | | | | | | | | | | | | | | |
| Registration Number : 147 dated 10 May 2011 | | | | | | | | | | | | | | | | |
| REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30 SEPTEMBER 2021 | | | | | | | | | | | | | | | | |
| POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT) | | | | | | | | | | | | | | | | |
| (₹ in Lac) | | | | | | | | | | | | | | | | |
| Particulars | Schedule | Linked Business | | | | Non-Linked Business | | | | | | | | | Grand Total | |
| | | Individual Life | Individual Linked Pension | Group | TOTAL | Individual Par | Individual Par Pension | TOTAL | Individual Non Par | Individual Non Par Annuity | Health | Group Non Par | Group Variable | TOTAL | | |
| Premium earned-net | L-4 | (a) Premium | 13,446 | 144 | 393 | 13,984 | 17,333 | 295 | 17,629 | 22,038 | 871 | 112 | 1,292 | 7 | 24,321 | 55,932 |
| (b) Reinsurance ceded | | -34 | - | - | -34 | -35 | - | -35 | -1,106 | - | -27 | -497 | - | -1,630 | -1,699 | |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Sub Total | | 13,412 | 144 | 393 | 13,949 | 17,299 | 295 | 17,594 | 20,933 | 871 | 85 | 795 | 7 | 22,691 | 54,233 | |
| Income from Investments | | (a) Interest, Dividend & Rent (Net) | 1,473 | 52 | 175 | 1,700 | 2,702 | 324 | 3,026 | 7,090 | 163 | 27 | 524 | 95 | 7,899 | 12,624 |
| (b) Profit on sale / redemption of investments | | 8,656 | 311 | 382 | 9,348 | 1,139 | 224 | 1,363 | 885 | 15 | - | 6 | 5 | 911 | 11,624 | |
| (c) (Loss on sale / redemption of investments) | | -707 | -43 | -199 | -949 | -160 | -28 | -188 | -398 | - | - | - | -0 | -398 | (1,535) | |
| (d) Transfer /Gain on revaluation / change in fair value* | | 13,481 | 147 | 252 | 13,880 | - | - | - | -577 | - | - | - | - | -577 | 13,302 | |
| Sub Total | | 22,902 | 468 | 610 | 23,979 | 3,681 | 520 | 4,201 | 7,000 | 178 | 27 | 531 | 100 | 7,834 | 36,015 | |
| Contribution from Shareholders' Account towards Excess EOM | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Contribution from Shareholders' Account | 1,416 | 45 | 34 | 1,496 | 3,762 | - | 3,762 | 5,589 | 256 | - | 1,232 | - | 7,077 | 12,335 | | |
| Income on Unclaimed amount of Policyholders | - | - | - | - | - | - | - | 4 | - | - | - | - | 4 | 4 | | |
| Other Income | -0 | - | - | -0 | 20 | - | 20 | 84 | 0 | 0 | - | - | 84 | 104 | | |
| Sub Total | 1,416 | 45 | 34 | 1,496 | 3,782 | - | 3,782 | 5,678 | 256 | 0 | 1,232 | - | 7,165 | 12,443 | | |
| Total (A) | 37,730 | 657 | 1,038 | 39,424 | 24,762 | 815 | 25,578 | 33,610 | 1,304 | 111 | 2,558 | 107 | 37,690 | 1,02,692 | | |
| Commission Expenses | L-5 | 231 | 43 | - | 274 | 1,836 | 6 | 1,842 | 1,805 | 109 | 11 | 27 | - | 1,953 | 4,069 | |
| Operating Expenses related to Insurance Business | | 2,958 | 27 | 51 | 3,036 | 8,249 | 19 | 8,267 | 11,894 | 208 | 31 | 549 | 3 | 12,685 | 23,988 | |
| GST/Service Tax on Charges | | 357 | 5 | 10 | 372 | - | - | - | - | - | - | 0 | 1 | 1 | 373 | |
| Provision for Doubtful debts | | 6 | 0 | 0 | 6 | 2 | 0 | 2 | 31 | 0 | 0 | 1 | -0 | 33 | 41 | |
| Bad debt written off | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Provision for Tax | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| (a) For diminution in the value of investments (Net) | | -59 | - | -44 | -102 | -6 | - | -6 | -493 | - | - | - | - | -493 | (601) | |
| (b) Provision for Standard and non standard assets | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Total (B) | | 3,493 | 75 | 17 | 3,586 | 10,081 | 25 | 10,106 | 13,238 | 317 | 42 | 577 | 4 | 14,179 | 27,870 | |
| Benefits Paid (Net) | L-7 | 5,057 | 423 | 126 | 5,606 | 1,693 | 99 | 1,792 | 3,617 | 216 | 19 | 3,037 | 112 | 7,001 | 14,399 | |
| Interim Bonuses Paid | | - | - | - | - | 1 | 0 | 1 | - | - | - | - | - | - | 1 | |
| Change in valuation of liability in respect of life policies | | (a) Gross ** | 29,181 | 158 | 894 | 30,233 | 12,987 | 584 | 13,571 | 17,378 | 770 | 40 | -1,115 | -20 | 17,053 | 60,857 |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | -624 | - | - | 59 | - | -565 | (565) | |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Total (C) | | 34,238 | 581 | 1,020 | 35,839 | 14,681 | 683 | 15,364 | 20,371 | 987 | 59 | 1,981 | 92 | 23,489 | 74,691 | |
| SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C) | | - | - | - | - | - | 107 | 108 | - | - | 10 | - | 11 | 22 | 131 | |
| Appropriations | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Balance being Funds for Future Appropriations (PAR) | - | - | - | - | - | 108 | 108 | - | - | - | - | - | - | 108 | | |
| Revenue Surplus transferred to Balance Sheet (NON PAR) | - | - | - | - | - | - | - | - | - | 10 | - | 11 | 21 | 21 | | |
| TOTAL (D) | - | - | - | - | - | 108 | 108 | - | - | 10 | - | 11 | 21 | 131 | | |
| NOTES: | | | | | | | | | | | | | | | | |
| * Represents the deemed realised gain as per norms specified by the Authority. | | | | | | | | | | | | | | | | |
| **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ Nil thousands for the current year (previous year ₹ Nil Lac)] | | | | | | | | | | | | | | | | |
| The total surplus is disclosed below: | | | | | | | | | | | | | | | | |
| (a) Interim Bonuses Paid | | - | - | - | - | 1 | 0 | 1 | - | - | - | - | - | - | 1 | |
| (b) Allocation of Bonus to Policyholders | | - | - | - | - | 0 | 0 | 0 | - | - | - | - | - | - | 0 | |
| (c) Surplus shown in the Revenue Account | | - | - | - | - | - | 108 | 108 | - | - | 10 | - | 11 | 21 | 129 | |
| (d) Total Surplus [(a) + (b)+ (c)]. | | - | - | - | - | 1 | 108 | 109 | - | - | 10 | - | 11 | 21 | 130 | |

| Form L-1-A-RA Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED Registration Number : 147 dated 10 May 2011 | | | | | | | | | | | | | | | |
|--|----------|-----------------|---------------------------|------------|---------------|----------------|------------------------|---------------|---------------------|----------------------------|-------------|---------------|----------------|---------------|---------------|
| REVENUE ACCOUNT FOR THE QUARTER ENDED 30 SEPTEMBER 2020 | | | | | | | | | | | | | | | |
| POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT) | | | | | | | | | | | | | | | (₹ in Lac) |
| Particulars | Schedule | Linked Business | | | | Participating | | | Non-Linked Business | | | | | | Grand Total |
| | | Individual Life | Individual Linked Pension | Group | TOTAL | Individual Par | Individual Par Pension | TOTAL | Individual Non Par | Individual Non Par Annuity | Health | Group Non Par | Group Variable | TOTAL | |
| Premium earned-net | | | | | | | | | | | | | | | |
| (a) Premium | | 6,106 | 134 | 20 | 6,260 | 9,818 | 279 | 10,096 | 11,502 | - | 70 | 630 | 32 | 12,234 | 28,591 |
| (b) Reinsurance ceded | | -14 | - | - | -14 | -11 | - | -11 | -500 | - | -13 | -407 | - | -920 | (945) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Sub Total | | 6,092 | 134 | 20 | 6,246 | 9,806 | 279 | 10,085 | 11,003 | - | 57 | 223 | 32 | 11,315 | 27,646 |
| Income from Investments | | | | | | | | | | | | | | | |
| (a) Interest, Dividend & Rent (Net) | | 593 | 30 | 83 | 706 | 1,036 | 130 | 1,166 | 2,793 | 79 | 12 | 265 | 49 | 3,198 | 5,070 |
| (b) Profit on sale / redemption of investments | | 3,113 | 140 | 331 | 3,583 | 601 | 101 | 702 | 1,126 | - | - | 35 | 33 | 1,194 | 5,479 |
| (c) (Loss on sale / redemption of investments) | | -2,246 | -43 | -43 | -2,332 | -106 | -27 | -133 | -449 | - | - | - | - | -449 | (2,914) |
| (d) Transfer /Gain on revaluation / change in fair value* | | 5,052 | 37 | -113 | 4,975 | - | - | - | - | - | - | - | - | - | 4,975 |
| Sub Total | | 6,512 | 164 | 257 | 6,933 | 1,531 | 204 | 1,735 | 3,469 | 79 | 12 | 299 | 83 | 3,942 | 12,610 |
| Contribution from Shareholders' Account towards Excess EOM | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Contribution from Shareholders' Account | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Income on Unclaimed amount of Policyholders | | -6 | - | - | -6 | 3,901 | - | 3,901 | 2,645 | -36 | - | - | -31 | 2,577 | 6,473 |
| Other Income | | 0 | - | - | 0 | 7 | - | 7 | 135 | 0 | - | - | - | 135 | 142 |
| Sub Total | | (6) | - | - | (6) | 3,908 | - | 3,908 | 2,783 | (36) | - | - | (31) | 2,716 | 6,618 |
| Total (A) | | 12,599 | 298 | 277 | 13,173 | 15,245 | 483 | 15,728 | 17,255 | 43 | 69 | 522 | 84 | 17,972 | 46,874 |
| Commission Expenses | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Operating Expenses related to Insurance Business \$ | | 119 | 3 | - | 122 | 1,380 | 6 | 1,386 | 1,028 | 1 | 2 | 12 | - | 1,043 | 2,550 |
| GST/Service Tax on Charges | | 584 | 5 | 2 | 591 | 6,896 | 12 | 6,908 | 4,526 | -8 | 21 | 226 | 4 | 4,769 | 12,268 |
| Provision for Doubtful debts | | 148 | 3 | 4 | 155 | - | - | - | - | - | - | - | 1 | 1 | 155 |
| Bad debt written off | | 0 | 0 | 0 | 0 | 4 | 0 | 4 | -5 | -0 | -0 | 0 | - | -5 | (0) |
| Provision for Tax | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) Provision for Standard and non standard assets | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total (B) | | 851 | 11 | 6 | 868 | 8,280 | 18 | 8,298 | 5,549 | -7 | 23 | 238 | 5 | 5,808 | 14,974 |
| Benefits Paid (Net) | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Interim Bonuses Paid | | 926 | 3 | 56 | 985 | 396 | 33 | 429 | 725 | 72 | 29 | 899 | 858 | 2,584 | 3,999 |
| Change in valuation of liability in respect of life policies | | - | - | - | - | 1 | 0 | 1 | - | - | - | - | - | - | 1 |
| (a) Gross ** | | 10,821 | 279 | 218 | 11,318 | 6,559 | 408 | 6,967 | 11,665 | -26 | 29 | -964 | -796 | 9,907 | 28,192 |
| (b) Amount ceded in Reinsurance | | 0 | - | - | 0 | 9 | - | 9 | -684 | - | - | 34 | - | -650 | (641) |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total (C) | | 11,747 | 283 | 274 | 12,303 | 6,965 | 441 | 7,406 | 11,706 | 46 | 58 | -30 | 62 | 11,842 | 31,551 |
| SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C) | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Appropriations | | - | 5 | (3) | 2 | - | 24 | 24 | - | 4 | (12) | 314 | 17 | 323 | 349 |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Balance being Funds for Future Appropriations (PAR) | | - | - | - | - | - | 24 | 24 | - | - | - | - | - | - | 24 |
| Revenue Surplus transferred to Balance Sheet (NON PAR) | | - | 5 | -3 | 2 | - | - | - | - | 4 | -12 | 314 | 17 | 323 | 325 |
| TOTAL (D) | | - | 5 | (3) | 2 | - | 24 | 24 | - | 4 | (12) | 314 | 17 | 323 | 349 |
| NOTES: | | | | | | | | | | | | | | | |
| * Represents the deemed realised gain as per norms specified by the Authority. | | | | | | | | | | | | | | | |
| **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ Nil thousands for the current year (previous year ₹ Nil Lac)] | | | | | | | | | | | | | | | |
| The total surplus is disclosed below: | | | | | | | | | | | | | | | |
| (a) Interim Bonuses Paid | | - | - | - | - | 1 | 0 | 1 | - | - | - | - | - | - | 1 |
| (b) Allocation of Bonus to Policyholders | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account | | - | 5 | -3 | 2 | - | 24 | 24 | - | 4 | -12 | 314 | 17 | 323 | 349 |
| (d) Total Surplus [(a)+ (b)+ (c)] | | - | 5 | -3 | 2 | 1 | 24 | 25 | - | 4 | -12 | 314 | 17 | 323 | 350 |

| Form L-1-A-RA Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED Registration Number : 147 dated 10 May 2011 | | | | | | | | | | | | | | | |
|--|----------|-----------------|---------------------------|------------|---------------|----------------|------------------------|---------------|---------------------|----------------------------|------------|---------------|----------------|---------------|---------------|
| REVENUE ACCOUNT FOR THE HALF YEAR ENDED 30 SEPTEMBER 2020 | | | | | | | | | | | | | | | |
| POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT) | | | | | | | | | | | | | | | (₹ in Lac) |
| Particulars | Schedule | Linked Business | | | | Participating | | | Non-Linked Business | | | | | | Grand Total |
| | | Individual Life | Individual Linked Pension | Group | TOTAL | Individual Par | Individual Par Pension | TOTAL | Individual Non Par | Individual Non Par Annuity | Health | Group Non Par | Group Variable | TOTAL | |
| Premium earned-net | | | | | | | | | | | | | | | |
| (a) Premium | | 12,446 | 276 | 53 | 12,774 | 12,377 | 350 | 12,727 | 17,949 | 119 | 122 | 1,238 | 32 | 19,460 | 44,961 |
| (b) Reinsurance ceded | | -40 | - | - | -40 | -21 | - | -21 | -970 | - | -26 | -786 | - | -1,782 | (1,843) |
| (c) Reinsurance accepted | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Sub Total | | 12,406 | 276 | 53 | 12,734 | 12,356 | 350 | 12,706 | 16,979 | 119 | 95 | 452 | 32 | 17,677 | 43,118 |
| Income from Investments | | | | | | | | | | | | | | | |
| (a) Interest, Dividend & Rent (Net) | | 980 | 54 | 163 | 1,197 | 1,977 | 248 | 2,225 | 5,383 | 155 | 23 | 515 | 111 | 6,186 | 9,609 |
| (b) Profit on sale / redemption of investments | | 4,522 | 178 | 461 | 5,160 | 702 | 126 | 829 | 3,108 | - | - | 35 | 33 | 3,176 | 9,165 |
| (c) (Loss on sale / redemption of investments) | | -4,384 | -169 | -172 | -4,725 | -305 | -76 | -381 | -477 | - | - | -4 | -17 | -499 | (5,605) |
| (d) Transfer /Gain on revaluation / change in fair value* | | 15,235 | 401 | 368 | 16,003 | - | - | - | - | - | - | - | - | - | 16,003 |
| Sub Total | | 16,352 | 464 | 819 | 17,635 | 2,374 | 299 | 2,673 | 8,014 | 155 | 23 | 545 | 127 | 8,864 | 29,172 |
| Contribution from Shareholders' Account towards Excess EOM | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Contribution from Shareholders' Account | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Income on Unclaimed amount of Policyholders | | 1,859 | - | - | 1,859 | 5,705 | - | 5,705 | 6,903 | - | - | - | - | 6,903 | 14,467 |
| Other Income | | - | - | - | - | - | - | - | 5 | - | - | - | - | 5 | 5 |
| Sub Total | | 1 | - | - | 1 | 13 | - | 13 | 162 | 0 | - | - | - | 162 | 175 |
| Total (A) | | 30,617 | 740 | 872 | 32,229 | 20,449 | 649 | 21,098 | 32,063 | 274 | 119 | 997 | 159 | 33,611 | 86,938 |
| Commission Expenses | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Operating Expenses related to Insurance Business | | 253 | 5 | - | 259 | 1,662 | 3 | 1,665 | 1,816 | 3 | 3 | 25 | - | 1,848 | 3,771 |
| GST/Service Tax on Charges | | 3,053 | 14 | 8 | 3,075 | 9,429 | 22 | 9,451 | 12,573 | 29 | 45 | 619 | 7 | 13,273 | 25,799 |
| Provision for Doubtful debts | | 297 | 5 | 8 | 311 | - | - | - | - | - | - | - | 1 | 1 | 312 |
| Bad debt written off | | 0 | 0 | 0 | 0 | 4 | 0 | 4 | 25 | -0 | -0 | 0 | - | 25 | 29 |
| Provision for Tax | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Provisions (other than taxation) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) Provision for Standard and non standard assets | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total (B) | | 3,604 | 25 | 16 | 3,645 | 11,095 | 25 | 11,120 | 14,414 | 32 | 48 | 644 | 8 | 15,146 | 29,911 |
| Benefits Paid (Net) | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Interim Bonuses Paid | | 1,519 | 4 | 201 | 1,724 | 618 | 36 | 654 | 891 | 112 | 33 | 1,321 | 1,146 | 3,503 | 5,881 |
| Change in valuation of liability in respect of life policies | | - | - | - | - | 1 | 0 | 1 | - | - | - | - | - | - | 1 |
| (a) Gross ** | | 25,494 | 703 | 650 | 26,847 | 8,726 | 561 | 9,287 | 17,752 | 126 | 34 | -1,367 | -1,012 | 15,532 | 51,666 |
| (b) Amount ceded in Reinsurance | | 0 | - | - | 0 | 9 | - | 9 | -994 | - | - | 59 | - | -935 | (926) |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Total (C) | | 27,013 | 707 | 851 | 28,572 | 9,354 | 597 | 9,951 | 17,649 | 238 | 66 | 13 | 134 | 18,100 | 56,623 |
| SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C) | | - | 8 | 5 | 13 | - | 27 | 27 | - | 4 | 4 | 340 | 17 | 365 | 404 |
| Appropriations | | | | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | 0 | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Balance being Funds for Future Appropriations (PAR) | | - | - | - | - | - | 27 | 27 | - | - | - | - | - | - | 27 |
| Revenue Surplus transferred to Balance Sheet (NON PAR) | | - | 8 | 5 | 13 | - | - | - | - | 4 | 4 | 340 | 17 | 365 | 377 |
| TOTAL (D) | | - | 8 | 5 | 13 | - | 27 | 27 | - | 4 | 4 | 340 | 17 | 365 | 404 |
| NOTES: | | | | | | | | | | | | | | | |
| * Represents the deemed realised gain as per norms specified by the Authority. | | | | | | | | | | | | | | | |
| **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ Nil thousands for the current year (previous year ₹ Nil Lac)] | | | | | | | | | | | | | | | |
| The total surplus is disclosed below: | | | | | | | | | | | | | | | |
| (a) Interim Bonuses Paid | | - | - | - | - | 1 | 0 | 1 | - | - | - | - | - | - | 1 |
| (b) Allocation of Bonus to Policyholders | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Surplus shown in the Revenue Account | | - | 8 | 5 | 13 | - | 27 | 27 | - | 4 | 4 | 340 | 17 | 365 | 404 |
| (d) Total Surplus [(a) + (b)+ (c)]. | | - | 8 | 5 | 13 | 1 | 27 | 28 | - | 4 | 4 | 340 | 17 | 365 | 406 |

PROFIT AND LOSS ACCOUNT FOR THE HALF YEAR ENDED 30 SEPTEMBER 2021

SHAREHOLDERS' ACCOUNT (NON-TECHNICAL ACCOUNT)

(₹ in Lac)

| Particulars | SCHEDULE | For the Quarter Ended 30 September 2021 | For the Half Year Ended 30 September 2021 | For the Quarter Ended 30 September 2020 | For the Half Year Ended 30 September 2020 |
|---|----------|--|---|--|--|
| Amounts transferred from the Policyholders' Account (Technical Account) | | | - | - | - |
| Income from investments | | | | | |
| (a) Interest, Dividend & Rent (Net) | | 516 | 879 | 571 | 1,291 |
| (b) Profit on sale / redemption of investments | | 405 | 863 | 431 | 534 |
| (c) (Loss on sale / redemption of investments) | | (21) | (879) | (98) | (275) |
| (d) Transfer /Gain/(Loss) on revaluation / change in fair value | | - | - | - | - |
| Sub Total | | 900 | 863 | 904 | 1,550 |
| Other income | | - | - | 3 | 3 |
| Total (A) | | 900 | 863 | 907 | 1,554 |
| Expenses other than those directly related to the insurance business | 3A | 27 | 49 | 24 | 34 |
| Contribution towards the remuneration of MD/CEOs/WTDS | | 280 | 280 | 85 | 85 |
| Bad debts written off | | - | - | - | - |
| Provisions (Other than taxation) | | - | - | - | - |
| (a) For diminution in the value of investment (Net) | | (709) | (1,667) | - | - |
| (b) Provision for doubtful debts | | - | - | - | - |
| (c) Others | | - | - | - | - |
| Contribution to the Policyholders' Account towards Excess EOM | | - | - | - | - |
| Contribution to the Policyholders' Account | | 6,360 | 12,335 | 6,473 | 14,467 |
| Total (B) | | 5,958 | 10,997 | 6,582 | 14,586 |
| Profit / (Loss) before tax | | (5,058) | (10,134) | (5,674) | (13,032) |
| Provision for taxation | | | | | |
| For Current Year | | - | - | - | - |
| For earlier years | | - | - | - | - |
| Profit / (Loss) after tax | | (5,058) | (10,134) | (5,674) | (13,032) |
| APPROPRIATIONS | | | | | |
| (a) Balance at the beginning of the year | | (1,62,899) | (1,62,899) | (1,39,330) | (1,39,330) |
| (b) Interim dividends paid during the year | | - | - | - | - |
| (c) Proposed final dividend | | - | - | - | - |
| (d) Dividend distribution tax | | - | - | - | - |
| (e) Transfer to reserves / other accounts | | - | - | - | - |
| Profit / (Loss) carried to the Balance Sheet | | (1,67,957) | (1,73,033) | (1,45,004) | (1,52,362) |

Form L-3- A-BS

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Registration Number : 147 dated 10 May 2011

BALANCE SHEET AS AT 30 SEPTEMBER 2021

(₹ in Lac)

| PARTICULARS | SCHEDULE | As at 30 September 2021 | As at 30 September 2020 |
|---|----------|----------------------------|----------------------------|
| SOURCES OF FUNDS | | | |
| Shareholders' funds | | | |
| Share capital | L-8, L-9 | 46,555 | 31,262 |
| Reserves and surplus | L-10 | 1,71,192 | 1,68,826 |
| Credit/(debit) fair value change account | | 746 | 433 |
| Sub-total | | 2,18,493 | 2,00,521 |
| Borrowings | L-11 | - | - |
| Policyholders' Funds | | | |
| Credit/(Debit) Fair Value Change Account | | 5,428 | 1,741 |
| Policy liabilities | | 2,95,345 | 2,23,413 |
| Insurance reserves | | - | - |
| Provision for Linked Liabilities | | 1,17,573 | 82,098 |
| Add: Credit/(Debit) Fair Value Change Account | | 28,949 | 3,450 |
| Total Provision for Linked Liabilities | | 1,46,522 | 85,548 |
| Sub-total | | 4,47,295 | 3,10,702 |
| Funds for Discontinued Policies | | | |
| Discontinued on account of non-payment of premium-Linked | | 18,813 | 15,469 |
| Others | | - | - |
| Funds for Future Appropriations (PAR) | | 731 | 515 |
| Surplus in Revenue account (Non PAR) | | 21 | 377 |
| Total | | 6,85,353 | 5,27,586 |
| APPLICATION OF FUNDS | | | |
| Investments | | | |
| Shareholders' | L-12 | 30,928 | 29,794 |
| Policyholders' | L-13 | 2,91,669 | 2,19,831 |
| Assets held to cover linked liabilities | L-14 | 1,65,334 | 1,01,018 |
| Loans | L-15 | 1,781 | 1,253 |
| Fixed assets | L-16 | 8,299 | 9,817 |
| Current assets | | - | - |
| Cash and bank balances | L-17 | 6,077 | 5,013 |
| Advances and other assets | L-18 | 39,351 | 31,173 |
| Sub-total (A) | | 45,428 | 36,186 |
| Current liabilities | L-19 | 30,653 | 22,323 |
| Provisions | L-20 | 466 | 349 |
| Sub-total (B) | | 31,119 | 22,672 |
| Net Current Assets (C) = (A - B) | | 14,309 | 13,514 |
| Miscellaneous expenditure (to the extent not written off or adjusted) | L-21 | - | - |
| Debit Balance in Profit and Loss Account (Shareholders' Account) | | 1,73,033 | 1,52,361 |
| Debit Balance in Revenue Account (Policyholders' Account) | | - | - |
| Total | | 6,85,353 | 5,27,586 |

Contingent Liabilities

| PARTICULARS | AS at 30 September 2021 | AS at 30 September 2020 |
|---|----------------------------|----------------------------|
| Partly-paid up investments | - | - |
| Claims, other than against policies, not acknowledged as debts by the Company | - | - |
| Guarantees given by or on behalf of the Company | - | - |
| Underwriting commitments outstanding (in respect of shares and securities) | - | - |
| Statutory demands / liabilities in dispute, not provided for | - | 354 |
| Reinsurance obligations to the extent not provided for in accounts | - | - |
| Others (Claims against policies under litigation) | 84 | 39 |

* Show cause notices issued by various Tax Authorities are not considered as obligation. When any demand notice is raised by the tax authorities, these are disclosed as contingent liability except in cases where the probability of any financial outflow is remote.

FORM L-4-PREMIUM SCHEDULE
PREMIUM

(₹ in Lac)

| | PARTICULARS | For the Quarter Ended 30 September 2021 | For the Half Year Ended 30 September 2021 | For the Quarter Ended 30 September 2020 | For the Half Year Ended 30 September 2020 |
|---|---|--|---|--|---|
| 1 | First year premiums | 9,760 | 15,539 | 9,394 | 15,336 |
| 2 | Renewal Premiums | 23,069 | 38,017 | 18,854 | 28,926 |
| 3 | Single Premiums | 1,590 | 2,377 | 343 | 699 |
| | TOTAL PREMIUM | 34,419 | 55,933 | 28,591 | 44,961 |
| | Premium Income from Business written : | | | | |
| | In India | 34,419 | 55,933 | 28,591 | 44,961 |
| | Outside India | - | - | - | - |

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-5- Commission Schedule

COMMISSION

(₹ in Lac)

| PARTICULARS | For the Quarter Ended 30 September 2021 | For the Half Year Ended 30 September 2021 | For the Quarter Ended 30 September 2020 | For the Half Year Ended 30 September 2020 |
|--|--|--|--|--|
| Commission | | | | |
| Direct – First year premiums | 1,861 | 2,931 | 1,834 | 2,729 |
| - Renewal premiums | 548 | 880 | 527 | 739 |
| - Single premiums | 26 | 38 | 7 | 13 |
| Gross Commission | 2,434 | 3,848 | 2,369 | 3,481 |
| Add: Commission on Re-insurance Accepted | - | - | - | - |
| Less: Commission on Re-insurance Ceded | - | - | - | - |
| Net Commission | 2,434 | 3,848 | 2,369 | 3,481 |
| Rewards | 122 | 221 | 182 | 290 |
| TOTAL | 2,556 | 4,069 | 2,550 | 3,771 |
| Channel wise break-up of Commission and Rewards (Excluding Reinsurance commission): | | | | |
| Individual agents | 1,568 | 2,447 | 1,659 | 2,473 |
| Corporate Agents -Others | 809 | 1,250 | 586 | 848 |
| Brokers | 179 | 371 | 304 | 448 |
| Micro Agents | - | - | - | - |
| Direct Business - Online | - | - | - | - |
| Direct Business - Others | - | - | - | - |
| Common Service Centre (CSC) | - | - | - | - |
| Web Aggregators | 0 | 1 | 1 | 2 |
| IMF | - | - | - | - |
| Others (Please Specify, for e.g. POS) | - | - | - | - |
| Commission and Rewards on (Excluding Reinsurance) Business written : | | | | |
| In India | 2,556 | 4,069 | 2,550 | 3,771 |
| Outside India | - | - | - | - |

L-6- Operating Expenses Schedule

(₹ in Lac)

| Particulars | For the Quarter Ended 30 September 2021 | For the Half Year Ended 30 September 2021 | For the Quarter Ended 30 September 2020 | For the Half Year Ended 30 September 2020 |
|--|--|--|--|--|
| Employees' remuneration & welfare benefits | 7,371 | 13,451 | 6,783 | 14,664 |
| Travel, conveyance and vehicle running expenses | 38 | 126 | 117 | 247 |
| Training expenses | 83 | 112 | 172 | 226 |
| Rent, rates & taxes | 307 | 813 | 582 | 1,213 |
| Repairs & Maintenance | 197 | 469 | 219 | 451 |
| Printing & stationery | 45 | 77 | 16 | 54 |
| Communication expenses | 44 | 116 | 91 | 208 |
| Legal & professional charges | 279 | 539 | 285 | 509 |
| Medical Fees | 37 | 102 | 32 | 149 |
| Auditors' Fees, expenses, etc. | - | - | - | - |
| (a) as auditor | 7 | 14 | 7 | 14 |
| (b) as adviser or in any other capacity, in respect of | - | - | - | - |
| (i) Taxation matters | - | - | - | - |
| (ii) Insurance matters | - | - | - | - |
| (iii) Management services | - | - | - | - |
| (c) in any other capacity | 2 | 4 | 2 | 4 |
| (d) Out of pocket expenses | 0 | 1 | 1 | 1 |
| Advertisement & publicity | 1,746 | 2,879 | 1,412 | 2,516 |
| Bank charges | 67 | 137 | 58 | 122 |
| Others: | - | - | - | - |
| (a) Business Development, Marketing & Sales Promotion | 510 | 1,410 | 743 | 1,707 |
| (b) Stamp duty on policies | 73 | 132 | 64 | 176 |
| (c) Information Technology Cost | 507 | 1,129 | 608 | 1,131 |
| (d) Business Support Expenses | 232 | 520 | 255 | 779 |
| (e) (Profit)/Loss on sale of Fixed Assets | 55 | 54 | (43) | (43) |
| (f) General & Other Insurance Expenses | 58 | 133 | 50 | 110 |
| Depreciation | 844 | 1,631 | 758 | 1,475 |
| GST / Service Tax Expenses | 76 | 138 | 58 | 85 |
| Total | 12,578 | 23,988 | 12,270 | 25,798 |
| In India | 12,578 | 23,988 | 12,270 | 25,798 |
| Outside India | - | - | - | - |

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED
Form L-7- Benefits Paid Schedule [Net]

(₹ in Lac)

| | Particulars | For the Quarter Ended 30 September 2021 | For the Half Year Ended 30 September 2021 | For the Quarter Ended 30 September 2020 | For the Half Year Ended 30 September 2020 |
|---|---|--|--|--|--|
| 1. Insurance claims | | | | | |
| | (a) Claims by Death | 10,328 | 17,885 | 2,771 | 4,468 |
| | (b) Claims by Maturity | 46 | 46 | - | - |
| | (c) Annuities/Pension payment | 46 | 92 | 51 | 91 |
| | (d) Other benefits | - | - | - | - |
| | (i) Surrenders | 3,635 | 6,578 | 1,238 | 1,942 |
| | (ii) Survival benefit | 363 | 576 | 236 | 321 |
| | (iii) Guaranteed Addition | - | - | - | - |
| | (iv) Loyalty Addition | - | - | - | - |
| | (v) Others | - | - | - | - |
| | Health | 15 | 31 | 50 | 50 |
| | Withdrawals | 199 | 378 | 1,022 | 1,481 |
| | Income on Unclaimed amount of Policyholders | 3 | 5 | 0 | 6 |
| | Benefits Paid (Gross) | | | | |
| | In India | 14,635 | 25,591 | 5,368 | 8,359 |
| | Outside India | - | - | - | - |
| 2. (Amount ceded in reinsurance): | | | | | |
| | (a) Claims by Death | (6,114) | (11,142) | (1,358) | (2,459) |
| | (b) Claims by Maturity | - | - | - | - |
| | (c) Annuities / Pension payment | - | - | - | - |
| | (d) Other benefits | (39) | (50) | (12) | (19) |
| 3. Amount accepted in reinsurance: | | | | | |
| | (a) Claims by Death | - | - | - | - |
| | (b) Claims by Maturity | - | - | - | - |
| | (c) Annuities / Pension payment | - | - | - | - |
| | (d) Other benefits | - | - | - | - |
| | Benefits Paid (Net) | | | | |
| | In India | 8,482 | 14,399 | 3,998 | 5,881 |
| | Outside India | - | - | - | - |

Form L-8 - Share Capital Schedule

SHARE CAPITAL

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|--|----------------------------|----------------------------|
| Authorised Capital | | |
| 600,000,000 Equity Shares of ₹ 10 each (previous year 325,000,000) | 60,000 | 32,500 |
| Issued Capital | - | - |
| 465,552,063 Equity Shares of ₹ 10 each (previous year 312,620,882) | 46,555 | 31,262 |
| Subscribed Capital | - | - |
| 465,552,063 Equity Shares of ₹ 10 each (previous year 312,620,882) | 46,555 | 31,262 |
| Called-up Capital | - | - |
| 465,552,063 Equity Shares of ₹ 10 each (previous year 312,620,882) | 46,555 | 31,262 |
| Less : Calls unpaid | - | - |
| Add : Shares forfeited (Amount Originally paid up) | - | - |
| Less : Par value of equity shares bought back | - | - |
| Less : Preliminary expenses | - | - |
| Expenses including commission or brokerage on underwriting or subscription of shares | - | - |
| Total | 46,555 | 31,262 |

Form L-9- Pattern of Shareholding Schedule

PATTERN OF SHAREHOLDING

| Shareholder | As at 30 September 2021 | | As at 30 September 2020 | |
|--|-------------------------|-----------------|-------------------------|-----------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters : | | | | |
| • Indian-Edelweiss Financial Services Limited | 23,74,31,552 | 51 | 15,94,36,650 | 51 |
| • Foreign-Tokio Marine & Nichido Fire Insurance Co. Ltd. | 22,81,20,511 | 49 | 15,31,84,232 | 49 |
| Others | - | - | - | - |
| Total | 46,55,52,063 | 100 | 31,26,20,882 | 100 |

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S)/INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

PART (B) :

Name of the Indian Promoter :

EDELWEISS FINANCIAL SERVICES LIMITED

(Please repeat the tabulation in case of more than one Indian promoter/Indian investor)

| Sl.No | Category | No. of investors | No. of shares held | % of shareholdings | Paid up equity (Rs. in lakh) | Shares Pledged or otherwise encumbered | Shares under Lock in period |
|--------------|---|------------------|---------------------|--------------------|------------------------------|--|--|
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) |
| | | | | | | As a percentage of Total Shares held (vii) = (vii)/(iii)*100 | As a percentage of Total Shares held (ix) = (viii)/(iii)*100 |
| A | Promoters & Promoters Group | | | | | | |
| A.1 | Indian Promoters | | | | | | |
| i) | Individuals/HUF (Name of Major shareholdings): | | | | | | |
| | (i) Mr. Rashesh Shah | 1 | 14,56,01,730 | 15.53% | 1,456 | - | - |
| | (ii) Mr. Venkatachalam A Ramaswamy | 1 | 5,81,26,560 | 6.20% | 581 | - | - |
| | (iii) Mrs. Vidya Rashesh Shah | 1 | 3,10,31,200 | 3.31% | 310 | - | - |
| | (iv) Mrs. Aparna T Chandrasekhar | 1 | 1,22,10,000 | 1.30% | 122 | - | - |
| | ii) Bodies Corporate | - | - | - | - | - | - |
| | iii) Financial Institutions/Banks | - | - | - | - | - | - |
| | iv) Central Government /State Government(s)/President of India | - | - | - | - | - | - |
| | v) Persons acting in Concert/Promoter Group (Please specify) | | | | | | |
| | (i) Shilpa Urush Modiy | 1 | 9,50,000 | 0.10% | 10 | - | - |
| | (ii) Sneha Sripast Desai | 1 | 10,25,000 | 0.11% | 10 | - | - |
| | (iii) Arakoni Venkatachalam Ramaswamy | 1 | 50,000 | 0.01% | 1 | - | - |
| | (iv) Neel Rashesh Shah | 1 | 20,00,000 | 0.21% | 20 | - | - |
| | (v) Spire Investment Advisors LLP | 1 | 32,00,000 | 0.34% | 32 | - | - |
| | (vi) Mabelle Trustee Services Private Limited (on behalf of M/s. Shah Family Discretionary Trust) | 1 | 3,87,50,000 | 4.13% | 388 | - | - |
| | vi) Any other (Please specify) | - | - | - | - | - | - |
| A.2 | Foreign Promoters: | | | | | | |
| | i) Individuals (Name of Major shareholdings) | - | - | - | - | - | - |
| | ii) Bodies Corporate | - | - | - | - | - | - |
| | iii) Persons acting in Concert/Promoter Group (Please specify) | | | | | | |
| | (i) Sejal Premal Parekh | 1 | 9,50,000 | 0.10% | 10 | - | - |
| | (ii) Kaavya Arakoni Vemkat | 1 | 1,17,90,000 | 1.26% | 118 | - | - |
| | (iii) Aarati Rashesh Shah | 1 | 20,00,000 | 0.21% | 20 | - | - |
| B | Non Promoters | | | | | | |
| B.1 | Public Shareholders | | | | | | |
| 1.1 | Institutions | | | | | | |
| | i) Mutual Funds | 4 | 50,41,518 | 0.54% | 50 | - | - |
| | ii) Foreign Portfolio Investors / Financial Institutional Investors | 131 | 29,78,86,289 | 31.77% | 2,979 | - | - |
| | iii) Institutions/Banks | 2 | 3,56,880 | 0.38% | 4 | - | - |
| | iv) Insurance Companies | 1 | 2,42,82,094 | 2.59% | 243 | - | - |
| | v) FI belonging to Foreign Promoter | - | - | - | - | - | - |
| | vi) FI belonging to Foreign Promoter of Indian Promoter | - | - | - | - | - | - |
| | vii) Provident Fund/Pension Fund | - | - | - | - | - | - |
| | viii) Alternative Investment Fund | 2 | 1,20,851 | - | 1 | - | - |
| | ix) Any Other (Please specify) | - | - | - | - | - | - |
| 1.2 | Central Government/State Government(s)/President of India | - | - | - | - | - | - |
| 1.3 | Non-Institutions | | | | | | |
| | i) Individual share capital upto Rs. 2 lacs | 2,11,141 | 9,91,73,496 | 10.58% | 992 | - | - |
| | ii) Individual share capital excess of Rs. 2 lacs | 72 | 8,00,75,695 | 8.54% | 800 | - | - |
| | iii) NBFCs registered with RBI | 3 | 1,11,115 | 0.01% | 1 | - | - |
| | iv) Others: | | | | | | |
| | a) Trusts | 11 | 6,187 | 0.00% | 0 | - | - |
| | b) Non Resident Indian (Repatri) | 1,756 | 1,01,83,873 | 1.09% | 102 | - | - |
| | c) Clearing Members | 115 | 10,45,342 | 0.11% | 10 | - | - |
| | d) Non Resident Indian (Non Repatriable) | 961 | 30,50,092 | 0.33% | 31 | - | - |
| | e) Bodies Corporate | 803 | 2,25,89,271 | 2.41% | 226 | - | - |
| | f) HEPF | 1 | 68,952 | 0.01% | 1 | - | - |
| | g) Foreign Nationals | 2 | 1,019 | 0.00% | 0 | - | - |
| | h) Hindu Undivided Family | 5,792 | 49,53,663 | 0.53% | 50 | - | - |
| | i) Foreign Companies | 2 | 1,41,21,180 | 1.51% | 141 | - | - |
| | j) Directors | 5 | 2,18,83,060 | 2.33% | 219 | - | - |
| | k) Foreign Portfolio Investor (Individual) | 3 | 1,08,781 | 0.01% | 1 | - | - |
| | vi) Any others (Please specify) | - | - | - | - | - | - |
| B.2 | Non Public Shareholders | | | | | | |
| | 2.1) Custodian /DR Holder | - | - | 0.00% | - | - | - |
| | 2.2) Employee Benefit Trust | 2 | 4,48,96,780 | 4.79% | 449 | - | - |
| | 2.3) Any others (Please specify) | - | - | 0.00% | - | - | - |
| Total | | 2,20,872 | 93,76,02,552 | 100.00% | 9,376 | | |

Footnotes :

- At A.1 & A.2 of Part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
- Insurers are required to highlight the categories which fall within the purview of Regulation clause 11(i)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.
- Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.
- Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.
- Please specify the names of the FIs, indicating those FIs which belong to the Group of the Joint Venture partner of the Indian Insurance company.
- Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner of the Indian insurance company.

CERTIFICATION

- I certify that the details of the equity holding of the foreign partner (and its subsidiaries) of the Indian insurance company, in the Indian promoter/Indian investor as provided for in clause 11 (i) (ii) of the IRDA (Registration of Indian Insurance Companies) Regulations, 2000 have been indicated in Part B of the Statement.
- Total foreign investment (including direct and indirect), in term of regulation 11 of IRDA (Registration of Indian Insurance Companies) Regulation, 2000 as at the end of the quarter June 30, 2019 works out to 49 percent.
- Further certified that the above information is correct and complete, and reflects the true position.

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-10-Reserves and Surplus Schedule

RESERVES AND SURPLUS

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|---|----------------------------|----------------------------|
| Capital reserve | - | - |
| Capital redemption reserve | - | - |
| Share Premium | | |
| Opening Balance | 1,68,485 | 1,68,485 |
| Add: Addition during the year | 2,707 | - |
| Closing Balance | 1,71,192 | 1,68,485 |
| Revaluation Reserve | - | 341 |
| General reserves | - | - |
| Less : Debit balance in Profit & Loss account, if any | - | - |
| Less : Amount utilized for buy-back | - | - |
| Catastrophe reserve | - | - |
| Other reserves | - | - |
| Balance of profit in Profit and Loss Account | - | - |
| Total | 1,71,192 | 1,68,826 |

Form L-11 -Borrowings Schedule

BORROWINGS

(₹ in '000)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|------------------------|----------------------------|----------------------------|
| Debentures / Bonds | - | - |
| Banks | - | - |
| Financial Institutions | - | - |
| Others | - | - |
| Total | - | - |

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-12- Investments- Shareholders Schedule

INVESTMENTS - SHAREHOLDERS

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|--|----------------------------|----------------------------|
| LONG TERM INVESTMENTS | | |
| Government securities and Government guaranteed bonds including Treasury Bills | 8,453 | 0 |
| Other Approved Securities | (0) | 0 |
| Other Investments | - | - |
| (a) Shares | - | - |
| (aa) Equity | - | - |
| (bb) Preference | 171 | 300 |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures / Bonds* | 0 | 516 |
| (e) Other Securities- Bank Deposits | 5,765 | 6,355 |
| (f) Subsidiaries | - | - |
| (g) Investment Properties - Real Estate | - | 4,521 |
| Investment in Infrastructure and Social Sector | 1,366 | 2,953 |
| Other than Approved Investments \$@ | 8,488 | 12,686 |
| Sub-Total (A) | 24,243 | 27,331 |
| SHORT TERM INVESTMENTS | | |
| Government securities and Government guaranteed bonds including Treasury Bills | - | - |
| Other Approved Securities | 0 | 0 |
| Other Investments | - | - |
| (a) Shares | - | - |
| (aa) Equity ^ # | 43 | 142 |
| (bb) Preference | - | - |
| (b) Mutual Funds | 0 | 0 |
| (c) Derivative Instruments | - | - |
| (d) Debentures / Bonds | 300 | 0 |
| (e) Other Securities- Bank Deposits/CBLO | 2,935 | 2,319 |
| (f) Subsidiaries | - | - |
| (g) Investment Properties - Real Estate | (0) | - |
| Investment in Infrastructure and Social Sector | 306 | 0 |
| Other than Approved Investments# | 3,102 | 0 |
| Sub-Total (B) | 6,686 | 2,461 |
| Total (A+B) | 30,929 | 29,792 |
| In India | 30,929 | 29,792 |
| Outside India | - | - |
| Total | 30,928 | 29,794 |

Notes :

1 Aggregate book value (Historical cost) and market value of Investments, other than Equity , AIF InvIT, SR and Mutual fund:

| | | |
|------------------------------|--------|--------|
| Book Value (Historical cost) | 26,568 | 25,291 |
| Market Value | 24,825 | 22,028 |

2 Book Value (Historical cost) and market value of Equity , AIF InvIT, SR and Mutual fund:

| | | |
|------------------------------|-------|-------|
| Book Value (Historical cost) | 5,929 | 7,627 |
| Market Value | 6,675 | 8,060 |

3 Includes Investment in

\$ of ₹.3,703 Lac in Non Convertible Debentures (NCD) of Fellow subsidiaries (previous year ₹. 3,716 Lac)

^ of ₹ 3,213 Lac in unlisted Equity shares (previous year ₹ 3,213 lac)

in equity shares amounting to ₹ Nil thousands through corporate action awaiting listing as on balance sheet date (previous year ₹ 3,213 Lac)

'@ FY22 Includes Investments in NCD of Reliance Infrastructure Ltd. which are net of provision for diminution in value of investments.

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-13-Investments- Policyholder Schedule

INVESTMENTS - POLICYHOLDERS

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|--|----------------------------|----------------------------|
| LONG TERM INVESTMENTS | | |
| Government securities and Government guaranteed bonds including Treasury Bills | 1,83,753 | 1,44,002 |
| Other Approved Securities | 3,424 | 6,420 |
| Other Investments | - | - |
| (a) Shares | - | - |
| (aa) Equity | - | - |
| (bb) Preference | - | - |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures / Bonds # | 12,160 | 7,521 |
| (e) Other Securities - Bank Deposits | 22,756 | 20,222 |
| (f) Subsidiaries | - | - |
| (g) Investment Properties - Real Estate | - | - |
| Investment in Infrastructure and Social Sector | 37,387 | 26,281 |
| Other than Approved Investments \$ | 7,668 | 4,802 |
| Sub-Total (A) | 2,67,148 | 2,09,248 |
| SHORT TERM INVESTMENTS | | |
| Government securities and Government guaranteed bonds including Treasury Bills | 1,513 | 1 |
| Other Approved Securities | - | - |
| Other Investments | - | - |
| (a) Shares | - | - |
| (aa) Equity * | 8,865 | 6,615 |
| (bb) Preference | - | - |
| (b) Mutual Funds | 0 | 0 |
| (c) Derivative Instruments | - | - |
| (d) Debentures / Bonds | 0 | 0 |
| (e) Other Securities- Bank Deposit/CBLO | 8,518 | 2,736 |
| (f) Subsidiaries | - | - |
| (g) Investment Properties - Real Estate | - | - |
| Investment in Infrastructure and Social Sector | 3,458 | 475 |
| Other than Approved Investments #@ | 2,167 | 757 |
| Sub-Total (B) | 24,521 | 10,584 |
| Total (A+B) | 2,91,669 | 2,19,831 |
| In India | 2,91,669 | 2,19,831 |
| Outside India | - | - |
| Total | 2,91,669 | 2,19,830 |

Notes :

1 Aggregate book value (Historical cost) and market value of Investments, other than Equity , AIF InvIT, SR and Mutual fund:

| | | |
|------------------------------|----------|----------|
| Book Value (Historical cost) | 2,56,484 | 1,56,375 |
| Market Value | 2,70,526 | 1,63,193 |

2 Book Value (Historical cost) and market value of Equity , AIF InvIT, SR and Mutual fund:

| | | |
|------------------------------|--------|--------|
| Book Value (Historical cost) | 23,695 | 10,254 |
| Market Value | 29,136 | 10,514 |

3 Includes Investment

of Rs.Nil Lac in Non Convertible Debentures (NCD) of Fellow subsidiaries (previous year Rs.427 Lac)

* in equity shares amounting to ₹Nil thousands through corporate action awaiting listing as on balance sheet date (previous year 1 lac)

\$ of Rs.3,452 Lac in unlisted Equity shares (previous year Rs. 1,147 Lac)

@Includes Investments in NCD of Reliance Infrastructure Ltd. which are net of provision for diminution in value of investments.

Form L-14- Assets held to cover Linked Liabilities Schedule

ASSETS HELD TO COVER LINKED LIABILITIES

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|---|----------------------------|----------------------------|
| LONG TERM INVESTMENTS | | |
| Government securities and Government guaranteed bonds including | | |
| Treasury Bills | 13,122 | 12,358 |
| Other Approved Securities | 10,686 | 6,056 |
| Other Investments | - | - |
| (a) Shares | - | - |
| (aa) Equity | - | - |
| (bb) Preference | 92 | 161 |
| (b) Mutual Funds | - | - |
| (c) Derivative Instruments | - | - |
| (d) Debentures / Bonds | 1,454 | 3,276 |
| (e) Other Securities-Bank Deposits | 60 | 60 |
| (f) Subsidiaries | - | - |
| (g) Investment Properties - Real Estate | - | - |
| Investment in Infrastructure and Social Sector | 2,036 | 3,234 |
| Other than Approved Investments | 0 | 135 |
| Sub-Total (A) | 27,450 | 25,280 |
| SHORT TERM INVESTMENTS | | |
| Government securities and Government guaranteed bonds including | | |
| Treasury Bills | 4,969 | 1,528 |
| Other Approved Securities | 1,039 | 536 |
| Other Investments | - | - |
| (a) Shares | - | - |
| (aa) Equity # | 92,015 | 54,616 |
| (bb) Preference | - | - |
| (b) Mutual Funds | 2,328 | 1,678 |
| (c) Derivative Instruments | - | - |
| (d) Debentures / Bonds | 655 | 39 |
| (e) Other Securities - Bank Deposits/CBLO | 6,911 | 2,656 |
| (f) Subsidiaries | - | - |
| (g) Investment Properties - Real Estate | - | - |
| Investment in Infrastructure and Social Sector | 6,125 | 4,802 |
| Other than Approved Investments * | 20,689 | 7,334 |
| Sub-Total (B) | 1,34,731 | 73,189 |
| CURRENT ASSETS | | |
| Cash and Bank Balances | 512 | 8 |
| Advance and Other Assets | 2,965 | 2,979 |
| Sub-Total (C) | 3,477 | 2,987 |
| Current Liabilities | 324 | 439 |
| Provisions | - | - |
| Sub-Total (D) | 324 | 439 |
| Net Current Asset (E) = (C-D) | 3,153 | 2,548 |
| Total (A+B+E) | 1,65,334 | 1,01,018 |
| In India | 1,65,334 | 1,01,018 |
| Outside India | - | - |
| Total | 1,65,334 | 1,01,018 |

Note :

- Aggregate book value (Historical cost) and market value of Investments, other than Equity and Mutual fund:

| | | |
|------------------------------|--------|--------|
| Book Value (Historical cost) | 40,974 | 30,880 |
| Market Value | 41,128 | 30,616 |
- Book Value (Historical cost) and market value of Equity and Mutual fund:

| | | |
|------------------------------|----------|--------|
| Book Value (Historical cost) | 92,397 | 64,216 |
| Market Value | 1,21,054 | 67,854 |
- # in equity shares amounting to Rs. Nil Lac through corporate action awaiting listing as on balance sheet date (previous year Rs. 23lac)

L-14A Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

(₹ in Lac)

| Particulars | Shareholders | | Policyholders | | Assets held to cover Linked Liabilities | | Total | |
|--------------------------------|------------------|------------------|------------------|------------------|---|------------------|------------------|------------------|
| | As at 30/09/2021 | As at 30/09/2020 | As at 30/09/2021 | As at 30/09/2020 | As at 30/09/2021 | As at 30/09/2020 | As at 30/09/2021 | As at 30/09/2020 |
| Long Term Investments: | | | | | | | | |
| Book Value | 19,981 | 26,997 | 2,60,490 | 2,07,031 | 27,070 | 25,318 | 3,07,540 | 2,59,346 |
| Market Value | 21,218 | 24,114 | 2,71,653 | 2,22,070 | 27,359 | 25,119 | 3,20,231 | 2,71,303 |
| | | | | | | | | |
| Short Term Investments: | | | | | | | | |
| Book Value | 11,630 | 5,775 | 17,082 | 4,314 | 26,755 | 14,743 | 55,467 | 24,832 |
| Market Value | 10,137 | 5,833 | 16,776 | 4,318 | 29,724 | 14,087 | 56,637 | 24,238 |

Note: Market Value in respect of Shareholders and Policyholders investments should be arrived as per the guidelines prescribed for linked business investments under IRDAI Investment (Regulations) 2016.

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-15-Loans Schedule

LOANS

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|---|----------------------------|----------------------------|
| SECURITY- WISE CLASSIFICATION | | |
| Secured | | |
| (a) On mortgage of property | | |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| (b) On Shares, Bonds, Govt Securities etc | - | - |
| (c) Loans against policies | 1,743 | 1,229 |
| (d) Others | 50 | 35 |
| Less: Provision For Outstanding Loans | (11) | (12) |
| Unsecured | | |
| Total | 1,782 | 1,253 |
| BORROWER - WISE CLASSIFICATION | | |
| (a) Central and State Governments | - | - |
| (b) Banks and Financial Institutions | - | - |
| (c) Subsidiaries | - | - |
| (d) Companies | - | - |
| (e) Loans against policies | 1,743 | 1,229 |
| (f) Others | 50 | 35 |
| Less: Provision For Outstanding Loans | (11) | (12) |
| Total | 1,781 | 1,253 |
| PERFORMANCE - WISE CLASSIFICATION | | |
| (a) Loans classified as standard: | | |
| (aa) In India | 1,781 | 1,253 |
| (bb) Outside India | - | - |
| (b) Non - standard loans less provisions: | | |
| (aa) In India | - | - |
| (bb) Outside India | - | - |
| Total | 1,780 | 1,253 |
| MATURITY - WISE CLASSIFICATION | | |
| (a) Short Term | 38 | 24 |
| (b) Long Term | 1,743 | 1,229 |
| Total | 1,781 | 1,253 |

| Provisions against Non-performing Loans | | |
|---|-------------------------|-----------------------|
| Non-Performing Loans | Loan Amount (Rs. Lakhs) | Provision (Rs. Lakhs) |
| Sub-standard | 1,781 | 1,253 |
| Doubtful | 0 | 0 |
| Loss | 0 | 0 |
| Total | 1,781 | 1,253 |

FIXED ASSETS

('₹ in Lac)

| Particulars | Cost/Gross Block | | | | Depreciation | | | | Net Block | |
|---|------------------------|--------------|-----------------------------|----------------------------|------------------------|--------------|-----------------------|----------------------------|----------------------------|----------------------------|
| | As at 01 April 2021 | Additions | Deductions / Adjustments | As at 30 September 2021 | As at 01 April 2021 | For the Year | On Sale/Adjustment | As at 30 September 2021 | As at 30 September 2021 | As at 30 September 2020 |
| Intangible assets: | | | | | | | | | | |
| Goodwill | - | - | - | - | - | - | - | - | - | - |
| Computer Software | 11,515 | 622 | 21 | 12,117 | 6,850 | 1,271 | 21 | 8,101 | 4,016 | 4,908 |
| Tangible assets: | | | | | | | | | | |
| Land-Freehold | - | - | - | - | - | - | - | - | - | - |
| Leasehold Improvements | 1,557 | 56 | 213 | 1,400 | 1,065 | 79 | 142 | 1,003 | 398 | 561 |
| Furniture & Fittings | 962 | 11 | 17 | 955 | 595 | 40 | 17 | 618 | 338 | 405 |
| Information Technology Equipments (including servers) | 3,377 | 13 | 279 | 3,111 | 2,588 | 185 | 276 | 2,498 | 613 | 939 |
| Vehicles | 82 | - | 22 | 60 | 74 | 2 | 17 | 58 | 2 | 16 |
| Office Equipment | 823 | 20 | 71 | 773 | 727 | 33 | 68 | 692 | 80 | 118 |
| Buildings | 2,619 | - | - | 2,619 | 276 | 21 | - | 297 | 2,322 | 2,363 |
| Total | 20,936 | 722 | 623 | 21,035 | 12,175 | 1,631 | 541 | 13,267 | 7,769 | 9,309 |
| Capital Work-In-Progress | 487 | 765 | 723 | 529 | - | - | - | - | 529 | 508 |
| Grand Total | 21,422 | 1,487 | 1,346 | 21,564 | 12,175 | 1,631 | 541 | 13,267 | 8,299 | 9,817 |

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-17-Cash and Bank Balances Schedule

CASH AND BANK BALANCES

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|---|----------------------------|----------------------------|
| Cash [including cheques, drafts and stamps] | 1,036 | 773 |
| Bank balances | - | - |
| (a) Deposit accounts | - | - |
| (aa) Short-term (due within 12 months of the date of Balance Sheet) | 7 | 6 |
| (bb) Others | 1 | 1 |
| (b) Current accounts | 5,033 | 4,233 |
| (c) Others | - | - |
| Money at call and short notice | - | - |
| (a) With banks | - | - |
| (b) With other institutions | - | - |
| Others | - | - |
| Total | 6,077 | 5,013 |
| Balances with non-scheduled banks included in 2 above | - | - |
| Cash and bank balances | | |
| In India | 6,077 | 5,013 |
| Outside India | - | - |
| Total | 6,077 | 5,013 |
| Cheques on hand | 736 | 521 |

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-18-Advances and Other Assets Schedule

ADVANCES AND OTHER ASSETS

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|---|----------------------------|----------------------------|
| ADVANCES | | |
| Reserve deposits with ceding companies | - | - |
| Application money for investments | 401 | 3,505 |
| Prepayments | 879 | 911 |
| Advances to Directors/Officers | - | - |
| Advance tax paid and taxes deducted at source(Net of provision for taxation) | 97 | 81 |
| Others | - | - |
| a) Advance to Suppliers | 160 | 409 |
| b) Staff Loans and Advances | 46 | 39 |
| Total (A) | 1,583 | 4,945 |
| OTHER ASSETS | | |
| Income accrued on Investments | 19,131 | 16,326 |
| Outstanding premiums | 4,166 | 3,455 |
| Agents' Balances | - | - |
| Gross | 240 | 135 |
| Less : Provision for doubtful agents' balance | (157) | (89) |
| Net | 83 | 46 |
| Foreign Agencies Balances | - | - |
| Due from other entities carrying on insurance business (including reinsurers) | 8,262 | 666 |
| Due from subsidiaries/holding company | 20 | - |
| Deposit with Reserve Bank of India | - | - |
| [Pursuant to section 7 of Insurance Act,1938] | - | - |
| Others | - | - |
| GST/Service Tax Unutilised Credit | 2,416 | 2,139 |
| Rent & Others Security Deposit | 944 | 979 |
| Assets held for unclaimed amount of policyholders | 342 | 324 |
| Interest Accrued on unclaimed policyholders | 71 | 64 |
| Management Fees Receivable | 195 | 116 |
| Derivative Asset | 912 | - |
| Gross Receivable For Sale Of Investment | - | 925 |
| Less: Diminution on receivables | - | (693) |
| Other Receivables | 1,226 | 1,882 |
| Total (B) | 37,768 | 26,228 |
| Total (A + B) | 39,351 | 31,173 |

EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Form L-19-Current Liabilities Schedule

CURRENT LIABILITIES

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|--|----------------------------|----------------------------|
| Agents' Balances | 1,893 | 1,469 |
| Balances due to other insurance companies (including reinsurers) | - | 227 |
| Deposits held on reinsurance ceded | - | - |
| Premiums received in advance | 229 | 232 |
| Unallocated premium | 1,591 | 1,720 |
| Sundry creditors | 2,141 | 961 |
| Due to subsidiaries/holding company | - | 179 |
| Claims Outstanding | 7,502 | 1,276 |
| Annuities Due | - | - |
| Due to Officers/Directors | - | - |
| Others | - | - |
| a) Tax Deducted to be remitted | 314 | 259 |
| b) GST/Service tax Liability | 192 | 352 |
| c) Unclaimed amount - policyholders | 342 | 324 |
| d) Interest Accrued on unclaimed policyholders | 71 | 64 |
| e) Expense Payable | 9,808 | 10,817 |
| f) Security Deposit Received | 22 | 259 |
| g) Others - Payable | 4,710 | 3,836 |
| h) Capital Advance | - | - |
| i) Due to Policyholders | 927 | 349 |
| j) Derivative Margin Payable | 910 | - |
| Total | 30,653 | 22,323 |

Details of Unclaimed Amounts and Investment Income thereon

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|--|----------------------------|----------------------------|
| Opening Balance as at 1st April | 267 | 349 |
| Add: Amount transferred to unclaimed amount | 196 | 166 |
| Add: Cheques issued out of the unclaimed amount but not encashed by the policyholders (To be included only when the cheques are stale) | 22 | - |
| Add: Investment Income on Unclaimed Fund | 4 | 5 |
| Less: Amount of claims paid during the year | 76 | 132 |
| Less: Amount transferred to SCWF during the year (net of claims paid in respect of amounts transferred earlier) | - | - |
| Closing Balance of Unclaimed Amount as at 30 September 2021 | 413 | 388 |

Form L-20- Provisions Schedule

PROVISIONS

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|---------------------------------|----------------------------|----------------------------|
| For taxation | - | - |
| For proposed dividends | - | - |
| For dividend distribution tax | - | - |
| Others: | | |
| Provision for Employee Benefits | 466 | 349 |
| Other Provisions | - | - |
| Total | 466 | 349 |

Form L-21-Miscellaneous Expenditure Schedule

MISCELLANEOUS EXPENDITURE
(To the extent not written off or adjusted)

(₹ in Lac)

| Particulars | As at 30 September 2021 | As at 30 September 2020 |
|--|----------------------------|----------------------------|
| Discount allowed on issue of shares / debentures | - | - |
| Others | - | - |
| Total | - | - |

FORM L-22 Analytical Ratios*

Name of the Insurer : EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Date: 30 SEPTEMBER 2021

Analytical Ratios for Life Companies

| Sl.No. | Particulars | For the Quarter Ended 30 September 2021 | For the Half Year Ended 30 September 2021 | For the Quarter Ended 30 September 2020 | For the Half Year Ended 30 September 2020 |
|--------|--|--|--|--|--|
| 1 | New Business Premium Growth Rate | | | | |
| | (i) Linked Business: | | | | |
| | a) Life | 24.6% | -16.4% | -38.6% | -19.3% |
| | b) Pension | -39.5% | 7.8% | 9.8% | -39.7% |
| | c) Group | 1605.7% | 646.6% N.A. | | 52.4% |
| | (ii) Non-Linked Business: | | | | |
| | Participating: | | | | |
| | a) Life | -15% | 12.3% | 99.3% | 68.6% |
| | b) Pension | 0% | 0.0% | -100.0% | -100.0% |
| | Non Participating: | | | | |
| | a) Life | 26.6% | 4.3% | -20.0% | 1.9% |
| | b) Annuity | 0.0% | 633.0% | -100.0% | -23.9% |
| | c) Health | -64.7% | -59.6% | -88.3% | -87.5% |
| | d) Group Life | 106.9% | 45.5% | -54.6% | -57.5% |
| | e) Group Variable | -76.9% | -71.3% | -71.3% | -92.7% |
| 2 | Percentage of Single Premium (Individual Business) to New Business Premium (Individual Business) | Total 6.2% | 6.8% | 1.1% | 1.8% |
| 3 | Percentage of Linked New Business Premium (Individual Business) to New Business Premium (Individual Business) | Total 14.9% | 14.4% | 13.3% | 18.6% |
| 4 | Net Retention Ratio | 97.6% | 97.0% | 96.7% | 95.9% |
| 5 | Conservation Ratio | | | | |
| | (i) Linked Business: | | | | |
| | a) Life | 89.2% | 89.2% | 85.3% | 86.0% |
| | b) Pension | 36.2% | 45.7% | 175.4% | 110.6% |
| | c) Group | N.A. | N.A. | N.A. | N.A. |
| | (ii) Non-Linked Business: | | | | |
| | Participating: | | | | |
| | a) Life | 83.8% | 87.4% | 86.3% | 281.3% |
| | b) Pension | 67.2% | 87.0% | 74.7% | 68.7% |
| | Non Participating: | | | | |
| | a) Life | 79.4% | 85.5% | 83.4% | 76.0% |
| | b) Annuity | N.A. | N.A. | N.A. | N.A. |
| | c) Health | 85.5% | 89.0% | 81.9% | 82.8% |
| | d) Group Life | 11.1% | 43.7% | 37.0% | 15.3% |
| | e) Group Variable | N.A. | N.A. | N.A. | N.A. |
| 6 | Expense of Management to Gross Direct Premium Ratio | 44.0% | 50.2% | 52.0% | 65.5% |
| 7 | Commission Ratio (Gross commission and Rewards paid to Gross Premium) | 7.4% | 7.3% | 8.3% | 7.7% |
| 8 | Business Development and Sales Promotion Expenses to New Business Premium | 4.5% | 7.9% | 7.6% | 10.6% |
| 9 | Brand/Trade Mark usage fee/charges to New Business Premium | 0.7% | 0.8% | 0.6% | 0.7% |
| 10 | Ratio of Policyholders' Fund to Shareholders' Funds | 1027.0% | 1027.0% | 679.1% | 679.1% |
| 11 | Change in net worth (Amount in Rs. Lakhs) | 12,657 | 7,217 | (58,181) | (12,292) |
| 12 | Growth in Network | 39.4% | -18.9% | -10% | -10.2% |
| 13 | Ratio of Surplus to Policyholders' Fund | 0.0% | 0.0% | 0.1% | 0.1% |
| 14 | Profit after tax / Total Income | ** | ** | ** | ** |
| 15 | Total Real Estate + Loans/(Cash & Invested Assets) | 0.8% | 0.8% | 1.0% | 1.0% |
| 16 | Total Investments/(Capital + Reserves and Surplus) | 1073.3% | 1073.3% | 728.1% | 728.1% |
| 17 | Total Affiliated Investments/(Capital+ Reserves and Surplus) | 8.1% | 8.1% | 8.6% | 8.6% |
| 18 | Investment Yield (Gross & Net) | | | | |
| | A. With Realized Gains | | | | |
| | Shareholders' Funds | 21.4% | 18.5% | 9.4% | 7.8% |
| | Policyholders' Funds : | | | | |
| | Non Linked | | | | |
| | Par | 9.1% | 10.2% | 12.0% | 9.6% |
| | Non Par | 8.8% | 9.0% | 9.4% | 10.5% |
| | Linked | | | | |
| | Par | NA | NA | NA | NA |
| | Non Par | 21.5% | 16.5% | 8.3% | 3.5% |
| | B. With unrealized Gains | | | | |
| | Shareholders' Funds | 18.7% | 16.6% | 5.2% | 13.2% |
| | Policyholders' Funds : | | | | |
| | Non Linked | | | | |
| | Par | 17.2% | 8.7% | 3.1% | 12.7% |
| | Non Par | 14.1% | 6.7% | 0.3% | 10.2% |
| | Linked | | | | |
| | Par | NA | NA | NA | NA |
| | Non Par | 35.1% | 34.9% | 29.7% | 44.3% |
| 19 | Persistency Ratio - Premium Basis (Regular Premium/Limited Premium Payment under Individual category) | | | | |
| | For 13th month | 64.2% | 65.8% | 72.0% | 72.5% |
| | For 25th month | 63.6% | 64.1% | 61.1% | 62.2% |
| | For 37th month | 57.2% | 57.8% | 55.0% | 54.8% |
| | For 49th Month | 53.0% | 53.8% | 50.5% | 46.0% |
| | For 61st month | 33.8% | 30.1% | 27.7% | 30.6% |
| | Persistency Ratio - Premium Basis (Single Premium/fully paid-up under Individual category) | | | | |
| | For 13th month | 100.0% | 100.0% | 100.0% | 100.0% |
| | For 25th month | 99.3% | 99.6% | 100.0% | 100.0% |
| | For 37th month | 100.0% | 100.0% | 99.6% | 99.8% |
| | For 49th Month | 99.6% | 99.8% | 99.1% | 99.2% |
| | For 61st month | 93.0% | 94.7% | 97.4% | 98.8% |
| | Persistency Ratio - Number of Policy Basis (Regular Premium/Limited Premium Payment under Individual category) | | | | |
| | For 13th month | 55.8% | 59.2% | 65.0% | 66.4% |
| | For 25th month | 57.9% | 58.7% | 54.6% | 55.1% |
| | For 37th month | 50.8% | 50.0% | 43.7% | 46.2% |
| | For 49th Month | 41.9% | 43.7% | 42.1% | 39.4% |
| | For 61st month | 31.8% | 29.8% | 31.5% | 34.9% |
| | Persistency Ratio - Number of Policy Basis (Single Premium/fully paid-up under Individual category) | | | | |
| | For 13th month | 100.0% | 100.0% | 100.0% | 100.0% |
| | For 25th month | 97.9% | 99.9% | 100.0% | 100.0% |
| | For 37th month | 100.0% | 100.0% | 98.7% | 99.8% |
| | For 49th Month | 99.7% | 99.8% | 96.8% | 96.6% |
| | For 61st month | 95.8% | 96.4% | 98.7% | 99.2% |
| 20 | NPA Ratio | | | | |
| | Policyholders' Funds | | | | |
| | Gross NPA Ratio | 0.4% | 0.4% | 1.1% | 1.1% |
| | Net NPA Ratio | 0.2% | 0.2% | 0.4% | 0.4% |
| | Shareholders' Funds | | | | |
| | Gross NPA Ratio | 1.6% | 1.6% | 21.5% | 21.5% |
| | Net NPA Ratio | 0.8% | 0.8% | 10.4% | 10.4% |
| 21 | Solvency Ratio | 200% | 200% | 216% | 216% |
| 22 | Debt Equity Ratio | NA | NA | NA | NA |
| 23 | Debt Service Coverage Ratio | NA | NA | NA | NA |
| 24 | Interest Service Coverage Ratio | NA | NA | NA | NA |
| 25 | Average ticket size in Rs. - Individual premium (Non-Single) | 68,524.9 | 61,211.3 | 52,088.4 | 41,954.2 |

Equity Holding Pattern for Life Insurers and information on earnings:

| | | | | | |
|---|--|--------------|--------------|--------------|--------------|
| 1 | No. of shares | 46,55,52,063 | 46,55,52,063 | 31,26,20,882 | 31,26,20,882 |
| 2 | Percentage of shareholding | | | | |
| | Indian | 51% | 0.51 | 0.51 | 0.51 |
| | Foreign | 49% | 0.49 | 0.49 | 0.49 |
| 3 | Percentage of Government holding (in case of public sector insurance companies) | - | - | - | - |
| 4 | Basic EPS before extraordinary items (net of tax expense) for the period (not to be annualized) | (1.52) | (3.04) | (1.82) | (4.17) |
| 5 | Diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized) | (1.52) | (3.04) | (1.82) | (4.17) |
| 6 | Basic EPS after extraordinary items (net of tax expense) for the period (not to be annualized) | (1.52) | (3.04) | (1.82) | (4.17) |
| 7 | Diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized) | (1.52) | (3.04) | (1.82) | (4.17) |
| 8 | Book value per share (Rs) | 9.76 | 9.76 | 15.41 | 15.41 |

Notes:-

- The persistency ratios are calculated in accordance with the IRDAI Circular No. IRDA/ACT/GR/MISC/035/01/2014 dated 23 January 2014.

- Up to the quarter

1. For Sep 21, 13th month persistency includes policies issued in Apr 2020 to Aug 2020, 25th month includes policies issued in Apr 2019 to Aug 2019 and so on.

2. For Sep 20, 13th month persistency includes policies issued in Apr 2019 to Aug 2019, 25th month includes policies issued in Apr 2018 to Aug 2018 and so on.

- For the quarter

1. For Sep 21, 13th month persistency includes policies issued in July 2020 to Aug 2020, 25th month includes policies issued in July 2019 to Aug 2019 and so on.

2. For Sep 20, 13th month persistency includes policies issued in July 2019 to Aug 2019, 25th month includes policies issued in July 2018 to Aug 2018 and so on.

- Previous period figures are re-grouped/re-arranged wherever necessary to make them comparable with those of current period.

FORM L-23 RECEIPT AND PAYMENTS SCHEDULE

 Name of the Insurer : **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED**

Registration Number: 147 dated 10 May 2011

RECEIPTS AND PAYMENTS ACCOUNT FOR THE HALF YEAR ENDED 30 SEPTEMBER 2021

(₹ in Lac)

| Particulars | | For the Half Year Ended 30 September 2021 | For the Half Year Ended 30 September 2020 |
|-------------|---|--|--|
| A | Cash Flows from operating activities: | | |
| 1 | Premium received from policyholders, including advance receipts | 55,451 | 48,441 |
| 2 | Other receipts (Other Income) | 16 | 175 |
| 3 | Payments to the re-insurance premium, net of commission and claims | 2,727 | 346 |
| 4 | Payments of claims / benefits | (20,034) | (7,830) |
| 5 | Payments of commission and brokerage | (3,901) | (3,366) |
| 6 | Payments of other operating expenses | (24,385) | (22,666) |
| 7 | Preliminary and pre-operative expenses | - | - |
| 8 | Deposits, advances | 513 | (2,781) |
| 9 | Income taxes (Paid) / Refund | (49) | 52 |
| 10 | Service tax / GST paid | (3,382) | (3,106) |
| 11 | Other payments | - | - |
| 12 | Cash flows before extraordinary items | 6,957 | 9,264 |
| 13 | Cash flow from extraordinary operations | - | - |
| | Net cash flow from operating activities | 6,957 | 9,264 |
| B | Cash flows from investing activities: | | |
| 1 | Purchase of fixed assets | (832) | (860) |
| 2 | Proceeds from sale of fixed assets | 29 | 132 |
| 3 | Purchases of investments | (1,80,710) | (2,08,735) |
| 4 | Loans disbursed | - | - |
| 5 | Loans against policies and Employee loan | (263) | (223) |
| 6 | Sales of investments | 1,49,121 | 1,88,476 |
| 7 | Repayments received | - | - |
| 8 | Rents/Interests/ Dividends received | 10,893 | 9,680 |
| 9 | Investments in money market instruments and in liquid mutual funds (Net) | (6,191) | (4,227) |
| | Net cash flow from investing activities | (27,953) | (15,757) |
| C | Cash flows from financing activities: | | |
| 1 | Proceeds from issuance of share capital (including share premium) | 18,000 | - |
| 2 | Proceeds from borrowing | - | - |
| 3 | Repayments of borrowing | - | - |
| 4 | Interest/dividends paid | - | - |
| | Net cash flow from financing activities | 18,000 | - |
| | Effect of foreign exchange rates on cash and cash equivalents, net | - | - |
| | Net increase in cash and cash equivalents | (2,996) | (6,493) |
| | Cash and cash equivalents at the beginning of the period | 9,577 | 11,507 |
| | Cash and cash equivalents at the end of the period | 6,581 | 5,013 |

| Form L-24 VALUATION OF NET LIABILITIES | | | |
|---|---------------------------|--|--|
| Name of the Insurer : EDELWEISS TOKIO LIFE INSURANCE COMPANY LTD. | | | Date: 30 SEPTEMBER 2021 |
| Net Liabilities (Rs.lakhs) | | | |
| Type | Category of business | Mathematical Reserves as at 30th September for the year 2021 | Mathematical Reserves as at 30th September for the year 2020 |
| Par | Non-Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life | 81,576 | 55,366 |
| | General Annuity | - | - |
| | Pension | 9,775 | 8,218 |
| | Health | - | - |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| Total Par | | 91,351 | 63,585 |
| Non-Par | Non-Linked -VIP | | |
| | Life | 2,939 | 2,209 |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life | 1,93,529 | 1,51,143 |
| | General Annuity | 4,603 | 3,784 |
| | Pension | - | - |
| | Health | 588 | 520 |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | 1,64,011 | 99,767 |
| | General Annuity | - | - |
| | Pension | 3,657 | 3,422 |
| | Health | - | - |
| Total Non Par | | 3,69,328 | 2,60,846 |
| Total Business | Non-Linked -VIP | | |
| | Life | 2,939 | 2,209 |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Non-Linked -Others | | |
| | Life | 2,75,105 | 2,06,510 |
| | General Annuity | 4,603 | 3,784 |
| | Pension | 9,775 | 8,218 |
| | Health | 588 | 520 |
| | Linked -VIP | | |
| | Life | - | - |
| | General Annuity | - | - |
| | Pension | - | - |
| | Health | - | - |
| | Linked-Others | | |
| | Life | 1,64,011 | 99,767 |
| | General Annuity | - | - |
| | Pension | 3,657 | 3,422 |
| | Health | - | - |
| Total | | 4,60,679 | 3,24,431 |
| Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016 | | | |

Geographical Distribution of New Business For The Quarter Ended 30 September 2021

| Sl.No. | State / Union Territory | Rural | | | Urban | | | Total New Business | | | Renewal Premium2 (₹ in Lac) | Total Premium (New Business and Renewal2) (₹ in Lac) |
|-------------------|--|--------------------|-----------------------|---------------------------|--------------------|-----------------------|---------------------------|--------------------|-----------------------|---------------------------|--------------------------------|--|
| | | No. of Policies | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Policies | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Policies | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | | |
| STATES | | | | | | | | | | | | |
| 1 | Andhra Pradesh | 9 | 55 | 157 | 103 | 74 | 1,820 | 112 | 128.50 | 1,977.39 | 266.26 | 394.76 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | 13 | 3 | 42 | 19 | 24 | 354 | 32 | 26.99 | 395.53 | 42.35 | 69.34 |
| 4 | Bihar | 11 | 6 | 76 | 20 | 9 | 211 | 31 | 15.11 | 287.38 | 141.33 | 156.44 |
| 5 | Chhattisgarh | 29 | 13 | 150 | 94 | 77 | 2,083 | 123 | 89.21 | 2,233.00 | 249.33 | 338.54 |
| 6 | Goa | 30 | 9 | 108 | 33 | 36 | 506 | 63 | 45.25 | 614.03 | 134.90 | 180.15 |
| 7 | Gujarat | 36 | 20 | 208 | 253 | 164 | 2,528 | 289 | 184.02 | 2,735.98 | 1,551.91 | 1,735.93 |
| 8 | Haryana | 41 | 19 | 268 | 102 | 75 | 1,154 | 143 | 93.87 | 1,422.50 | 420.16 | 514.03 |
| 9 | Himachal Pradesh | 26 | 9 | 134 | 22 | 8 | 125 | 48 | 16.86 | 258.97 | 65.49 | 82.35 |
| 10 | Jharkhand | 24 | 9 | 114 | 69 | 31 | 575 | 93 | 39.84 | 689.19 | 244.97 | 284.81 |
| 11 | Karnataka | 8 | 3 | 170 | 59 | 37 | 377 | 67 | 39.84 | 546.61 | 721.41 | 761.24 |
| 12 | Kerala | 148 | 194 | 1,512 | 1,080 | 1,440 | 13,374 | 1,228 | 1,633.84 | 14,886.05 | 2,355.05 | 3,988.89 |
| 13 | Madhya Pradesh | 12 | 5 | 52 | 165 | 86 | 1,841 | 177 | 91.08 | 1,893.02 | 271.14 | 362.22 |
| 14 | Maharashtra | 1,260 | 544 | 23,617 | 8,746 | 6,341 | 2,10,746 | 10,006 | 6,885.03 | 2,34,362.60 | 10,900.87 | 17,785.90 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Odisha | 120 | 36 | 521 | 161 | 81 | 1,287 | 281 | 116.47 | 1,807.85 | 458.45 | 574.92 |
| 20 | Punjab | 61 | 23 | 359 | 321 | 236 | 4,041 | 382 | 258.67 | 4,400.31 | 383.55 | 642.22 |
| 21 | Rajasthan | 7 | 4 | 86 | 56 | 28 | 455 | 63 | 31.81 | 540.94 | 216.98 | 248.79 |
| 22 | Sikkim | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Tamil Nadu | 59 | 27 | 304 | 434 | 310 | 4,013 | 493 | 337.33 | 4,317.49 | 1,060.37 | 1,397.69 |
| 24 | Telangana | 8 | 3 | 40 | 84 | 72 | 796 | 92 | 75.04 | 835.57 | 456.24 | 531.27 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | 22 | 5 | 88 | 26 | 6 | 102 | 48 | 10.87 | 189.42 | 49.39 | 60.26 |
| 27 | Uttar Pradesh | 63 | 32 | 681 | 212 | 147 | 3,959 | 275 | 178.78 | 4,640.30 | 966.56 | 1,145.33 |
| 28 | West Bengal | 15 | 5 | 205 | 17 | -16 | -231 | 32 | -11.05 | -25.90 | 602.97 | 591.92 |
| TOTAL | | 2002 | 1,022.59 | 28,890.48 | 12,076 | 9,264.77 | 2,50,117.76 | 14,078 | 10,287.36 | 2,79,008.24 | 21,560 | 31,847.02 |
| UNION TERRITORIES | | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | 9 | 4 | 53 | 40 | 32 | 412 | 49 | 35.88 | 464.78 | 166.32 | 202.21 |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of NCT of Delhi | 8 | 2 | 31 | 103 | 39 | 554 | 111 | 41.42 | 584.58 | 1,229.68 | 1,271.10 |
| 5 | Jammu & Kashmir | 10 | 3 | 40 | 27 | 10 | 138 | 37 | 12.24 | 177.35 | 62.96 | 75.19 |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL | | 27 | 8.75 | 123.67 | 170 | 80.79 | 1,103.02 | 197 | 89.54 | 1,226.70 | 1,458.96 | 1,548.50 |
| GRAND TOTAL | | 2029 | 1,031.34 | 29,014.15 | 12,246 | 9,345.56 | 2,51,220.79 | 14,275 | 10,376.90 | 2,80,234.94 | 23,018.62 | 33,395.52 |
| IN INDIA | | | | | | | | 14,275 | 10,376.90 | 2,80,234.94 | 23,018.62 | 33,395.52 |
| OUTSIDE INDIA | | | | | | | | | | | | |

Geographical Distribution of New Business for the Period Ended 30 September 2021

| Sl. No. | State / Union Territory | Rural | | | Urban | | | Total Business | | | Renewal Premium2 (₹ in Lac) | Total Premium (New Business and Renewal2) (₹ in Lac) |
|-------------------|--|-----------------|-----------------------|---------------------------|-----------------|-----------------------|---------------------------|-----------------|-----------------------|---------------------------|--------------------------------|--|
| | | No. of Policies | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Policies | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Policies | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | | |
| STATES | | | | | | | | | | | | |
| 1 | Andhra Pradesh | 12 | 56.61 | 180.95 | 138 | 101.65 | 2,391.31 | 150 | 158.26 | 2,572.26 | 415.49 | 573.76 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | 32 | 6.55 | 91.74 | 44 | 30.58 | 431.12 | 76 | 37.13 | 522.86 | 65.59 | 102.72 |
| 4 | Bihar | 10 | 5.30 | 93.66 | 36 | 15.81 | 394.99 | 46 | 21.11 | 488.66 | 213.41 | 234.52 |
| 5 | Chhattisgarh | 59 | 21.57 | 297.71 | 146 | 96.35 | 3,366.73 | 205 | 117.92 | 3,664.44 | 401.94 | 519.59 |
| 6 | Goa | 44 | 17.94 | 194.11 | 64 | 41.03 | 679.27 | 108 | 58.97 | 873.38 | 241.84 | 300.81 |
| 7 | Gujarat | 69 | 34.39 | 407.80 | 411 | 287.08 | 5,685.82 | 480 | 321.47 | 6,093.62 | 2,479.77 | 2,801.24 |
| 8 | Haryana | 69 | 26.83 | 402.59 | 169 | 95.11 | 2,245.55 | 238 | 121.94 | 2,648.14 | 671.78 | 793.72 |
| 9 | Himachal Pradesh | 42 | 31.46 | 216.07 | 31 | 9.22 | 149.10 | 73 | 40.67 | 365.17 | 94.97 | 135.65 |
| 10 | Jharkhand | 38 | 11.72 | 3.18 | 100 | 59.47 | 1,007.31 | 138 | 71.19 | 1,010.49 | 380.76 | 451.95 |
| 11 | Karnataka | 15 | 4.37 | 188.60 | 89 | 46.58 | 526.85 | 104 | 50.96 | 715.45 | 1,303.10 | 1,354.06 |
| 12 | Kerala | 227 | 273.95 | 2,304.67 | 1,755 | 2,259.02 | 22,041.10 | 1,982 | 2,532.96 | 24,345.77 | 3,624.61 | 6,157.57 |
| 13 | Madhya Pradesh | 18 | 5.88 | 76.04 | 244 | 127.54 | 2,607.64 | 262 | 133.42 | 2,683.68 | 430.14 | 563.56 |
| 14 | Maharashtra | 2,415 | 953.95 | 42,600.88 | 16,200 | 10,368.08 | 4,00,559.10 | 18,615 | 11,322.03 | 4,43,159.98 | 18,010.18 | 29,332.21 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Odisha | 250 | 74.70 | 1,072.84 | 258 | 120.80 | 1,772.38 | 508 | 195.49 | 2,845.22 | 684.77 | 880.26 |
| 20 | Punjab | 105 | 38.75 | 752.98 | 467 | 317.99 | 5,854.04 | 572 | 356.75 | 6,607.03 | 589.23 | 945.98 |
| 21 | Rajasthan | 16 | 7.19 | 124.81 | 93 | 50.36 | 1,149.39 | 109 | 57.55 | 1,274.20 | 375.92 | 433.47 |
| 22 | Sikkim | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Tamil Nadu | 391 | 32.56 | 372.20 | 652 | 439.75 | 5,795.35 | 1,043 | 472.31 | 6,167.55 | 1,643.69 | 2,116.00 |
| 24 | Telangana | 9 | 4.04 | 52.81 | 102 | 82.11 | 1,175.38 | 111 | 86.15 | 1,228.19 | 795.40 | 881.54 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | 28 | 6.04 | 104.00 | 41 | 10.20 | 152.27 | 69 | 16.24 | 256.27 | 88.87 | 105.11 |
| 27 | Uttar Pradesh | 96 | 46.81 | 898.47 | 313 | 210.28 | 6,316.84 | 409 | 257.09 | 7,215.31 | 1,513.24 | 1,770.33 |
| 28 | West Bengal | 37 | 7.07 | 262.43 | 76 | 8.10 | 93.10 | 113 | 15.17 | 355.53 | 956.72 | 971.88 |
| TOTAL | | 3982 | 1,667.67 | 50,698.52 | 21,429 | 14,777.10 | 4,64,394.66 | 25,411 | 16,444.78 | 5,15,093.18 | 34,981.43 | 51,426.21 |
| UNION TERRITORIES | | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | 15 | 6.75 | 88.75 | 60 | 43.99 | 748.03 | 75 | 50.74 | 836.78 | 310.76 | 361.50 |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of NCT of Delhi | 16.00 | 3.56 | 50.21 | 156 | 64.46 | 877.02 | 172 | 68.01 | 927.22 | 2,257.44 | 2,325.45 |
| 5 | Jammu & Kashmir | 16.00 | 4.52 | 66.30 | 37 | 12.34 | 180.39 | 53 | 16.85 | 246.69 | 110.83 | 127.68 |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | -1.11 | -1.11 |
| TOTAL | | 47 | 14.82 | 205.25 | 253 | 120.78 | 1,805.44 | 300 | 135.61 | 2,010.69 | 2,677.92 | 2,813.52 |
| GRAND TOTAL | | 4029 | 1,682.50 | 50,903.77 | 21,682 | 14,897.89 | 4,66,200.10 | 25,711 | 16,580.38 | 5,17,103.87 | 37,659.35 | 54,239.74 |
| IN INDIA | | | | | | | | 25,711 | 16,580.38 | 5,17,103.87 | 37,659.35 | 54,239.74 |
| OUTSIDE INDIA | | | | | | | | | | | | |

Geographical Distribution of New Business for the Quarter Ended 30 September 2021

| S.No. | State / Union Territory | Rural | | | | Urban | | | | Total New Business | | | | Renewal Premium2 (₹ in Lac) | Total Premium (New Business and Renewal2) (₹ in Lac) |
|--------------------|--------------------------------------|----------------|--------------|-----------------------|---------------------------|----------------|--------------|-----------------------|---------------------------|--------------------|--------------|-----------------------|---------------------------|--------------------------------|---|
| | | No. of Schemes | No. of Lives | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Schemes | No. of Lives | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Schemes | No. of Lives | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | | |
| STATES¹ | | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Assam | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Chhattisgarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Goa | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Gujarat | - | 17 | 3.82 | 197.29 | - | 175 | 34 | 3,037 | - | 192 | 37.70 | 3,233.97 | - | 37.70 |
| 7 | Haryana | - | 450 | 1.27 | 140.12 | - | 1,398 | 12 | 12,062 | - | 1,848 | 13.68 | 12,202.59 | 17.42 | 31.10 |
| 8 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 9 | Karnataka | - | 9,393 | 30.76 | 3,304.56 | - | 3,590 | 74 | 12,981 | - | 12,983 | 105.19 | 16,285.94 | 48.24 | 153.43 |
| 10 | Kerala | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Madhya Pradesh | - | - | - | - | - | 64 | 1 | 430 | - | 64 | 0.62 | 430.00 | -0.31 | 0.31 |
| 12 | Maharashtra | - | 1,229 | 10.32 | 661.10 | - | 1,731 | 411 | 23,392 | - | 2,960 | 421.69 | 24,252.61 | -14.47 | 407.23 |
| 13 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 15 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Odisha | - | 8,689 | 25.84 | 2,862.12 | - | 3,149 | 9 | 1,039 | - | 11,838 | 35.22 | 3,900.86 | - | 35.22 |
| 18 | Punjab | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Rajasthan | - | 20,796 | 87.56 | 8,887.65 | - | 1,845 | 16 | 2,559 | - | 24,641 | 103.08 | 11,446.57 | -0.25 | 102.82 |
| 20 | Tamil Nadu | - | 20,056 | 90.97 | 8,442.47 | - | 9,726 | 84 | 5,791 | - | 29,782 | 175.03 | 14,232.99 | 0.76 | 175.79 |
| 21 | Telangana | - | 7 | 1.10 | 108.67 | - | 423 | 39 | 3,779 | - | 430 | 41.66 | 3,887.89 | 0.70 | 42.36 |
| 22 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Uttarakhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Uttar Pradesh | - | 2,855 | 7.73 | 855.78 | - | 1,266 | 4 | 815 | - | 4,121 | 11.54 | 1,670.68 | -0.82 | 10.72 |
| 25 | West Bengal | - | 876 | 3.44 | 336.56 | - | 601 | 13 | 526 | - | 5,477 | 46.61 | 862.10 | - | 16.61 |
| TOTAL | | - | 64,368 | 264.80 | 25,796.32 | - | 25,968 | 697,240 | 65,699.68 | - | 90,336 | 962.04 | 90,406.00 | 51.26 | 1,013.30 |
| UNION TERRITORIES¹ | | | | | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Goa and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of Delhi | - | 1 | 0.34 | 22.01 | - | 98 | 11 | 1,324 | - | 99 | 11.32 | 1,346.80 | 54.14 | 65.46 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL | | - | 1 | 0.34 | 22.01 | - | 98 | 10.98 | 1,323.79 | - | 99 | 11.32 | 1,345.80 | 54.14 | 65.46 |
| GRAND TOTAL | | | | | | | | | | | | | | | |
| | | - | 64,369 | 265.14 | 25,818.33 | - | 26,066 | 708.22 | 67,993.47 | - | 90,435 | 973.36 | 91,751.81 | 105.40 | 1,078.75 |
| IN INDIA | | - | - | - | - | - | - | - | - | - | 90,435 | 973.36 | 91,751.81 | 105.40 | 1,078.75 |
| OUTSIDE INDIA | | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

FORM L-25- (ii) - Geographical Distribution Channel - GROUP

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Registration Number: 147 dated 10 May 2011

Geographical Distribution of New Business for the Half Year Ended 30 September 2020

| S.No. | State / Union Territory | Rural | | | | Urban | | | | Total New Business | | | | Renewal Premium2 (₹ in Lac) | Total Premium (New Business and Renewal2) (₹ in Lac) |
|-------------------|--|-------------------|--------------|-----------------------|---------------------------|----------------|--------------|-----------------------|---------------------------|--------------------|--------------|-----------------------|---------------------------|--------------------------------|---|
| | | No. of Schemes | No. of Lives | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Schemes | No. of Lives | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | No. of Schemes | No. of Lives | Premium (₹ in Lac) | Sum Assured (₹ in Lac) | | |
| STATES | | | | | | | | | | | | | | | |
| 1 | Andhra Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chhattisgarh | - | - | - | - | - | 7 | 0.11 | 49.14 | - | 7 | 0.11 | 49.14 | 5.84 | 5.95 |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | 27 | 5.94 | 353.21 | - | 1,687 | 50.93 | 9,830.13 | - | 1,714 | 56.86 | 10,183.34 | 0.05 | 56.92 |
| 8 | Haryana | - | 468 | 1.57 | 187.22 | 1 | 2,980 | 33.75 | 29,746.06 | 1 | 3,448 | 35.32 | 29,933.28 | 165.88 | 201.20 |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Karnataka | - | 10,907 | 36.76 | 3,870.34 | 1 | 6,027 | 96.73 | 38,131.40 | 1 | 16,934 | 133.50 | 42,001.74 | 85.98 | 219.48 |
| 12 | Kerala | - | - | - | - | - | 407 | 0.67 | 3,722.53 | - | 407 | 0.67 | 3,722.53 | - | 0.67 |
| 13 | Madhya Pradesh | - | - | - | - | - | 91 | 0.98 | 622.50 | - | 91 | 0.98 | 622.50 | 9.81 | 10.78 |
| 14 | Maharashtra | - | 1,233 | 11.14 | 690.65 | - | 2,383 | 498.94 | 38,947.99 | - | 3,616 | 510.08 | 39,638.64 | -24.07 | 486.01 |
| 15 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Odisha | - | 12,327 | 36.57 | 4,050.13 | - | 3,965 | 11.77 | 1,303.53 | - | 16,292 | 48.34 | 5,353.66 | - | 48.34 |
| 20 | Punjab | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 21 | Rajasthan | - | 30,313 | 127.41 | 12,972.92 | - | 4,576 | 27.26 | 6,147.75 | - | 34,889 | 154.67 | 19,120.66 | 12.29 | 166.96 |
| 22 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Tamil Nadu | - | 28,568 | 133.46 | 12,237.45 | - | 12,964 | 131.52 | 12,565.07 | - | 41,532 | 264.98 | 24,802.52 | 0.49 | 265.47 |
| 24 | Telangana | - | 23 | 5.26 | 196.48 | - | 692 | 61.41 | 6,637.24 | - | 715 | 66.66 | 6,833.73 | 0.22 | 66.88 |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttarakhand | - | - | - | - | - | 45 | 0.17 | 122.92 | - | 45 | 0.17 | 122.92 | 0.71 | 0.88 |
| 27 | Uttar Pradesh | - | 3,471 | 9.40 | 1,040.50 | 1 | 2,061 | 9.21 | 3,328.06 | 1 | 5,532 | 18.61 | 4,368.56 | 43.25 | 61.85 |
| 28 | West Bengal | - | 1,200 | 4.39 | 442.68 | - | 731 | 13.70 | 609.88 | - | 1,931 | 18.09 | 1,052.56 | - | 18.09 |
| TOTAL | | - | 88,537 | 371.91 | 36,041.60 | 3 | 38,616 | 937.12 | 1,51,764.19 | 3 | 1,27,153 | 1,309.03 | 1,87,805.79 | 300.45 | 1,609.48 |
| UNION TERRITORIES | | | | | | | | | | | | | | | |
| 1 | Andaman and Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Dadra and Nagar Haveli and Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Govt. of NCT of Delhi | - | 1 | 0.34 | 22.01 | 1 | 849 | 25.53 | 10,286.86 | 1 | 850 | 25.87 | 10,308.87 | 56.85 | 82.72 |
| 5 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Ladakh | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL | | - | 1 | 0.34 | 22.01 | 1 | 849 | 25.53 | 10,286.86 | 1 | 850 | 25.87 | 10,308.87 | 56.85 | 82.72 |
| GRAND TOTAL | | - | 88,538 | 372.25 | 36,063.61 | 4 | 39,465 | 962.66 | 1,62,051.05 | 4 | 1,28,003 | 1,334.91 | 1,98,114.66 | 357.30 | 1,692.20 |
| IN INDIA | | | | | | | | | | 4 | 1,28,003 | 1,334.91 | 1,98,114.66 | 357.30 | 1,692.20 |
| OUTSIDE INDIA | | | | | | | | | | - | - | - | - | - | - |

FORM I-26-INVESTMENT ASSETS(LIFE INSURERS)-3A
 COMPANY NAME & CODE : EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)
 STATEMENT AS ON : 30-Sep-2021
 STATEMENT OF INVESTMENT ASSETS(LIFE INSURERS)
 (Business within India)

PART - A

(₹ in Lac)

| Total Application as per Balance Sheet (A) | | | - |
|---|-----------|-----------|---|
| PARTICULARS | SCH | Amount | |
| Investments (Shareholders) | 8 | 30,928 | |
| Investments (Policyholders) | 8A | 2,91,669 | |
| Investments (Linked Liabilities) | 8B | 1,65,334 | |
| Loans | 9 | 1,781 | |
| Fixed Assets | 10 | 8,299 | |
| Current Assets | | | |
| a. Cash & Bank Balance | 11 | 6,077 | |
| b. Advances & Other Assets | 12 | 39,351 | |
| Current Liabilities | | | |
| a. Current Liabilities | 13 | 30,653 | |
| b. Provisions | 14 | 466 | |
| c. Misc. Exp not Written Off | 15 | - | |
| d. Debit Balance of P&L A/c | | 1,73,033 | |
| Application of Funds as per Balance Sheet (A) | TOTAL (A) | 3,39,286 | |
| Less: Other Assets | SCH | Amount | |
| Loans (if any) | 9 | 1,781 | |
| Fixed Assets (if any) | 10 | 8,299 | |
| Cash & Bank Balance (if any) | 11 | 6,077 | |
| Advances & Other Assets (if any) | 12 | 39,351 | |
| Current Liabilities | 13 | 30,653 | |
| Provisions | 14 | 466 | |
| Misc. Exp not Written Off | 15 | - | |
| Investments held outside India | | - | |
| Debit Balance of P&L A/c | | 1,73,033 | |
| **Provision for Diminution in Value of Investment | | 2,673 | |
| | TOTAL (B) | -1,51,318 | |
| Funds Available for Investments | (A-B) | 4,90,604 | |

| Reconciliation of Investment Assets | |
|--|----------|
| Total Investment Assets (as per Balance Sheet) | 4,90,604 |
| Balance Sheet Value of : | |
| A. Life Fund (including Fund Beyond Solvency Margin) | 2,96,705 |
| B. Pension & Gen Annuity Fund | 28,565 |
| C. Unit Linked Funds | 1,65,334 |
| | 4,90,604 |

NON - LINKED BUSINESS

| INVESTMENT ASSETS | | SH | | PH | | NON PAR | | BOOK VALUE (SH + PH) | Actual % | FVC AMOUNT | TOTAL FUND (Balance Sheet Value) | MARKET VALUE |
|--|---------------------------|---------|-------|------------------------|--------|----------|-------------|----------------------|----------|------------|-------------------------------------|--------------|
| A. LIFE FUND | PERCENTAGE AS PER REG. | BALANCE | FRSM+ | UL-NON UNIT RESERVE | PAR | NON PAR | F=(b+c+d+e) | | | | | |
| | | (a) | (b) | (c) | (d) | (e) | | | | | | |
| 1. Govt. Securities | Not Less than 25% | 2,022 | 6,432 | - | 52,055 | 1,14,725 | 1,75,234 | 60.65% | - | - | 1,75,234 | 1,79,456 |
| 2. Govt. Securities or Other Approved Securities | Not Less than 50% | 2,022 | 6,432 | - | 52,055 | 1,16,827 | 1,77,336 | 61.39% | - | - | 1,77,336 | 1,81,672 |
| 3. Investment Subject to Exposure Norms | | | | | | | | | | | | |
| a. Housing & Infrastructure | | | | | | | | | | | | |
| 1. Approved Investment | Not Less than 15% | - | 1,239 | - | 12,482 | 24,261 | 37,982 | 13.30% | 2,196 | - | 40,178 | 42,329 |
| 2. Other Investment | | - | 4,772 | - | - | 3,767 | 8,539 | 2.99% | 611 | - | 9,150 | 9,150 |
| b. (i) Approved Investments | | 2,975 | 6,365 | 296 | 12,660 | 30,958 | 53,254 | 17.61% | 1,840 | - | 55,094 | 55,189 |
| (ii) Other Investments" not to exceed 15% | Not exceeding 35% | 439 | 8,230 | - | 3,063 | 2,187 | 13,919 | 4.72% | 1,029 | - | 14,948 | 15,292 |
| TOTAL : LIFE FUND | | 100% | 5,435 | 27,038 | 296 | 80,260 | 1,78,001 | 2,91,029 | 100.00% | 5,676 | 2,96,705 | 3,03,633 |

| B. PENSION / GROUP GRATUITY FUND | | PH | | NON PAR | | TOTAL BOOK VALUE | | Actual % | FVC AMOUNT | TOTAL FUND (Balance Sheet Value) | MARKET VALUE |
|---|------------------------|-------|---------|---------|---------|------------------|------------------|----------|------------|-------------------------------------|--------------|
| | PERCENTAGE AS PER REG. | PAR | NON PAR | NON PAR | NON PAR | TOTAL BOOK VALUE | TOTAL BOOK VALUE | | | | |
| 1. Government Securities | Not Less than 20% | 6,988 | 11,498 | 18,486 | 65.89% | - | 18,486 | | - | 18,486 | 19,931 |
| 2. Government Securities or other approved securities (including (i) above) | Not Less than 40% | 7,104 | 12,704 | 19,808 | 70.61% | - | 19,808 | | - | 19,808 | 21,278 |
| 3. Balance in approved investment | Not Exceeding 60% | 2,485 | 5,761 | 8,246 | 29.39% | 510 | 8,757 | | 510 | 8,757 | 8,924 |
| TOTAL : PENSION / GROUP GRATUITY FUND | 100% | 9,589 | 18,465 | 28,055 | 100% | 510 | 28,565 | | 510 | 28,565 | 30,202 |

LINKED BUSINESS

| C. LINKED LIFE INSURANCE FUND | | PH | | NON PAR | | TOTAL FUND (Balance Sheet Value) | | Actual % |
|------------------------------------|------------------------|-----|----------|----------|---------|-------------------------------------|-------------------------------------|----------|
| | PERCENTAGE AS PER REG. | PAR | NON PAR | NON PAR | NON PAR | TOTAL FUND (Balance Sheet Value) | TOTAL FUND (Balance Sheet Value) | |
| 1. Approved Investments | Not Less than 75% | - | 1,44,645 | 1,44,645 | 87.49% | - | 1,44,645 | |
| 2. Other than Approved Investment | Not More than 25% | - | 20,689 | 20,689 | 12.51% | - | 20,689 | |
| TOTAL : LINKED LIFE INSURANCE FUND | 100% | - | 1,65,334 | 1,65,334 | 100.00% | - | 1,65,334 | |

**The provision amounting to 26.73 Crore @50% on Ammortised Value of Rs. 53.46 Crore on NCD's of REL INFRA under Non Linked Funds has been created as part of prudent mechanism and reduced from Investment in NCD (Sch 8 & 8A). The amount of Rs. 26.43 Crore is added in Application of Funds in above Table, for reconciliation purposes. As values in Schedule 8, 8A and 8B is net of provision.

CERTIFICATION:

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Note : (+) FRSM refers to 'Funds representing Solvency Margin'

Funds beyond Solvency Margin shall have a separate Custody Account.

Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time

Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

Fair value change account forming part of Balance Sheet includes Rs.1.55 crores of unrealized profit/loss for Outstanding Interest Rate Future derivative contracts with notional face value aggregating to Rs.75 crores. Interest

rate derivative exposure being an off Balance Sheet item is not included in the investment returns. However, the details are reported separately in the prescribed format for Interest Rate derivatives vide circular no. IRDA-F&I-CIR-INV-138-06-2014 dated 11 June 2014.

| PARTICULARS | NAME OF THE FUND | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | TOTAL | |
|---|---|---------|--|---------|--|---------|---|---------|---|---------|---|---------|--|---------|---|---------|---|---------|---|---------|--|---------|--|---------|--|---------|---|---------|---|---------|---|---------|--|---------|-------------|---------|----------|-------|
| | BOND FUND (LA.F00017/06/11/8NDP UND147) | | DISCONTINUANCE FUND (LA.F000701/01/12/20/CONTIN 7) | | GROUP BALANCER FUND (LA.F000305/09/11/0FBA LNC147) | | GROUP BOND FUND (LA.F000305/09/11/0FBA ND147) | | GROUP GROWTH FUND (LA.F000305/09/11/0FBA OWTH147) | | MANAGED FUND (LA.F000618/04/11/MANA GED147) | | PENSION SECURE FUND (LA.F000931/03/11/SETUP NSCR147) | | EQUITY MIDCAP FUND (LA.F001107/10/11/70/16 TLMJDCAP147) | | EQUITY LARGE CAP FUND (LA.F001118/09/11/02/LAG ECAP147) | | PE BASED FUND (LA.F000526/06/11/0FBA ED147) | | PENSION GROWTH FUND (LA.F000811/03/11/SETUP NGSW147) | | EQUITY TOP 250 (LA.F001077/07/11/02/TP25 0147) | | MONEY MARKET FUND (LA.F000425/08/11/0MON YMARKET147) | | DISCONTINUED POLICY PENSION FUND (LA.F000811/03/11/SETUP NOSCR147) | | GROUP MONEY MARKET FUND (LA.F000405/09/11/0F MONEYMARKET147) | | EQUITY BLUE CHIP FUND (LA.F01236/11/11/0ETL.BLUC HP147) | | GLT FUND (LA.F01126/11/11/0ETL.GLT TND147) | | | | | |
| | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | | |
| Opening Balance/Market Value | 5,111.10 | - | 81,475.13 | - | 2,154.58 | - | 9,446.81 | - | 4,335.33 | - | 1,052.00 | - | 607.18 | - | 9,277.49 | - | 8,141.08 | - | 2,010.09 | - | 114.18 | - | 19,862.18 | - | 171.83 | - | 68.97 | - | 2,455.82 | - | 241.01 | - | 4,43,191.05 | - | | | | |
| Add: Inflow during the Quarter | 2,394.86 | - | 1,821.00 | - | 83.11 | - | 7.38 | - | 207.61 | - | 364.07 | - | 85.05 | - | 2,277.65 | - | 1,601.42 | - | 61.48 | - | 104.13 | - | 1,425.12 | - | 156.01 | - | 668.77 | - | 230.00 | - | 17,420.23 | - | | | | | | |
| Less: Outflow during the Quarter | 148.85 | - | 160.04 | - | 64.88 | - | 56.75 | - | 710.53 | - | 155.30 | - | 15.34 | - | 1,403.00 | - | 2,755.13 | - | 132.86 | - | 317.49 | - | 1,051.10 | - | 6.35 | - | 755.40 | - | 11.00 | - | 19,760.00 | - | | | | | | |
| Less: Outflow during the Quarter | 4,450.43 | - | 1,003.74 | - | 1,003.74 | - | 14.03 | - | 60.84 | - | 312.95 | - | 88.49 | - | 1,800.32 | - | 1,154.70 | - | 176.37 | - | 238.31 | - | 2,420.10 | - | 144.21 | - | 84.41 | - | 337.77 | - | 132.11 | - | 19,760.00 | - | | | | |
| TOTAL INVESTIBLE FUNDS (NET VALUE) | 18,016.41 | - | 18,185.39 | - | 2,174.44 | - | 1,815.50 | - | 4,711.53 | - | 2,263.10 | - | 920.47 | - | 26,452.44 | - | 43,833.19 | - | 2,465.87 | - | 2,207.38 | - | 40,498.90 | - | 656.61 | - | 671.48 | - | 8,077.23 | - | 891.48 | - | 1,85,334.18 | - | | | | |
| INVESTMENT OF UNIT FUND | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| PARTICULARS | BOND FUND (LA.F00017/06/11/8NDP UND147) | | DISCONTINUANCE FUND (LA.F000701/01/12/20/CONTIN 7) | | GROUP BALANCER FUND (LA.F000305/09/11/0FBA LNC147) | | GROUP BOND FUND (LA.F000305/09/11/0FBA ND147) | | GROUP GROWTH FUND (LA.F000305/09/11/0FBA OWTH147) | | MANAGED FUND (LA.F000618/04/11/MANA GED147) | | PENSION SECURE FUND (LA.F000931/03/11/SETUP NSCR147) | | EQUITY MIDCAP FUND (LA.F001107/10/11/70/16 TLMJDCAP147) | | EQUITY LARGE CAP FUND (LA.F001118/09/11/02/LAG ECAP147) | | PE BASED FUND (LA.F000526/06/11/0FBA ED147) | | PENSION GROWTH FUND (LA.F000811/03/11/SETUP NGSW147) | | EQUITY TOP 250 (LA.F001077/07/11/02/TP25 0147) | | MONEY MARKET FUND (LA.F000425/08/11/0MON YMARKET147) | | DISCONTINUED POLICY PENSION FUND (LA.F000811/03/11/SETUP NOSCR147) | | GROUP MONEY MARKET FUND (LA.F000405/09/11/0F MONEYMARKET147) | | EQUITY BLUE CHIP FUND (LA.F01236/11/11/0ETL.BLUC HP147) | | GLT FUND (LA.F01126/11/11/0ETL.GLT TND147) | | TOTAL | | | |
| | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | Actual Inv. | %Actual | | |
| Approved Investments (9-75%) | 2321.91 | 11.80% | 11637.21 | 63.48% | 801.88 | 37.28% | 269.84 | 36.91% | 713.71 | 35.08% | 508.82 | 11.34% | 351.81 | 35.85% | - | - | - | - | 66.19 | 1.31% | - | - | - | - | 218.81 | 31.69% | 148.51 | 52.45% | - | - | 179.33 | 43.24% | 18,091.35 | 10.56% | | | | |
| Central Govt Securities | 1765.91 | 35.38% | 2206.17 | 12.03% | 408.77 | 18.80% | 700.12 | 38.57% | 1013.73 | 21.43% | 848.73 | 19.93% | 357.30 | 38.44% | - | - | - | - | 561.54 | 19.57% | - | - | - | - | 166.26 | 24.08% | 188.33 | 39.96% | - | - | 387.72 | 45.20% | 10,596.18 | 6.41% | | | | |
| State Government Securities | 289.28 | 2.92% | 1093.53 | 2.18% | - | - | 42.00 | 2.31% | - | - | 4.32 | 0.92% | 28.88 | 1.93% | - | - | - | - | 139.56 | 4.53% | - | - | - | - | 155.55 | 19.00% | 2.86 | 0.40% | - | - | 4.02 | 0.47% | 1,329.14 | 0.68% | | | | |
| Corporate Bonds | 2081.50 | 39.76% | - | - | 168.08 | 7.73% | 201.09 | 16.84% | 360.15 | 7.61% | 339.86 | 8.44% | 136.04 | 14.64% | - | - | - | - | 304.09 | 10.40% | - | - | - | - | 92.88 | 7.59% | - | - | - | - | 0.17 | 0.00% | - | - | 5,165.15 | 2.78% | | |
| Infrastructure Bonds | 361.60 | 1.80% | - | - | - | - | 30.05 | 1.10% | 17.86 | 2.00% | 33.76 | 0.75% | - | - | - | - | - | - | 80.10 | 1.40% | - | - | - | - | 25.07 | 1.53% | - | - | - | - | - | - | 60.86 | 0.35% | | | | |
| Equity | 32.88 | 0.31% | - | - | 405.83 | 18.80% | 9.18 | 0.51% | 1744.24 | 38.93% | 1170.17 | 27.43% | 4.83 | 0.49% | 18201.88 | 74.85% | 34500.61 | 78.31% | 1488.40 | 51.17% | 1812.34 | 82.11% | 35207.81 | 87.64% | 3.34 | 0.48% | - | - | - | - | 2,302.00 | 7.73% | - | - | 68,038.75 | 39.38% | | |
| Money Market Investments | 1442.16 | 37.54% | 3997.11 | 21.38% | 282.44 | 12.54% | 51.30 | 2.81% | 355.75 | 8.36% | 384.39 | 9.03% | 37.73 | 4.06% | 37.81 | 0.14% | 31.86 | 0.07% | 0.36 | 0.13% | 17.87 | 0.81% | 83.80 | 1.11% | 77.00 | 11.28% | 28.75 | 8.40% | - | - | 11.58 | 0.86% | 72.38 | 8.44% | 4,070.14 | 2.18% | | |
| Mutual Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 2,127.54 | 1.41% | | |
| Debt with Bonds | 46.00 | 0.45% | - | - | - | - | - | - | - | - | 11.00 | 0.15% | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 40.00 | 0.04% | | |
| Sub Total (A) | 10382.37 | 97.61% | 18304.60 | 99.81% | 1871.00 | 86.04% | 1783.18 | 98.27% | 4132.89 | 91.43% | 3702.86 | 86.85% | 897.66 | 96.58% | 13288.63 | 79.80% | 35079.08 | 81.21% | 2545.58 | 81.40% | 1952.41 | 88.44% | 30381.56 | 83.20% | 675.26 | 87.81% | 479.87 | 101.18% | - | - | 2,435.98 | 79.18% | 837.47 | 97.61% | 1,41,493.97 | 85.58% | | |
| Current Assets | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Accrued Interest | 231.10 | 2.18% | 136.66 | 0.75% | 25.14 | 1.16% | 33.32 | 1.84% | 13.01 | 0.31% | 60.93 | 1.43% | 18.02 | 1.84% | 0.00 | 0.00% | 0.00 | 0.00% | 24.74 | 0.86% | 0.00 | 0.00% | - | - | 11.06 | 1.60% | 7.71 | 1.83% | - | - | 0.00 | 0.00% | 10.14 | 1.18% | 611.54 | 0.37% | | |
| Unpaid Dividend | 0.88 | 0.01% | 0.85 | 0.00% | 0.11 | 0.01% | 0.51 | 0.03% | 0.54 | 0.01% | 0.54 | 0.01% | 0.51 | 0.05% | 21.87 | 0.09% | 41.44 | 0.10% | 0.84 | 0.02% | 0.80 | 0.04% | 11.18 | 0.07% | 8.89 | 0.36% | - | - | 8.89 | 0.36% | 100.19 | 0.06% | - | - | 111.64 | 0.06% | | |
| Receivable for Sale of Investments | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Other Current Assets (For Investments) | 35.30 | 0.33% | - | - | 140.91 | 6.48% | 0.00 | 0.00% | 10.61 | 1.49% | 324.40 | 7.61% | 14.49 | 1.56% | 375.19 | 1.49% | 1188.37 | 2.59% | 133.30 | 4.18% | - | - | - | - | 160.89 | 0.40% | 4.07 | 0.59% | - | - | 97.88 | 1.03% | 11.11 | 1.30% | 2,246.18 | 1.30% | | |
| Less Current Liabilities | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Payable For Investments | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Fund Mgmt Charges Payable | 12.47 | 0.12% | 8.87 | 0.05% | 2.77 | 0.13% | 2.38 | 0.13% | 6.11 | 0.15% | 5.46 | 0.13% | 1.20 | 0.13% | 32.28 | 0.13% | 55.86 | 0.13% | 3.59 | 0.13% | 3.68 | 0.17% | 54.99 | 0.13% | 0.49 | 0.07% | 0.23 | 0.05% | - | - | 3.81 | 0.12% | 1.02 | 0.12% | 189.22 | 0.12% | | |
| Other Current Liabilities (For Investments) | - | - | 91.97 | 0.51% | - | - | 0.00 | 0.00% | - | - | 0.00 | 0.00% | 0.00 | 0.00% | 0.00 | 0.00% | 0.11 | 0.11% | 17.70 | 0.60% | 0.00 | 0.00% | 0.00 | 0.00% | 11.31 | 2.87% | - | - | - | - | - | - | 128.39 | 0.08% | | | | |
| Sub Total (B) | 254.15 | 2.39% | 34.67 | 0.19% | 163.97 | 7.34% | 31.44 | 1.73% | 118.87 | 2.51% | 389.91 | 8.93% | 31.82 | 3.42% | 367.37 | 1.43% | 1191.84 | 2.58% | 199.06 | 4.89% | -19.98 | -0.91% | 396.30 | 0.93% | 15.15 | 2.19% | -0.50 | -1.18% | - | - | 0.00% | 0.00% | 92.45 | 3.06% | 20.37 | 2.37% | 3,152.78 | 1.81% |
| Other Investments (75-95%) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Corporate Bonds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Infrastructure Bonds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Other Equity | - | - | - | - | 130.47 | 6.41% | - | - | 286.77 | 6.06% | 179.93 | 4.22% | - | - | 3864.03 | 13.06% | 9390.37 | 4.44% | 100.23 | 5.76% | 113.92 | 5.16% | 3456.86 | 8.10% | - | - | - | - | 149.07 | 4.84% | - | - | 10,106.68 | 6.23% | | | | |
| Other Mutual Funds | - | - | - | - | - | - | - | - | - | - | - | - | - | - | 2182.60 | 8.51% | 5125.44 | 11.78% | - | - | 160.82 | 7.20% | 2465.17 | 5.77% | - | - | - | - | 209.14 | 22.09% | - | - | 10,383.77 | 6.28% | | | | |
| Venture Capital | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Money Market | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | | |
| Sub Total (C) | - | - | - | - | 130.47 | 6.41% | - | - | 286.77 | 6.06% | 179.93 | 4.22% | - | - | 4048.63 | 23.57% | 7125.81 | 16.23% | 103.23 | 5.76% | 274.74 | 12.45% | 5922.04 | 13.87% | - | - | - | - | 348.80 | 17.83% | - | - | 20,887.43 | 12.51% | | | | |
| TOTAL (A)+(B)+(C) | 10636.43 | 100.00% | 18339.1 | 100.00% | 1871.00 | 100.00% | 1783.18 | 100.00% | 4132.89 | 100.00% | 3702.86 | 100.00% | 897.66 | 100.00% | 13288.63 | 100.00% | 35079.08 | 100.00% | 2545.58 | 100.00% | 1952.41 | 100.00% | 30381.56 | 100.00% | 675.26 | 100.00% | 479.87 | 100.00% | - | - | 2,435.98 | 100.00% | 837.47 | 100.00% | 1,41,493.97 | 100.00% | | |

FORM L-28-ULIP-NAV-3A

COMPANY NAME & CODE : EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)

STATEMENT AS ON : 30-Sep-2021

PART - C

Link to FORM 3A (Part B)

| No. | Fund Name | SFIN | Date of Launch | Par/Non Par | Assets Under Management on the above date (Rs in Lacs) | NAV as per LB 2 | NAV as on the above date | Previous Qtr NAV | 2nd Previous Qtr NAV | 3rd Previous Qtr NAV | 4th Previous Qtr NAV | Return/Yield | 3 Year Rolling CAGR | Highest NAV since inception |
|-----|-----------------------------|---------------------------------|----------------|-------------|--|-----------------|--------------------------|------------------|----------------------|----------------------|----------------------|--------------|---------------------|-----------------------------|
| 1 | BOND FUND | ULIF00317/08/11BONDFUND147 | 28-Jul-11 | NON PAR | 10,616.41 | 23.27 | 23.2685 | 22.9125 | 22.5625 | 22.5490 | 21.9855 | 5.84% | 8.35% | 23.2970 |
| 2 | DISCONTINUANCE FUND | ULIF00701/01/12DISCONT147 | 01-Feb-12 | NON PAR | 18,339.30 | 18.63 | 18.6293 | 18.3826 | 18.1760 | 18.1542 | 17.8081 | 4.61% | 5.53% | 18.6357 |
| 3 | GROUP BALANCER FUND | ULGF00205/09/11GFBALANCER147 | 25-Jan-13 | NON PAR | 2,174.44 | 23.14 | 23.1438 | 22.4564 | 21.7740 | 21.5801 | 20.2385 | 14.36% | 10.25% | 23.2683 |
| 4 | GROUP BOND FUND | ULGF00305/09/11GFBOND147 | 25-Jan-13 | NON PAR | 1,815.02 | 20.95 | 20.9541 | 20.6438 | 20.2446 | 20.4030 | 19.8615 | 5.50% | 9.28% | 21.0197 |
| 5 | GROUP GROWTH FUND | ULGF00105/09/11GFGROWTH147 | 25-Jan-13 | NON PAR | 4,731.53 | 26.42 | 26.4175 | 25.1923 | 24.1323 | 23.5668 | 21.3961 | 23.47% | 12.47% | 26.6378 |
| 6 | MANAGED FUND | ULIF00618/08/11MANAGED147 | 03-Aug-11 | NON PAR | 4,263.70 | 29.02 | 29.0194 | 27.8545 | 26.9003 | 26.5186 | 24.4256 | 18.81% | 12.09% | 29.1977 |
| 7 | PENSION SECURE FUND | ULIF00931/03/15ETLPNSSCR147 | 04-Feb-16 | NON PAR | 929.47 | 15.85 | 15.8465 | 15.5782 | 15.3239 | 15.4128 | 14.8618 | 6.63% | 9.39% | 15.8852 |
| 8 | EQUITY MIDCAP FUND | ULIF01107/10/16ETLIMIDCAP147 | 19-Jan-17 | NON PAR | 25,652.69 | 20.37 | 20.3696 | 18.4230 | 16.0333 | 14.3365 | 12.3621 | 64.77% | 21.73% | 20.6146 |
| 9 | EQUITY LARGE CAP FUND | ULIF00118/08/11EQLARGECAP147 | 28-Jul-11 | NON PAR | 43,935.73 | 40.39 | 40.3927 | 35.9774 | 33.5093 | 31.7809 | 25.8174 | 56.46% | 16.49% | 40.9357 |
| 10 | PE BASED FUND | ULIF00526/08/11PEBASED147 | 22-Jul-11 | NON PAR | 2,869.87 | 31.34 | 31.3377 | 29.4771 | 27.9722 | 27.0599 | 23.9844 | 30.66% | 12.75% | 31.6551 |
| 11 | PENSION GROWTH FUND | ULIF00831/03/15ETLPNSGRT147 | 04-Feb-16 | NON PAR | 2,207.18 | 20.37 | 20.3652 | 18.4270 | 16.9543 | 15.9629 | 13.2247 | 53.99% | 15.22% | 20.7327 |
| 12 | EQUITY TOP 250 | ULIF0027/07/11EQTOP250147 | 20-Jul-11 | NON PAR | 42,699.89 | 40.42 | 40.4176 | 36.6568 | 33.2599 | 31.0111 | 26.2762 | 53.82% | 17.94% | 41.0377 |
| 13 | MONEY MARKET FUND | ULIF00425/08/11MONEYMARKET147 | 25-Aug-11 | NON PAR | 690.41 | 21.46 | 21.4567 | 21.2553 | 20.9888 | 20.8090 | 20.5595 | 4.36% | 6.38% | 21.4567 |
| 14 | DISCONTINUED POLICY PENSION | ULIF01031/03/15ETLPNSDSC147 | 04-Feb-16 | NON PAR | 473.48 | 13.45 | 13.4533 | 13.2669 | 13.0897 | 13.1126 | 12.8509 | 4.69% | 5.83% | 13.4642 |
| 15 | GROUP MONEY MARKET FUND | ULGF00405/09/11GFMONEYMARKET147 | 25-Jan-13 | NON PAR | - | 10.00 | 10.0000 | 10.0000 | 10.0000 | 10.0000 | 10.0000 | NA | NA | 10.0000 |
| 16 | EQUITY BLUE CHIP FUND | ULIF01226/11/18ETLBLUCHIP147 | 31-May-19 | NON PAR | 3,077.23 | 15.47 | 15.4723 | 13.8814 | 12.9197 | 12.2599 | 9.8390 | 57.25% | NA | 15.6610 |
| 17 | GILT FUND | ULIF01326/11/18ETLGILTFND147 | 13-Jun-19 | NON PAR | 857.83 | 12.96 | 12.9600 | 12.7378 | 12.4635 | 12.5409 | 12.2019 | 6.21% | NA | 13.0069 |

Note:

NA : It refers to Not Applicable, as the date of inception of the funds is less than 3 years.

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-29 - Detail regarding debt securities (Non Ulip)

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE CO. LTD

Date: 30th September, 2021

Detail regarding Debt securities

(₹ in Lac)

| | MARKET VALUE | | | | BOOK VALUE | | | |
|--|---------------------|---------------------------------|---------------------|------------------------------------|---------------------|---------------------------------|---------------------|---------------------------------|
| | As at 30/09/2021 | As % of total for this class | As at 30/09/2020 | As % of total for this class | As at 30/09/2021 | As % of total for this class | As at 30/09/2020 | As % of total for this class |
| Break down by credit rating | | | | | | | | |
| Sovereign | 1,80,640 | 64.03% | 1,41,012 | 63.16% | 1,76,410 | 64.78% | 1,31,308 | 61.31% |
| AAA rated | 60,168 | 21.33% | 40,457 | 18.12% | 55,789 | 20.48% | 37,399 | 17.46% |
| AA or better | - | - | - | 0.00% | - | - | 0 | 0.00% |
| Rated below AA but above A | 4,048 | 1.43% | 4,370 | 1.96% | 3,703 | 1.36% | 4,146 | 1.94% |
| A rated | - | - | - | - | - | - | - | - |
| Rated below B | 5,346 | 1.90% | 3,796 | 1.70% | 5,346 | 1.96% | 8,193 | 3.83% |
| | | | | - | - | | | |
| Others | | | | | | | | |
| MF/FD/OTHERS | 31,927 | 11.32% | 33,623 | 15.06% | 31,093 | 11.42% | 33,132 | 15.47% |
| A1+/P1+/PR1+/F1+ | - | - | - | - | - | - | - | - |
| Total | 282130 | 100.00% | 223258 | 100.00% | 272341 | 100.00% | 214177 | 100.00% |
| Breakdown by residual maturity | | | | | | | | |
| Up to 1 year | 20,849 | 7.39% | 5258 | 2.36% | 20,899 | 7.67% | 5254 | 2.45% |
| more than 1 year and up to 3years | 1,653 | 0.59% | 7177 | 3.21% | 1,622 | 0.60% | 11516 | 5.38% |
| More than 3 years and up to 7years | 38,461 | 13.63% | 33705 | 15.10% | 37,587 | 13.80% | 32713 | 15.27% |
| More than 7 years and up to 10 years | 28,907 | 10.25% | 25064 | 11.23% | 26,811 | 9.84% | 23398 | 10.92% |
| More than 10 years and up to 15 years | 7,865 | 2.79% | 8261 | 3.70% | 7,676 | 2.82% | 7426 | 3.47% |
| More than 15 years and up to 20 years | 8,817 | 3.13% | 8671 | 3.88% | 9,136 | 3.35% | 8313 | 3.88% |
| Above 20 years | 1,75,578 | 62.23% | 135121 | 60.52% | 1,68,610 | 61.91% | 125557 | 58.62% |
| Total | 282130 | 100.00% | 223258 | 100.00% | 272341 | 100.00% | 214177 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 1,79,456 | 63.61% | 137283 | 61.49% | 1,75,234 | 64.34% | 127640 | 59.60% |
| State Government | 2,216 | 0.79% | 5910 | 2.65% | 2,102 | 0.77% | 5629 | 2.63% |
| Corporate Securities | 50,347 | 17.85% | 37475 | 16.79% | 47,756 | 17.54% | 39588 | 18.48% |
| MF/REV REPO/TREP/FD/OTHERS | 50,111 | 17.76% | 42590 | 19.08% | 47,249 | 17.35% | 41320 | 19.29% |
| Others | - | - | - | - | - | - | - | - |
| Total | 282130 | 100.00% | 223258 | 100.00% | 272341 | 100.00% | 214177 | 100.00% |

Note :

1. In case of a debt instrument is rated by more than one agency, then the lowest rating has been taken for the purpose of classification.
2. Market value of the securities is in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
3. Figures comprises of aggregate of Life Fund and Fund Beyond Solvency Margin

FORM L-29- Details regarding debt securities (Pension)

 Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE CO. LTD**

Date: 30th September, 2021

Detail regarding Debt securities

(₹ in Lac)

| | MARKET VALUE | | | | BOOK VALUE | | | |
|--|---------------------|---------------------------------|---------------------|---------------------------------|---------------------|---------------------------------|---------------------|---------------------------------|
| | As at 30/09/2021 | As % of total for this class | As at 30/09/2020 | As % of total for this class | As at 30/09/2021 | As % of total for this class | As at 30/09/2020 | As % of total for this class |
| Break down by credit rating | | | | | | | | |
| Sovereign | 21,163 | 73.33% | 18931 | 78.97% | 19,706 | 73.23% | 17050 | 78.26% |
| AAA rated | 6,996 | 24.24% | 4402 | 18.36% | 6,502 | 24.16% | 4098 | 18.81% |
| AA or better | - | - | - | - | - | - | - | - |
| Rated below AA but above A | - | - | - | - | - | - | - | - |
| A rated | - | - | - | - | - | - | - | - |
| Rated below B | - | - | - | - | - | - | - | - |
| Others | | | | | | | | |
| MF/FD/OTHERS | 700 | 2.43% | 640 | 2.67% | 700 | 2.60% | 640 | 2.94% |
| A1+/P1+/PR1+/F1+ | - | - | - | - | - | - | - | - |
| Total | 28859 | 100.00% | 23973 | 100.00% | 26908 | 100.00% | 21788 | 100.00% |
| Breakdown by residual maturity | | | | | | | | |
| Up to 1 year | 1,072.18 | 0.04 | 231 | 0.96% | 1,072.18 | 0.04 | 231 | 1.06% |
| more than 1 year and up to 3years | 3,747 | 12.98% | 1528 | 6.37% | 3,650 | 13.56% | 1456 | 6.68% |
| More than 3 years and up to 7years | 1,982 | 6.87% | 2135 | 8.91% | 1,921 | 7.14% | 2046 | 9.39% |
| More than 7 years and up to 10 years | 224 | 0.78% | 226 | 0.94% | 205 | 0.76% | 201 | 0.92% |
| More than 10 years and up to 15 years | 135 | 0.47% | 139 | 0.01 | 129 | 0.00 | 124 | 0.01 |
| More than 15 years and up to 20 years | 177 | 0.61% | 181 | 0.75% | 176 | 0.65% | 169 | 0.78% |
| Above 20 years | 21,523 | 74.58% | 19533 | 81.48% | 19,756 | 73.42% | 17561 | 80.60% |
| Total | 28859 | 100.00% | 23973 | 100.00% | 26908 | 100.00% | 21788 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 19,931 | 69.06% | 18234 | 76.06% | 18,486 | 68.70% | 16363 | 75.10% |
| State Government | 1,347 | 4.67% | 812 | 3.39% | 1,323 | 4.92% | 790 | 3.63% |
| Corporate Securities | 4,754 | 16.47% | 3290 | 13.73% | 4,586 | 17.04% | 3115 | 14.30% |
| MF/REV REPO/TREP/FD/OTHERS | 2,828 | 9.80% | 1637 | 6.83% | 2,513 | 9.34% | 1520 | 6.98% |
| Others | - | - | - | - | - | - | - | - |
| Total | 28859 | 100.00% | 23973 | 100.00% | 26908 | 100.00% | 21788 | 100.00% |

Note :

1. In case of a debt instrument is rated by more than one agency, then the lowest rating has been taken for the purpose of classification.
2. Market value of the securities is in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

FORM L-29- Details regarding debt securities (Ulip)

 Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE CO. LTD**

Date: 30th September, 2021

Detail regarding Debt securities

(₹ in Lac)

| | MARKET VALUE | | | | BOOK VALUE | | | |
|--|---------------------|---------------------------------|---------------------|---------------------------------|---------------------|---------------------------------|---------------------|---------------------------------|
| | As at 30/09/2021 | As % of total for this class | As at 30/09/2020 | As % of total for this class | As at 30/09/2021 | As % of total for this class | As at 30/09/2020 | As % of total for this class |
| Break down by credit rating | | | | | | | | |
| Sovereign | 29,816 | 72.50% | 20393 | 55.88% | 29,622 | 72.54% | 20426 | 54.68% |
| AAA rated | 11,252 | 27.36% | 9999 | 27.40% | 11,154 | 27.31% | 9742 | 26.08% |
| AA or better | - | - | 29 | 0.08% | - | - | 29 | 0.08% |
| Rated below AA but above A | - | - | - | 0.00% | - | - | - | - |
| A rated | - | - | - | - | - | - | - | - |
| Rated below B | - | - | 135 | 0.37% | - | - | 547 | 1.46% |
| Others | | | | | | | | |
| MF/FD/OTHERS | 60 | 0.15% | 5,941 | 16.28% | 60 | 0.15% | 6,612 | 17.70% |
| A1+/P1+/PR1+/F1+ | - | - | - | - | - | - | - | - |
| Total | 41128 | 100.00% | 36498 | 100.00% | 40836 | 100.00% | 37356 | 100.00% |
| Breakdown by residual maturity | | | | | | | | |
| Up to 1 year | 13,769 | 33.48% | 11378 | 31.18% | 13,766 | 33.71% | 12038 | 32.23% |
| more than 1 year and up to 3years | 11,776 | 28.63% | 17376 | 47.61% | 11,595 | 28.39% | 17602 | 47.12% |
| More than 3 years and up to 7years | 10,021 | 24.37% | 4868 | 13.34% | 9,922 | 24.30% | 4875 | 13.05% |
| More than 7 years and up to 10 years | 1 | 0.00% | 435 | 1.19% | 1 | 0.00% | 402 | 1.08% |
| More than 10 years and up to 15 years | 5,431 | 13.21% | 916 | 2.51% | 5,423 | 13.28% | 911 | 2.44% |
| More than 15 years and up to 20 years | - | - | 105 | 0.29% | - | - | 105 | 0.28% |
| Above 20 years | 130 | 0.32% | 1420 | 3.89% | 129 | 0.32% | 1423 | 3.81% |
| Total | 41128 | 100.00% | 36498 | 100.00% | 40836 | 100.00% | 37356 | 100.00% |
| Breakdown by type of the issuer | | | | | | | | |
| Central Government | 18,091 | 43.99% | 13886 | 38.05% | 18,068 | 44.24% | 13908 | 37.23% |
| State Government | 11,725 | 28.51% | 6593 | 18.06% | 11,554 | 28.29% | 6603 | 17.68% |
| Corporate Securities | 4,341 | 10.55% | 7421 | 20.33% | 4,243 | 10.39% | 7576 | 20.28% |
| MF/REV REPO/TREP/FD/OTHERS | 6,971 | 16.95% | 8598 | 23.56% | 6,971 | 17.07% | 9268 | 24.81% |
| Others | - | - | - | - | - | - | - | - |
| Total | 41128 | 100.00% | 36498 | 100.00% | 40836 | 100.00% | 37356 | 100.00% |

Note :

1. In case of a debt instrument is rated by more than one agency, then the lowest rating has been taken for the purpose of classification.
2. Market value of the securities is in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.

FORM L-30 : Related Party Transactions

Name of the Insurer: Edelweiss Tokio Life Insurance Company Limited

Registration Number: 147 dated 10 May 2011

Date : 30 SEPTEMBER 2021

(₹ in Lacs)

| Sr. No | Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | For the quarter ending 30 September 2021 | For the Half Year Ending 30 September 2021 | For the quarter ending 30 September 2020 | For the Half Year Ending 30 September 2020 |
|--------|---|---|---|--|--|--|--|
| 1 | Edelweiss Financial Service Limited | Holding Company | Reimbursement of Cost of premium towards Group Term Life | - | - | -61.91 | -304.66 |
| | | | Premium collected for group insurance policy | 1.37 | 29.53 | - | - |
| | | | Royalty Fees | -75.00 | -150.00 | -60.00 | -120.00 |
| | | | ESOP Charges | -41.43 | -94.33 | -23.94 | -95.00 |
| | | | Advertisement and Promotions | - | - | - | - |
| | | | Information Technology Cost | - | -0.01 | -12.22 | -15.26 |
| | | | Professional expenses | -6.02 | -6.02 | -22.35 | -40.94 |
| | | | Staff Welfare | - | - | -0.60 | -0.89 |
| | | | Capital Infusion | 9,180.00 | 9,180.00 | - | - |
| | | | Marketing Charges | - | - | -10.03 | -10.03 |
| | | | Interest on Non Convertible Debentures (NCD) | - | 7.19 | - | - |
| 2 | Edelweiss Securities Limited | Fellow Subsidiary | Purchase of Securities | - | -1,500.00 | - | - |
| | | | Brokerage Paid | -6.06 | -26.76 | -21.21 | -27.31 |
| | | | Information Technology Cost | - | - | 0.16 | - |
| | | | Staff Welfare | - | - | -0.28 | -0.45 |
| | | | TPA Share Tech | - | - | -0.28 | -0.28 |
| 3 | ECL Finance Limited | Fellow Subsidiary | Share of Gratuity for transferred employees | - | - | - | - |
| | | | Interest on Non-Convertible Debentures | - | - | - | - |
| | | | Purchase of Securities | - | - | - | -985.61 |
| | | | Sale of Securities | - | - | - | 1,141.68 |
| | | | Premium collected for group insurance policy | 30.99 | 40.79 | 0.36 | 3.89 |
| | | | Redemption of Securities | - | - | - | 1.52 |
| 4 | Edelweiss Broking Limited | Fellow Subsidiary | Claims paid to Group Policyholders | -115.42 | -123.42 | -7.48 | -7.48 |
| | | | Insurance Commission paid as Corporate Agent | -181.95 | -317.24 | -147.92 | -236.18 |
| | | | Brokerage Paid | -0.48 | -2.37 | -10.73 | -16.31 |
| 5 | Edelweiss Retail Finance Limited | Fellow Subsidiary | Interest on Non-Convertible Debentures | -59.39 | 12.94 | 79.51 | 153.75 |
| | | | Insurance Premium Collected | 3.12 | 9.77 | 0.04 | 0.04 |
| | | | Claims paid to Group Policyholders | -16.00 | -18.00 | - | - |
| 6 | Edelweiss Finance & Investments Limited | Fellow Subsidiary | Interest on Non-Convertible Debentures | - | - | - | - |
| | | | Purchase of Securities | -182.79 | -301.06 | - | - |
| | | | Sale of Securities | 1,495.29 | 1,495.29 | - | - |
| 7 | Ecap Equities Limited (Serenity Business Park Ltd & Eternity Business Park Ltd merged in Q2 of FY2018) | Fellow Subsidiary | Office Rent, Business Centre Charges and Facility Charges | -64.66 | -194.89 | -336.32 | -575.71 |
| | | | Information Technology Cost | - | - | -0.65 | -0.65 |
| 9 | Edelweiss Housing Finance Limited | Fellow Subsidiary | Premium collected for group insurance policy | 216.27 | 329.14 | -9.84 | -7.83 |
| | | | Claims paid to Group Policyholders | -794.10 | -969.80 | 44.46 | -339.22 |
| | | | Share of Gratuity for transferred employees | - | - | - | - |
| | | | Interest on Non-Convertible Debentures | 143.88 | 150.31 | 6.50 | 12.92 |
| 10 | Edelweiss General Insurance Company Limited | Fellow Subsidiary | Reimbursement of Cost of premium towards Group Term Life | -194.59 | -408.45 | -143.62 | -143.62 |
| | | | Purchase of Securities | -651.06 | -651.06 | - | - |
| 11 | Edelweiss Rural & Corporate Services Limited | Fellow Subsidiary | Interest on Non-Convertible Debentures | 46.63 | 92.75 | 46.50 | 92.50 |
| | | | Office Rent, Business Centre Charges and Facility Charges | -27.53 | -27.58 | 82.71 | - |
| | | | Redemption of Securities | - | - | - | 919.27 |
| | | | Information Technology Cost | -157.89 | -309.49 | -61.82 | -76.25 |
| | | | Professional expenses | -16.08 | -32.16 | -173.46 | -173.46 |
| | | | Marketing | - | - | -14.99 | -14.99 |
| 12 | Edel Land Limited | Fellow Subsidiary | Training Centre Cost | -2.32 | -2.32 | - | - |
| | | | Staff Welfare | -0.21 | -0.21 | - | - |
| 13 | Tokio Marine & Nichido Fire Insurance Co. Ltd | Enterprise having significant Influence | Capital Infusion | 8,820.00 | 8,820.00 | - | - |
| 14 | Key Managerial Personnel | Key Managerial Personnel | Managerial Remuneration | -215.10 | -430.20 | -278.52 | -234.54 |

FORM - L-31**LNL - 6 : Board of Directors & Key Persons**Name of the Insurer : **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED**Date : **30 September 2021**Registration Number: **147 dated 10 May 2011****Board of Directors and Key Management Person (KMP) information**

| Sr. No. | Name of the Directors | Designation | Role/Function | Details of change in the period if any |
|---------|----------------------------|-----------------------------------|-----------------------------------|--|
| 1 | Mr. Rashesh Shah | Chairman | Chairman | - |
| 2 | Mr. Rujan Panjwani | Vice - Chairman | Vice - Chairman | - |
| 3 | Mr. Sumit Rai | Managing Director & CEO | Managing Director & CEO | - |
| 4 | Mr. Subhrajit Mukhopadhyay | Executive Director | Executive Director | - |
| 5 | Mr. Deepak Mittal | Director | Director | - |
| 6 | Mr. Ian Brimecome | Director | Director | - |
| 7 | Ms. Kamala K. | Director | Director | - |
| 8 | Mr. Kunnasagaran Chinniah | Independent Director | Independent Director | - |
| 9 | Mr. Loo Chuan Tang | Director | Director | - |
| 10 | Mr. Navtej S. Nandra | Independent Director | Independent Director | - |
| 11 | Mr. P. N. Venkatachalam | Independent Director | Independent Director | - |
| 12 | Mr. Saloon Tham | Director | Director | Resignation w.e.f July 7, 2021 |
| 13 | Mr. Trevor Matthews | Director | Director | - |
| 14 | Mr. Noboru Yamagata | Director | Director | Appointment w.e.f. July 22, 2021 |
| Sr. No. | Name of the KMP | Designation | Role/Function | Details of change in the period if any |
| 1 | Mr. Sumit Rai | Managing Director & CEO | Managing Director & CEO | - |
| 2 | Mr. Subhrajit Mukhopadhyay | Executive Director | Executive Director | - |
| 3 | Mr. Vikas Bansal | Chief Human Resource Officer | Chief Human Resource Officer | - |
| 4 | Mr. Abhishek Gupta | Chief Marketing Officer | Chief Marketing Officer | - |
| 5 | Mr. Nirmal Nogaja | Appointed Actuary | Appointed Actuary | - |
| 6 | Mr. Ankur Chadha | Chief Compliance Officer | Chief Compliance Officer | - |
| 7 | Mr. Ritesh Taksali | Chief Investment Officer (Equity) | Chief Investment Officer (Equity) | - |
| 8 | Mr. Ashish Kela | Chief Investment Officer (Debt) | Chief Investment Officer (Debt) | - |
| 9 | Mr. Kumar Saurav | Chief Risk Officer | Chief Risk Officer | - |
| 10 | Mr. Sharad Maheshwari | Interim Chief Financial Officer | Interim Chief Financial Officer | - |
| 11 | Mr. Swadesh Agrawal | Company Secretary | Company Secretary | Resignation w.e.f Close of Business Hours September 30, 2021 |

Key Management Person(KMP) as defined in Guidelines for Corporate Governance for Insurers in India dated 18 May, 2016 (Circular Ref: IRDA/F&A/GDL/CG/100/05/2016)

Form No. L-32 Available Solvency Margin and Solvency Ratio

As at 30th September 2021

Name of the Insurer: **Edelweiss Tokio Life**

Form Code:

KT-3

Classification: **Total Business**

Registration Number:

147

| Item | Description | Notes No... | Adjusted Value (Rs.Lakhs) |
|------|---|-------------|---------------------------|
| (1) | (2) | (3) | (4) |
| 01 | Available Assets in Policyholders' Fund: | 1 | 4,61,664 |
| | Deduct: | | |
| 02 | Mathematical Reserves | 2 | 4,60,679 |
| 03 | Other Liabilities | 3 | - |
| 04 | Excess in Policyholders' funds (01-02-03) | | 985 |
| 05 | Available Assets in Shareholders Fund: | 4 | 33,372 |
| | Deduct: | | |
| 06 | Other Liabilities of shareholders' fund | 3 | - |
| 07 | Excess in Shareholders' funds (05-06) | | 33,372 |
| 08 | Total ASM (04)+(07) | | 34,356 |
| 09 | Total RSM | | 16,648 |
| 10 | Solvency Ratio (ASM/RSM) | | 2.06 |

Note:

- a) Item No. 01 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Policyholders
- b) Item No. 02 shall be the amount of the Mathematical Reserves as mentioned in Form H;
- c) Item No. 03 and 06 shall be the amount of other liabilities as mentioned in the Balance Sheet;
- d) Item No. 05 shall be the amount of the Total Admissible assets for Solvency as mentioned in Form IRDAI-Assets-AA under Shareholders

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

L-33

COMPANY NAME & CODE: Edelweiss Tokio Life Insurance Company Limited - 147

Name of the fund: Life Fund

STATEMENT AS ON : 30-Sep-2021

DETAILS OF NON-PERFORMING ASSETS - QUARTERLY

(₹ in Lac)

| No | Description | Bonds/ Debentures | | Loans | | Other debt instruments | | All Other Assets | | Total | |
|----|---|-------------------|-------------------------|----------------|-------------------------|------------------------|-------------------------|------------------|-------------------------|----------------|-------------------------|
| | | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 |
| 1 | Investment Assets (As per form 3A/ 3B - Total Fund) | 47,756 | 42,233 | - | - | 33,138 | 31,150 | 2,10,135 | 1,85,287 | 2,91,029 | 2,58,670 |
| 2 | Gross NPA | 5,346 | 6,631 | - | - | - | - | - | - | 5,346 | 6,631 |
| 3 | % of Gross NPA on Investment assets (2/1) | 11.20% | 15.70% | - | - | - | - | - | - | 1.84% | 2.56% |
| 4 | Provision made on NPA | 2,673 | 4,145 | - | - | - | - | - | - | 2,673 | 4,145 |
| 5 | Provision as a % of NPA (4/2) | 50.00% | 62.51% | - | - | - | - | - | - | 50.00% | 62.51% |
| 6 | Provision on standard assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 45,083 | 38,089 | - | - | 33,138 | 31,150 | 2,10,135 | 1,85,287 | 2,88,356 | 2,54,525 |
| 8 | Net NPA (2-4) | 2,673 | 2,486 | - | - | - | - | - | - | 2,673 | 2,486 |
| 9 | % of Net NPA to Net Investment Assets (8/7) | 5.93% | 6.53% | - | - | - | - | - | - | 0.93% | 0.98% |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

Note: Life fund includes Fund Beyond Solvency Margin for the purpose of this disclosure.

Note : Investment Assets includes exposure to NCD's of Reliance Infra is at Rs. 53.46 Crs. Provision of Rel Infra is 50% amounting to Rs. 26.73 Crs.

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various instruments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund
2. Investment Assets reconciles with figures shown in Schedule 8, 8A, 8B & 9 of the Balance Sheet
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' is as per Circular: 32/2/F&A/Circulars/ 169/Jan/2006-07 as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off (if any) as approved by the Board
8. Security Receipts in ARC(Asset Reconstruction Companies) governed under the SARFEASI Act are included in Other Debt Instruments

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COMPANY NAME & CODE: Edelweiss Tokio Life Insurance Company Limited - 147

Name of Fund: Pension and General Annuity Fund

STATEMENT AS ON : 30-Sep-2021

DETAILS OF NON-PERFORMING ASSETS - QUARTERLY

(₹ in Lac)

| No | Description | Bonds/ Debentures | | Loans | | Other debt Instruments | | All Other Assets | | Total | |
|----|---|-------------------|-------------------------|----------------|-------------------------|------------------------|-------------------------|------------------|-------------------------|----------------|-------------------------|
| | | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 |
| 1 | Investment Assets (As per form 3A/ 3B - Total Fund) | 4,586 | 5,110 | - | - | 700 | 670 | 22,768 | 20,378 | 28,055 | 26,158 |
| 2 | Gross NPA | - | - | - | - | - | - | - | - | - | - |
| 3 | % of Gross NPA on Investment assets (2/1) | - | - | - | - | - | - | - | - | - | - |
| 4 | Provision made on NPA | - | - | - | - | - | - | - | - | - | - |
| 5 | Provision as a % of NPA (4/2) | - | - | - | - | - | - | - | - | - | - |
| 6 | Provision on standard assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 4,586 | 5,110 | - | - | 700 | 670 | 22,768 | 20,378 | 28,055 | 26,158 |
| 8 | Net NPA (2-4) | - | - | - | - | - | - | - | - | - | - |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | - | - | - | - | - | - | - | - | - |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various instruments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

1. The above statement, in the case of 'Life' Insurers is prepared 'Fund-wise' Viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund
2. Investment Assets reconciles with figures shown in Schedule 8, 8A, 8B & 9 of the Balance Sheet
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' is as per Circular: 32/2/F&A/Circulars/ 169/jan/2006-07 as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off (if any) as approved by the Board
8. Security Receipts in ARC(Asset Reconstruction Companies) governed under the SARFEASI Act are included in Other Debt Instruments

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COMPANY NAME & CODE: Edelweiss Tokio Life Insurance Company Limited - 147

Name of Fund: Linked Fund

STATEMENT AS ON : 30-Sep-2021

DETAILS OF NON-PERFORMING ASSETS - QUARTERLY

(₹ in Lac)

| No | Description | Bonds/ Debentures | | Loans | | Other debt Instruments | | All Other Assets | | Total | |
|----|---|-------------------|-------------------------|----------------|-------------------------|------------------------|-------------------------|------------------|-------------------------|----------------|-------------------------|
| | | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 | YTD as on date | Prev FY as on 31 Mar 21 |
| 1 | Investment Assets (As per form 3A/ 3B - Total Fund) | 4,341 | 5,590 | - | - | 60 | 60 | 1,60,933 | 1,29,230 | 1,65,334 | 1,34,880 |
| 2 | Gross NPA | - | 540 | - | - | - | - | - | - | - | 676 |
| 3 | % of Gross NPA on Investment assets (2/1) | - | 9.65% | - | - | - | - | - | - | - | 0.50% |
| 4 | Provision made on NPA | - | 405 | - | - | - | - | - | - | - | 507 |
| 5 | Provision as a % of NPA (4/2) | - | 75.00% | - | - | - | - | - | - | - | 75.00% |
| 6 | Provision on standard assets | - | - | - | - | - | - | - | - | - | - |
| 7 | Net Investment Assets (1-4) | 4,341 | 5,185 | - | - | 60 | 60 | 160933 | 129230 | 165334 | 134373 |
| 8 | Net NPA (2-4) | - | 135 | - | - | - | - | - | - | - | 169 |
| 9 | % of Net NPA to Net Investment Assets (8/7) | - | 2.60% | - | - | - | - | - | - | - | 0.13% |
| 10 | Write off made during the period | - | - | - | - | - | - | - | - | - | - |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various instruments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

Note:

1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund
2. Investment Assets reconciles with figures shown in Schedule 8, 8A, 8B & 9 of the Balance Sheet
3. Gross NPA is investments classified as NPA, before any provisions
4. Provision made on the 'Standard Assets' is as per Circular: 32/2/F&A/Circulars/ 169/Jan/2006-07 as amended from time to time.
5. Net Investment assets is net of 'provisions'
6. Net NPA is gross NPAs less provisions
7. Write off (if any) as approved by the Board
8. Security Receipts in ARC (Asset Reconstruction Companies) governed under the SARFEASI Act are included in Other Debt instruments

FORM 1-24 NEED ON INVESTMENT
COMPANY NAME & CODE: EDWILNESS TOWNS LIFE INSURANCE CO. LTD (147)
Periodicity of Submission: Quarterly
CURRENCY OF INVESTMENT AND INCOME ON INVESTMENT
Statement As on: 30th September, 2023

NAME OF THE FUND - LIFE FUND

(IN LAK)

| SIC | CATEGORY OF INVESTMENT | GROUP CODE | Investment* | Current Quarter | | | Investment* | Year to Date (Current Year) | | | Investment* | Year to Date (Previous Year) | | |
|--|--|------------|-------------|--------------------------|-------------|-----------|-------------|-----------------------------|-------------|-----------|-------------|------------------------------|-------------|-----------|
| | | | | INCOME ON INVESTMENT (%) | GROSS YIELD | NET YIELD | | INCOME ON INVESTMENT (%) | GROSS YIELD | NET YIELD | | INCOME ON INVESTMENT (%) | GROSS YIELD | NET YIELD |
| A CENTRAL GOVERNMENT SECURITIES | | | | | | | | | | | | | | |
| A1 | Central Govt. Securities, Central Govt. Guaranteed Bonds | CCGB | 16658 | 2055 | 2.13% | 2.13% | 16147 | 6856 | 4.25% | 4.25% | 120110 | 5703 | 4.75% | 4.75% |
| A2 | Deposits under section 7 of Insurance Act 1938 | CCDS | - | - | - | - | - | - | - | - | - | - | - | - |
| A3 | Treasury Bills | CTBB | 2085 | 1.95 | 0.09% | 0.09% | 2101 | 1.95 | 0.09% | 0.09% | - | - | - | - |
| B GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES | | | | | | | | | | | | | | |
| B1 | Other Approved Securities (including Infrastructure Investments) | SGDA | - | - | - | - | - | - | - | - | - | - | - | - |
| B2 | State Government Bonds | SGGB | 2157 | 45 | 2.07% | 2.07% | 2908 | 110 | 3.79% | 3.79% | 3667 | 131 | 3.96% | 3.96% |
| B3 | Central Government Guaranteed Loans / Bonds | CCGL | 926 | 19 | 2.10% | 2.10% | 927 | 39 | 4.17% | 4.17% | 1964 | 82 | 4.15% | 4.15% |
| C HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT | | | | | | | | | | | | | | |
| FAIR VALUE ADJUST OF | | | | | | | | | | | | | | |
| C1 | Bonds / Debentures issued by NHB/Institution accredited by NHB | HTBN | 6462 | 122 | 1.89% | 1.89% | 6211 | 249 | 4.01% | 4.01% | 7821 | 335 | 4.20% | 4.20% |
| C2 | Bonds / Debentures issued by HUDCO | HTBD | - | - | - | - | - | - | - | - | - | - | - | - |
| C3 | Commercial Papers - NHB / Institution accredited by NHB | HTLN | - | - | - | - | - | - | - | - | - | - | - | - |
| FAIR VALUE ADJUST OF | | | | | | | | | | | | | | |
| C4 | Bonds / Debentures issued by HUDCO | HTBD | - | - | - | - | - | - | - | - | - | - | - | - |
| D INFRASTRUCTURE INVESTMENTS | | | | | | | | | | | | | | |
| D1 | Infrastructure - PSU - Equity Shares - Quoted | ITPE | 237 | 16 | 6.90% | 6.90% | 263 | 31 | 11.93% | 11.93% | 201 | -3 | -0.72% | -0.72% |
| D2 | Infrastructure - Corporate Securities - Equity Shares - Quoted | ITCE | 248 | 1 | 0.40% | 0.40% | 275 | 8 | 2.94% | 2.94% | 129 | -23 | -18.10% | -18.10% |
| FAIR VALUE ADJUST OF | | | | | | | | | | | | | | |
| D3 | Infrastructure - PSU - Debentures / Bonds | ITPD | 19156 | 375 | 1.96% | 1.96% | 19112 | 743 | 3.89% | 3.89% | 13810 | 543 | 3.93% | 3.93% |
| D4 | INFRASTRUCTURE - OTHER CORPORATE SECURITIES - DEBENTURES/ BOND | ICTD | - | - | - | - | - | - | - | - | - | - | - | - |
| D5 | Infrastructure - Equity and Equity Related Instruments (Promoter Group) | IEPG | - | - | - | - | - | - | - | - | - | - | - | - |
| D6 | Infrastructure - Debentures / Bonds / CH / Loans - (Promoter Group) | IDPG | - | - | - | - | - | - | - | - | - | - | - | - |
| D7 | Infrastructure - Debentures / Bonds / CH / Loans | IDGS | 5346 | - | - | - | 5390 | (0.08) | 0.02% | 0.02% | 7000 | - | - | - |
| D8 | Infrastructure - Equity (Promoter Group) | IDPE | - | - | - | - | - | - | - | - | - | - | - | - |
| D9 | Infrastructure - Other Corporate Securities - CH | ICCP | - | - | - | - | - | - | - | - | - | - | - | - |
| D10 | Infrastructure - PSU - CH | IDCP | - | - | - | - | - | - | - | - | - | - | - | - |
| D11 | Infrastructure - Securitised Assets | ISGA | - | - | - | - | - | - | - | - | - | - | - | - |
| D12 | Infrastructure - Infrastructure Development Fund (IDF) | IDOF | 500 | 10 | 2.07% | 2.07% | 500 | 21 | 4.11% | 4.11% | 500 | 20 | 4.10% | 4.10% |
| D13 | INFRASTRUCTURE OTHER EQUITY | IDOQ | 67 | 32 | 47.61% | 47.61% | 26 | 26 | 125.24% | 125.24% | 0 | 0 | - | - |
| D14 | Reclassified Approved Investments - Equity | IDRE | - | - | - | - | 0 | 0 | - | - | 143 | 8 | 5.57% | 5.57% |
| D15 | Units of Invt | EIT | 7602 | 730 | 9.34% | 9.34% | 7485 | 1485 | 18.77% | 18.77% | 4839 | 310 | 6.40% | 6.40% |
| D16 | OTHER Investment Infrastructure - Securitised Debt | IDSA | 3193 | - | - | - | 3197 | -3 | -0.10% | -0.10% | 3739 | - | - | - |
| FAIR VALUE ADJUST OF | | | | | | | | | | | | | | |
| D17 | Infrastructure - PSU - Debentures / Bonds | ITPD | - | - | - | - | - | - | - | - | - | - | - | - |
| E APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS | | | | | | | | | | | | | | |
| E1 | PSU - Equity shares - quoted | EACQ | 247 | 46 | 18.54% | 18.54% | 231 | 73 | 31.36% | 31.36% | 133 | -15 | -11.08% | -11.08% |
| E2 | Corporate Securities - Equity shares (Ordinary)-quoted | EACD | 5684 | 288 | 5.07% | 5.07% | 5965 | 896 | 15.01% | 15.01% | 5688 | 544 | 9.55% | 9.55% |
| E3 | Corporate Securities - Debentures | ECDS | 7995 | 105 | 2.06% | 2.06% | 7251 | 299 | 4.06% | 4.06% | 4231 | 176 | 4.07% | 4.07% |
| E4 | Corporate Securities - Debentures | ECDS | - | - | - | - | - | - | - | - | - | - | - | - |
| E5 | Corporate Securities - Preference Shares | EPDS | 75 | - | - | - | 75 | - | - | - | 242 | 0 | 0.00% | 0.00% |
| E6 | Application Money | ECAM | - | 2 | - | - | 2530 | 4 | 0.17% | 0.17% | 3097 | 3 | 0.10% | 0.10% |
| E7 | Deposits - Deposit with Scheduled Banks, P's (incl. Bank Balance investing Investment), CCL, RPL | ECDB | 29531 | 904 | 3.06% | 3.06% | 29034 | 1748 | 6.02% | 6.02% | 27241 | 1657 | 5.10% | 5.10% |
| E8 | Deposits - CHs with Scheduled Banks | ECSD | - | - | - | - | - | - | - | - | - | - | - | - |
| E9 | CCL - CCLD | ECDD | - | - | - | - | - | - | - | - | - | - | - | - |
| E10 | Perpetual Debt Instruments of Tier 1 & 2 Capital Issued by PSU/Banks | EPDP | - | - | - | - | - | - | - | - | - | - | - | - |
| E11 | Mutual Funds - GR / G Sec / Liquid Schemes | ESMF | - | - | - | - | - | - | - | - | - | - | - | - |
| E12 | Mutual Funds - Under Promoter Group | ESMG | - | - | - | - | - | - | - | - | 800.00 | 0.33 | 0.11% | 0.11% |
| E13 | Corporate Securities - Bonds - (Tax Free) | EBEF | - | - | - | - | - | - | - | - | - | - | - | - |
| E14 | Commercial Papers | ECCP | - | - | - | - | - | - | - | - | - | - | - | - |
| FAIR VALUE ADJUST OF | | | | | | | | | | | | | | |
| E15 | Investment Properties - Immovable | ENIP | - | - | - | - | - | - | - | - | 4180 | 199 | 4.77% | 4.77% |
| E16 | Passively Managed Equity ETF (Non Promoter group) | ETPF | - | - | - | - | - | - | - | - | - | - | - | - |
| E17 | Deposits - Repo / Reverse Repo | ECMR | 6113 | 50 | 0.82% | 0.82% | 7005 | 112 | 1.80% | 1.80% | 7612 | 108 | 1.40% | 1.40% |
| E18 | Corporate Securities - Bonds - (Taxable) | EBET | - | - | - | - | - | - | - | - | - | - | - | - |
| E19 | Passively Managed Equity ETF (Promoter Group) | ETPF | - | - | - | - | - | - | - | - | - | - | - | - |
| E20 | Perpetual Debt Instruments of Tier 1 & 2 Capital Issued by Non-PSU Banks | EPDP | - | - | - | - | - | - | - | - | - | - | - | - |
| E21 | Units of Real Estate Investment Trust (REITs) | ERIT | 178 | 9 | 1.58% | 1.58% | 185 | -1 | -0.08% | -0.08% | 244.87 | 49.00 | 0.18 | 0.18 |
| E22 | Corporate Securities - Derivative Instruments | ECDS | - | - | - | - | - | - | - | - | - | - | - | - |
| F OTHER INVESTMENTS | | | | | | | | | | | | | | |
| F1 | Equity Shares (Not Group Securities) | OSCH | 6629 | -13 | -0.20% | -0.20% | 6381 | 38 | 0.61% | 0.61% | 4601 | 101 | 2.15% | 2.15% |
| F2 | Equity Shares (PSUs & Unlisted) | OSPU | 914 | 10 | 1.12% | 1.12% | 812 | 10 | 1.27% | 1.27% | 24.95 | (0.14) | (0.01) | (0.01) |
| F3 | Mutual Funds - Debt/ Income/ Sector Plans | OMGS | - | - | - | - | - | - | - | - | - | - | - | - |
| F4 | DEBENTURES | OCDS | - | - | - | - | - | - | - | - | - | - | - | - |
| F5 | Debentures / Bonds / CH / Loans - (Promoter Group) | OCDS | 3808 | 135 | 3.49% | 3.49% | 3788 | 257 | 6.77% | 6.77% | 4222 | 266 | 6.10% | 6.10% |
| F6 | Mutual Funds (Under Investor's Promoter Group) | OCDS | - | - | - | - | - | - | - | - | - | - | - | - |
| F7 | Passively Managed Equity ETF (Non Promoter group) | ETPF | - | - | - | - | - | - | - | - | 12 | -11 | -95.38% | -95.38% |
| F8 | Derivative Instrument | OCDS | - | 177 | - | - | - | 379 | - | - | - | - | - | - |
| F9 | Passively Managed Equity ETF (Promoter Group) | ETPF | - | - | - | - | - | - | - | - | - | - | - | - |
| F10 | Securitised Assets | OCPS | - | - | - | - | - | - | - | - | - | - | - | - |
| F11 | Infrastructure - Debentures / Bonds / CH / Loans - (Promoter Group) | IDPD | - | - | - | - | - | - | - | - | - | - | - | - |
| F12 | Term Loans (without Charge) | OTUL | - | - | - | - | - | - | - | - | - | - | - | - |
| F13 | Debentures / Bonds / CH / Loans | IDDS | - | - | - | - | - | - | - | - | - | - | - | - |
| F14 | Debentures / Bonds / CH / Loans - (Promoter Group) | IDDS | - | - | - | - | - | - | - | - | - | - | - | - |
| F15 | Alternate Investment Funds (Category I) | CAFA | - | - | - | - | - | - | - | - | - | - | - | - |
| F16 | Reclassified Approved Investments - Debt | HCDD | - | - | - | - | 841 | -481 | -57.22% | -57.22% | 1333 | - | - | - |
| F17 | Debt Capital Instruments (DCI Based III) | OCDS | - | - | - | - | - | - | - | - | - | - | - | - |
| F18 | Reclassified Approved Investments - Equity | OCDE | 222 | 7 | 3.08% | 3.08% | 206 | 16 | 7.30% | 7.30% | 304 | -14 | -4.01% | -4.01% |
| F19 | Venture Fund | OCVF | - | - | - | - | - | - | - | - | - | - | - | - |
| F20 | Alternate Investment Fund (Category II) | CAFA | 1252 | 83 | 6.61% | 6.61% | 1289 | 83 | 6.53% | 6.53% | 948 | - | - | - |
| F21 | Reclassified Approved Investments - Debt | HCDD | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL | | | 277396 | 6195 | 2.45% | 2.45% | 273042 | 10703 | 4.00% | 4.00% | 239847 | 12124 | 5.11% | 5.11% |

Note:
1. Based on daily simple Average of Investments
2. Yield method for Tax
3. In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown
4. FORM 1-24 shall be prepared in respect of each fund. Income of LUMP SUM is prepared at Segregated Fund (SPIN) level and also consolidated level.
5. Returns as presented in Gross and Net yield are absolute
6. Zeros represent amounts less than rupees one lakh
7. Life fund includes Fund Beyond Solvency Margin for the purpose of this disclosure.

Declaration:
Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

COMPANY NAME & CODE : EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)

Statement as on: 30-September-2021

STATEMENT OF DOWN GRADED INVESTMENTS

NAME OF THE FUND : LIFE FUND

(₹ in Lac)

| NO. | NAME OF THE SECURITY | COI | AMOUNT (As per balance sheet) | DATE OF PURCHASE | RATING AGENCY | ORIGINAL GRADE | CURRENT GRADE | DATE OF DOWNGRADE | REMARKS |
|-----|---|------|--------------------------------------|---------------------|------------------|-------------------|------------------|----------------------|---------|
| A. | <u>DURING THE QUARTER</u> | | | | | | | | |
| 1 | ----- | NIL | | | | | | | |
| B. | <u>AS ON DATE</u> | | | | | | | | |
| 1 | 12.50% RELIANCE INFRASTRUCTURE LTD NCD 15-12-2021 | IODS | 5346 | 02-07-2018 | FITCH | BBB+ | D | 14-07-2020 | |
| 2 | 11.50% EDELWEISS RETAIL FINANCE LTD NCD 26-05-2025 | ODPG | 1458 | 09-05-2019 | ICRA | AA | A+ | 06-05-2020 | |
| 3 | 9.25% EDELWEISS RURAL & CORPORATE SERVICES LIMITED NCD 22-12-2027 | ODPG | 2000 | 27-12-2017 | ICRA | AA | A+ | 06-05-2020 | |
| 4 | 10.00% EDELWEISS HOUSING FINANCE LIMITED NCD 19-07-2026 | ODPG | 245 | 24-12-2019 | ICRA | AA- | A+ | 06-05-2020 | |
| 5 | ZEE ENTERTAINMENT ENTERPRISES LTD - PREF SHRS | EPNQ | 75 | 20-03-2014 | BWR | AAA | A | 07-01-2021 | |

Note:

- 1 Provides details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter (if any) are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP FORM 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) are as per Guidelines issued by the Authority
- 5 Life fund includes Fund Beyond Solvency Margin for the purpose of this disclosure.

CERTIFICATION:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

COMPANY NAME & CODE : EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)

Statement as on: 30-September-2021

STATEMENT OF DOWN GRADED INVESTMENTS

NAME OF THE FUND : PENSION AND GENERAL ANNUITY FUND

(₹ in Lac)

| NO. | NAME OF THE SECURITY | COI | AMOUNT | DATE OF PURCHASE | RATING AGENCY | ORIGINAL GRADE | CURRENT GRADE | DATE OF DOWNGRADE | REMARKS |
|-----|---------------------------|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>DURING THE QUARTER</u> | | NIL | | | | | | |
| B. | <u>AS ON DATE</u> | | NIL | | | | | | |

Note:

- 1 Provides details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter (if any) are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP FORM 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) are as per Guidelines issued by the Authority

CERTIFICATION:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2
 COMPANY NAME & CODE : EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)
 Statement as on: 30-September-2021
 STATEMENT OF DOWN GRADED INVESTMENTS

NAME OF THE FUND : LINKED LIFE FUND

(₹ in Lac)

| NO. | NAME OF THE SECURITY | COI | AMOUNT | DATE OF PURCHASE | RATING AGENCY | ORIGINAL GRADE | CURRENT GRADE | DATE OF DOWNGRADE | REMARKS |
|-----|---|------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>DURING THE QUARTER</u> | | | | | | | | |
| 1 | -----NIL----- | | | | | | | | |
| B. | <u>AS ON DATE</u> | | | | | | | | |
| 1 | ZEE ENTERTAINMENT ENTERPRISES LTD - PREF SHRS | EPNQ | 92 | 20-03-2014 | BWR | AAA | A | 07-01-2021 | |

Note:

- 1 Provides details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter (if any) are deleted from the Cumulative listing.
- 3 FORM-2 is prepared in respect of each fund. In case of ULIP FORM 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) are as per Guidelines issued by the Authority

CERTIFICATION:

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

| Sr. No | Particulars | For the Quarter Ended 30 September 2021 | | | | For the Half Year Ended 30 September 2021 | | | | For the Quarter Ended 30 September 2020 | | | | For the Half Year Ended 30 September 2020 | | | |
|---|--|---|-----------------|--------------|----------------------------------|---|-----------------|--------------|----------------------------------|---|-----------------|--------------|----------------------------------|---|-----------------|--------------|----------------------------------|
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 1 | First year Premium | | | | | | | | | | | | | | | | |
| | i) Individual Single Premium (ISP) | | | | | | | | | | | | | | | | |
| | From 0-10,000 | (0.03) | (1) | (1) | (40.00) | 0.84 | 327 | 327 | 51.25 | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25,001-50,000 | 1.32 | 3 | 2 | 35.77 | 1.82 | 4 | 3 | 40.77 | 0.40 | 1 | 1 | 0.67 | 1.29 | 3 | 3 | 16.79 |
| | From 50,001-75,000 | 2.16 | 3 | 3 | 32.69 | 3.31 | 5 | 5 | 44.16 | 0.75 | 1 | - | 7.50 | 0.75 | 1 | - | 7.50 |
| | From 75,000-100,000 | 3.96 | 4 | 4 | 22.86 | 4.98 | 5 | 5 | 24.50 | 11.50 | 11 | 11 | 41.79 | 17.20 | 17 | 17 | 52.06 |
| | From 1,00,001-1,25,000 | - | - | - | - | 1.10 | 1 | 1 | 1.81 | 0.05 | - | - | - | 0.30 | - | - | - |
| | Above Rs. 1,25,000 | 104.15 | 17 | 11 | 328.62 | 238.86 | 27 | 17 | 753.85 | 86.67 | 14 | 14 | 146.72 | 140.72 | 22 | 21 | 203.81 |
| | ii) Individual Single Premium- Annuity (ISPA) | | | | | | | | | | | | | | | | |
| | From 0-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001-2,00,000 | 10.00 | 5 | 5 | 10.00 | 16.00 | 8 | 8 | 16.00 | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | 23.58 | 10 | 10 | 24.43 | 42.06 | 18 | 18 | 42.91 | - | - | - | - | - | - | - | - |
| | From 2,50,001-3,00,000 | 11.45 | 4 | 4 | 11.45 | 37.05 | 13 | 13 | 37.05 | - | - | (1) | - | 6.00 | 2 | 1 | 6.00 |
| | Above Rs. 3,00,000 | 487.33 | 43 | 37 | 487.33 | 776.07 | 66 | 60 | 776.07 | - | - | 1 | - | 112.85 | 14 | 13 | 112.85 |
| | iii) Group Single Premium (GSP) | | | | | | | | | | | | | | | | |
| | From 0-10,000 | 320.21 | - | 84,343 | 33,935.03 | 439.67 | - | 1,14,176 | 46,824.43 | 140.55 | - | 33,371 | 14,371.88 | 218.74 | - | 47,240 | 22,496.28 |
| | From 10,000-25,000 | 40.20 | - | 239 | 2,540.03 | 63.82 | - | 366 | 4,023.49 | 50.14 | - | 313 | 2,645.50 | 107.48 | - | 672 | 5,679.89 |
| | From 25,001-50,000 | 85.75 | - | 244 | 3,439.78 | 133.30 | - | 379 | 5,271.80 | 33.09 | - | 100 | 1,179.41 | 61.44 | - | 183 | 2,139.67 |
| | From 50,001-75,000 | 55.93 | - | 95 | 1,873.92 | 85.61 | - | 145 | 2,882.66 | 11.75 | - | 19 | 179.94 | 20.76 | - | 33 | 370.03 |
| | From 75,000-100,000 | 20.83 | - | 25 | 871.91 | 25.45 | - | 30 | 1,008.85 | 6.00 | - | 7 | 109.69 | 10.14 | - | 12 | 194.16 |
| | From 1,00,001-1,25,000 | 3.35 | - | 3 | 120.12 | 4.41 | - | 4 | 144.99 | 1.27 | - | 1 | 17.40 | 2.28 | - | 2 | 36.88 |
| | Above Rs. 1,25,000 | 419.64 | - | 15 | 858.41 | 504.25 | - | 23 | 1,691.25 | 0.64 | - | - | 9.60 | (1.06) | - | (1) | (40.38) |
| | iv) Group Single Premium- Annuity (GSPA) | | | | | | | | | | | | | | | | |
| | From 0-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001-2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001-3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | v) Individual non Single Premium (INSP) | | | | | | | | | | | | | | | | |
| | From 0-10,000 | 71.60 | 1,024 | 993 | 28,594.35 | 138.25 | 1,957 | 1,904 | 52,568.77 | 93.26 | 1,101 | 1,055 | 8,037.85 | 303.41 | 4,030 | 3,883 | 79,922.16 |
| | From 10,000-25,000 | 711.67 | 4,088 | 3,932 | 81,626.61 | 1,405.02 | 8,281 | 8,005 | 1,88,283.98 | 1,011.05 | 5,998 | 5,687 | 72,668.68 | 2,281.95 | 14,864 | 14,274 | 3,11,869.65 |
| | From 25,001-50,000 | 1,525.37 | 3,818 | 3,647 | 59,090.97 | 2,598.62 | 6,552 | 6,289 | 1,04,754.59 | 1,989.13 | 4,898 | 4,588 | 54,742.27 | 3,332.56 | 8,698 | 8,208 | 1,43,173.88 |
| | From 50,001-75,000 | 971.32 | 1,517 | 1,417 | 21,987.47 | 1,677.42 | 2,705 | 2,580 | 39,063.31 | 1,351.15 | 2,554 | 2,375 | 29,704.07 | 2,048.99 | 3,637 | 3,394 | 51,941.34 |
| | From 75,000-100,000 | 1,610.52 | 1,448 | 1,351 | 21,504.19 | 2,495.63 | 2,595 | 2,463 | 33,795.18 | 1,598.10 | 1,626 | 1,500 | 18,294.12 | 2,082.21 | 2,182 | 2,023 | 31,990.00 |
| From 1,00,001-1,25,000 | 756.22 | 653 | 605 | 10,970.61 | 1,140.78 | 1,001 | 942 | 17,982.96 | 713.44 | 656 | 622 | 13,394.09 | 1,067.93 | 947 | 890 | 21,941.34 | |
| Above Rs. 1,25,000 | 4,086.30 | 1,439 | 1,315 | 55,547.61 | 6,002.58 | 2,146 | 1,984 | 78,871.72 | 2,567.04 | 1,019 | 918 | 30,888.91 | 3,911.73 | 1,469 | 1,330 | 57,974.02 | |
| vi) Individual non Single Premium- Annuity (INSPA) | | | | | | | | | | | | | | | | | |
| From 0-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 150,001-2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 2,50,001-3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| vii) Group Non Single Premium (GNSP) | | | | | | | | | | | | | | | | | |
| From 0-10,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 10,000-25,000 | 0.06 | - | 30 | 30.50 | 0.06 | - | 31 | 32.00 | - | - | - | - | - | - | - | - | |
| From 25,001-50,000 | 0.22 | - | 52 | 416.72 | 0.88 | - | 146 | 926.75 | 0.77 | 1 | 139 | 892.34 | 1.31 | 1 | 216 | 1,505.56 | |
| From 50,001-75,000 | 0.92 | - | 453 | 3,393.55 | 1.18 | - | 563 | 4,305.55 | 0.05 | - | 15 | 150.00 | 0.11 | - | 33 | 197.00 | |
| From 75,000-100,000 | 0.03 | - | 8 | 55.45 | 0.06 | - | 15 | 107.51 | 31.24 | - | (286) | (190.68) | 32.07 | - | 29 | 152.64 | |
| From 1,00,001-1,25,000 | 2.27 | - | 1,316 | 836.65 | 2.40 | - | 1,088 | 961.42 | 0.04 | - | 10 | 60.20 | 0.10 | - | 18 | 108.36 | |
| Above Rs. 1,25,000 | 23.95 | - | 3,612 | 45,379.73 | 76.01 | 4 | 11,037 | 1,29,933.95 | 78.43 | 6 | 18,284 | 1,00,292.30 | 273.56 | 14 | 31,323 | 3,00,772.49 | |
| viii) Group Non Single Premium- Annuity (GNSPA) | | | | | | | | | | | | | | | | | |
| From 0-10,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 25,001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | |
| 2 | Renewal Premium : | | | | | | | | | | | | | | | | |
| | i) Individual | | | | | | | | | | | | | | | | |
| | From 0-10,000 | 379.18 | 5,309 | 4,543 | 1,58,164.27 | 743.07 | 12,251 | 10,975 | 4,57,661.61 | 387.72 | 5,535 | 4,633 | 1,78,407.65 | 618.97 | 10,651 | 9,540 | 3,70,276.99 |
| | From 10,000-25,000 | 2,964.61 | 16,514 | 15,210 | 4,61,280.22 | 5,307.18 | 38,222 | 35,910 | 12,89,089.74 | 2,750.80 | 15,292 | 13,734 | 4,61,624.90 | 4,118.86 | 31,489 | 29,564 | 9,63,024.96 |
| | From 25,001-50,000 | 4,655.28 | 9,957 | 9,137 | 1,95,063.31 | 7,792.63 | 26,625 | 24,745 | 5,08,246.95 | 3,749.78 | 9,411 | 8,075 | 1,76,732.06 | 5,847.69 | 22,334 | 20,826 | 3,86,244.18 |
| | From 50,001-75,000 | 3,069.60 | 3,901 | 3,526 | 64,608.93 | 5,095.47 | 12,531 | 11,511 | 1,79,062.01 | 2,286.96 | 3,316 | 2,652 | 54,120.15 | 3,724.61 | 10,092 | 9,343 | 1,40,539.37 |
| | From 75,000-100,000 | 3,082.83 | 2,757 | 2,438 | 46,127.96 | 4,678.70 | 5,772 | 5,204 | 1,02,374.21 | 2,414.51 | 2,203 | 1,794 | 39,207.52 | 3,546.44 | 4,889 | 4,449 | 83,551.52 |
| | From 1,00,001-1,25,000 | 1,929.45 | 1,308 | 1,180 | 32,230.19 | 3,024.96 | 4,166 | 3,773 | 80,449.94 | 1,395.82 | 1,098 | 830 | 24,586.81 | 2,214.28 | 3,371 | 3,072 | 59,187.74 |
| | Above Rs. 1,25,000 | 6,927.67 | 2,183 | 1,926 | 1,00,032.42 | 11,017.34 | 6,043 | 5,490 | 2,71,262.87 | 5,540.78 | 1,936 | 1,475 | 92,946.27 | 8,259.98 | 5,295 | 4,798 | 2,34,343.54 |
| | ii) Individual- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25,001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii) Group | | | | | | | | | | | | | | | | |

FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)
Name of Insurer : Edelweiss Tokio Life Insurance Company Limited.
Registration Number: 147 dated 10 May 2011

Date: 30 SEPTEMBER 2021

Business Acquisition through different channels (Group)

(₹ in Lac)

| Sr.No. | Channels | For the quarter ended 30 September 21 | | | For the half year ended 30 September 21 | | | For the quarter ended 30 September 20 | | | For the half year ended 30 September 20 | | |
|--------|--------------------------|---------------------------------------|----------------------|---------|---|----------------------|----------|---------------------------------------|----------------------|---------|---|----------------------|---------|
| | | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium | No. of Policies/ No. of Schemes | No. of Lives Covered | Premium |
| 1 | Individual agents | - | - | - | - | 38 | 0.28 | 3 | 1,276 | 5.38 | 3 | 1,369.00 | 6.41 |
| 2 | Corporate Agents-Banks | - | 84,100 | 307.28 | - | 1,13,712 | 417.95 | 1 | 43,076 | 116.10 | 1 | 56,353.00 | 162.60 |
| 3 | Corporate Agents -Others | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Brokers | - | 2,565 | 21.23 | 2 | 7,536 | 74.49 | 3 | 5,052 | 26.28 | 11 | 16412 | 165.91 |
| 5 | Micro Agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Direct Business | - | 3,770 | 644.84 | 2 | 6,717 | 842.18 | - | 2,569 | 206.22 | - | 5626 | 392.04 |
| | Total(A) | - | 90,435 | 973.36 | 4 | 1,28,003 | 1,334.91 | 7 | 51,973 | 353.97 | 15 | 79760 | 726.95 |
| 1 | Referral (B) | - | - | - | - | - | - | - | - | - | - | - | - |
| | Grand Total (A+B) | - | 90,435 | 973.36 | 4 | 1,28,003 | 1,334.91 | 7 | 51,973 | 353.97 | 15 | 79760 | 726.95 |

FORM L-38- BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUALS)

Name of the Insurer : EDELWEISS TOKIO LIFE INSURANCE CO. LTD.

Date: 30 SEPTEMBER 2021

Business Acquisition through different channels (Individuals)

(₹ in Lac)

| Sr.No. | Channels | For the Quarter ended 30 September 2021 | | For the Half Year Ended 30 September 2021 | | For the Quarter ended 30 September 2020 | | For the Half Year Ended 30 September 2020 | |
|--------|------------------------------------|---|------------------|---|------------------|---|-----------------|---|------------------|
| | | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium |
| 1 | Individual agents | 7,159 | 4,891.22 | 13,256 | 7,676.74 | 8,779 | 4,413.40 | 18,344 | 7,328.74 |
| 2 | Corporate Agents-Banks | 2,653 | 2,512.84 | 4,120 | 3,765.53 | 2,998 | 1,467.43 | 4,937 | 2,118.54 |
| 3 | Corporate Agents -Others | 1,261 | 981.79 | 1,947 | 1,597.77 | 1,500 | 570.80 | 2,574 | 936.95 |
| 4 | Brokers | 624 | 394.31 | 1,271 | 841.40 | 1,356 | 778.16 | 1,992 | 1,106.60 |
| 5 | Micro Agents | - | - | - | - | 1 | 0.00 | 6 | 0.01 |
| 6 | Direct Business | - | - | - | - | - | - | - | - |
| | - Online (Through Company Website) | 316 | 90.74 | 891 | 337.24 | 690 | 686.46 | 2,802 | 1,464.89 |
| | - Others | 2,270 | 1,486.01 | 4,117 | 2,302.14 | 2,362 | 1,332.41 | 4,497 | 2,099.90 |
| 7 | IMF | - | - | - | - | - | - | - | - |
| 8 | Common Service Centres | - | - | - | - | - | - | - | - |
| 9 | Web Aggregators | (8) | 20.00 | 109 | 59.57 | 193 | 133.89 | 734 | 252.26 |
| 10 | Point of Sales | - | - | - | - | - | - | - | - |
| 11 | Others (Please Specify) | - | - | - | - | - | - | - | - |
| | Total (A) | 14,275 | 10,376.90 | 25,711 | 16,580.38 | 17,879 | 9,382.55 | 35,886 | 15,307.89 |
| 1 | Referral (B) | - | - | - | - | - | - | - | - |
| | Grand Total (A+B) | 14,275 | 10,376.90 | 25,711 | 16,580.38 | 17,879 | 9,382.55 | 35,886 | 15,307.89 |

FORM L-39-Data on Settlement of Claims

Name of the Insurer : EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Date: 30 SEPTEMBER 2021

Ageing of Claims

| S.No. | Types of Claims | On or before maturity | No. of claims paid | | | | | Total No. of claims paid | Total amount of claims paid (₹ In Crores) |
|-------|-------------------------|-----------------------|--------------------|--------------|--------------|-------------------|----------|--------------------------|--|
| | | | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | 1 | 8 | 2 | - | - | - | 11 | 0 |
| 2 | Survival Benefit | 159 | 404 | 1 | - | - | - | 564 | 2.33 |
| 3 | for Annuities / Pension | 388 | 44 | - | - | - | - | 432 | 0.33 |
| 4 | For Surrender | - | - | - | - | - | 2,254 | 2,254 | 26.16 |
| 5 | Other benefits* | 440** | 24 | - | - | - | - | 464 | 2.49 |
| 1 | Group Death Claims | - | 557 | - | - | - | - | 557 | 35.98 |
| 2 | Individual Death Claims | - | 403 | - | - | - | - | 403 | 57.40 |

* Other benefits include claim under Health Product, PWB rider, Partial withdrawal, Monthly payouts and group business

** No. of claims of other benefits for group business are based on claims of individual members

Ageing reckoned from the date of receipt of last requirement

FORM L-40 : Quarterly claims data for LifeName of the Insurer : **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED**Date: **30 SEPTEMBER 2021**

Quarterly claims data for Life For the Quarter Ended 30 September 21

Individual**(No. of claims only)**

| S. No. | Claims Experience | For Death | For Maturity | For Survival Benefit | For Annuities/ Pension | For Surrender | Other Benefits |
|--------|---|-----------|--------------|----------------------|---------------------------|---------------|-------------------|
| 1 | Claims O/S at the beginning of the period | 83 | - | 1,212 | 738 | 828 | 10 |
| 2 | Claims reported during the period | 414 | 14 | 937 | 687 | 2,254 | 35 |
| 3 | Claims Settled during the period | 403 | 11 | 847 | 719 | 2,279 | 24 |
| 4 | T & C Rejected | - | - | - | - | - | 8 |
| 5 | Claims Repudiated during the period | 3 | - | - | - | - | - |
| | a) Less than 2years from the date of acceptance of risk | 3 | - | - | - | - | - |
| | b) Grater than 2 year from the date of acceptance of risk | - | - | - | - | - | - |
| 6 | Claims Written Back | - | - | - | - | - | - |
| 7 | Claims O/S at End of the period | 91 | 3 | 1,302 | 706 | 803 | 13 |
| | Less than 3months | 82 | 3 | 370 | 150 | - | 13 |
| | 3 months to 6 months | 9 | - | 119 | 251 | - | - |
| | 6months to 1 year | - | - | 402 | 144 | - | - |
| | 1year and above | - | - | 411 | 161 | 803 | - |

* Other benefits include claim under Health Product, PWB rider, Partial withdrawal, Monthly payouts and group business(lives of Groups)

Group**(No. of claims only)**

| S. No. | Claims Experience | For Death | For Maturity | For Survival Benefit | For Annuities/ Pension | For Surrender | Other Benefits |
|--------|---|-----------|--------------|----------------------|---------------------------|---------------|-------------------|
| 1 | Claims O/S at the beginning of the period | 60 | - | - | - | - | - |
| 2 | Claims reported during the period | 547 | - | - | - | - | 440 |
| 3 | Claims Settled during the period | 557 | - | - | - | - | 440 |
| 4 | T & C Rejected | - | - | - | - | - | - |
| 5 | Claims Repudiated during the period | 4 | - | - | - | - | - |
| | a) Less than 2years from the date of acceptance of risk | 2 | - | - | - | - | - |
| | b) Grater than 2 year from the date of acceptance of risk | 2 | - | - | - | - | - |
| 6 | Claims Written Back | - | - | - | - | - | - |
| 7 | Claims O/S at End of the period | 46 | - | - | - | - | - |
| | Less than 3months | 44 | - | - | - | - | - |
| | 3 months to 6 months | 2 | - | - | - | - | - |
| | 6months to 1 year | - | - | - | - | - | - |
| | 1year and above | - | - | - | - | - | - |

FORM L-40 : Quarterly claims data for LifeName of the Insurer : **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED**Date: **30 SEPTEMBER 2021**

Claims data for Life For the Half Year Ended 30 September 2021

Individual*(No. of claims only)*

| S. No. | Claims Experience | For Death | for Maturity | Survival Benefit | For Annuities/ Pension | For Surrender | Other Benefits |
|--------|---|-----------|--------------|------------------|---------------------------|---------------|----------------|
| 1 | Claims O/S at the beginning of the period | 2 | - | 1,177 | 550 | 833 | 16 |
| 2 | Claims reported during the period | 651 | 14 | 1,481 | 1,339 | 3,625 | 61 |
| 3 | Claims Settled during the period | 557 | 11 | 1,356 | 1,183 | 3,655 | 36 |
| 4 | T & C Rejected | - | - | - | - | - | 28 |
| 5 | Claims Repudiated during the period | 5 | - | - | - | - | - |
| | a) Less than 2years from the date of acceptance of risk | 3 | - | - | - | - | - |
| | b) Grater than 2 year from the date of acceptance of risk | 2 | - | - | - | - | - |
| 6 | Claims Written Back | - | - | - | - | - | - |
| 7 | Claims O/S at End of the period | 91 | 3 | 1,302 | 706 | 803 | 13 |
| | Less than 3months | 82 | 3 | 370 | 150 | - | 13 |
| | 3 months to 6 months | 9 | - | 119 | 251 | - | - |
| | 6months to 1 year | - | - | 402 | 144 | - | - |
| | 1year and above | - | - | 411 | 161 | 803 | - |

* Other benefits include claim under Health Product, PWB rider, Partial withdrawal, Monthly payouts and group business(Group Lives)

Group*(No. of claims only)*

| S. No. | Claims Experience | For Death | for Maturity | Survival Benefit | For Annuities/ Pension | For Surrender | Other Benefits* |
|--------|---|-----------|--------------|------------------|---------------------------|---------------|-----------------|
| 1 | Claims O/S at the beginning of the period | - | - | - | - | - | - |
| 2 | Claims reported during the period | 1,005 | - | - | - | - | 830 |
| 3 | Claims Settled during the period | 955 | - | - | - | - | 830 |
| 4 | T & C Rejected | - | - | - | - | - | - |
| 5 | Claims Repudiated during the period | 4 | - | - | - | - | - |
| | a) Less than 2years from the date of acceptance of risk | 2 | - | - | - | - | - |
| | b) Grater than 2 year from the date of acceptance of risk | 2 | - | - | - | - | - |
| 6 | Claims Written Back | - | - | - | - | - | - |
| 7 | Claims O/S at End of the period | 46 | - | - | - | - | - |
| | Less than 3months | 44 | - | - | - | - | - |
| | 3 months to 6 months | 2 | - | - | - | - | - |
| | 6months to 1 year | - | - | - | - | - | - |
| | 1year and above | - | - | - | - | - | - |

FORM L-41 - GRIEVANCE DISPOSAL

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE CO. LTD.

Date: 30 SEPTEMBER 2021

GRIEVANCE DISPOSAL

| Sl.No. | Particulars | Opening Balance As on begining of the quarter | Additions during the quarter | Complaints Resolved / Settled during the quarter | | | Complaints Pending at the end of the quarter | Total complaints registered up to the quarter during the financial year |
|--------|----------------------------------|--|---------------------------------|--|-----------------------|----------|---|--|
| | | | | Fully Accepted | Partially Accepted | Rejected | | |
| 1 | Complaints made by the customers | | | | | | | |
| (a) | Death Claims | 0 | 9 | 1 | 0 | 8 | 0 | 10 |
| (b) | Policy Servicing | 0 | 26 | 13 | 0 | 13 | 0 | 45 |
| (c) | Proposal Processing | 0 | 7 | 4 | 0 | 3 | 0 | 16 |
| (d) | Survival Claims | 0 | 6 | 0 | 0 | 6 | 0 | 11 |
| (e) | ULIP Related | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| (f) | Unfair Business Practices | 3 | 83 | 38 | 0 | 46 | 2 | 149 |
| (g) | Others | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total Number of complaints: | 3 | 131 | 56 | 0 | 76 | 2 | 232 |

| | | |
|---|--|--------|
| 2 | Total No. of policies during previous year: | 80,160 |
| 3 | Total No. of claims during previous year | 1,605 |
| 4 | Total No. of policies during current year | 25,715 |
| 5 | Total No. of claims during current year | 1656 |
| 6 | Total No. of Policy Complaints (current year) per 10,000 policies (current year) | 90 |
| 7 | Total No. of Claim Complaints (current year) per 10,000 claims registered (current | 60 |

| 8 | Duration wise Pending Status | Complaints made by Customers | Complaints made by Intermediaries | Total |
|--|------------------------------|---------------------------------|--------------------------------------|-------|
| (a) | Up to 15 days | 2 | 0 | 2 |
| (b) | 15-30 days | 0 | 0 | 0 |
| (c) | 30-90 days | 0 | 0 | 0 |
| (d) | 90 days & Beyond | 0 | 0 | 0 |
| | Total No. of complaints | 2 | 0 | 2 |
| * Opening balance should tally with the closing balance of the previous financial year | | | | |

Name of the Insurer : EDELWEISS TOKIO LIFE INSURANCE COMPANY LTD.

Valuation Basis

Quarter end 30 SEPTEMBER 2021

| I. INDIVIDUAL BUSINESS | | | | | | | | | | | | | | | | | |
|------------------------|----------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Type | Category of business | Interest Rate | | Mortality Rate ¹ | | Morbidity Rate | | Fixed Expenses ² | | Variable Expenses ³ | | Inflation Rate | | Withdrawal rates ⁴ | | Future Bonus Rates ⁵ | |
| | | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 |
| Par | Non-Linked -VIP | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Non-Linked -Others | | | | | | | | | | | | | | | | |
| | Life | 6% | 6% | 69%- 117.3% | 69%- 117.3% | NA | NA | 525 - 1045 | 520 - 999 | 1% | 1% | 5% | 5% | 0%- 16% | 0%- 16% | 1%- 4.5% | 1%- 4.5% |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | 6% | 6% | 117.3% | 117.3% | NA | NA | 635-793 | 604-755 | 1% | 1% | 5% | 5% | 0.8% - 1.6% | 0.8% - 1.6% | 2.3% - 4.8% | 2.3% - 4.8% |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked-VIP | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked-Others | | | | | | | | | | | | | | | | |
| Non-Par | Non-Linked -VIP | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Non-Linked -Others | | | | | | | | | | | | | | | | |
| | Life | 4%- 6.75% | 4.78%- 6.75% | 24.3%- 247.3% | 23%- 247.3% | NA | NA | 22 - 1049 | 19 - 999 | 0%-2% | 0%-2% | 5% | 5% | 0% - 16% | 0% - 16% | | |
| | General Annuity* | 2%- 6.75% | 2%- 6.75% | 66.3%- 85.83% | 99.5%- 68% | NA | NA | 317 - 684 | 302 - 608 | NA | NA | 5% | 5% | 0% | 0% | | |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | | |
| | Health | 5%- 6% | 5%- 6% | 75.7% | 75.7% | Set in line with pricing/reinsurance rates | Set in line with pricing/reinsurance rates | 373 - 604 | 355 - 575 | 2% | 2% | 5% | 5% | 0% - 12% | 0% - 12% | NOT APPLICABLE | |
| | Linked-VIP | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked-Others | | | | | | | | | | | | | | | | |
| | Life | 5%-6% | 5%-6% | 69%- 127.7% | 96.6%- 127.7% | NA | NA | 473 - 1049 | 451 - 999 | 0%-0.5% | 0%-0.5% | 5% | 5% | 0%-24% | 0%-24% | | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | 5%-6% | 5%-6% | 117.3% | 117.3% | NA | NA | 360 - 719 | 343 - 685 | 1% | 1% | 5% | 5% | 0% - 16% | 0% - 16% | | |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |

| II. GROUP BUSINESS | | | | | | | | | | | | | | | | | |
|--------------------|----------------------|--|--|--|--|--|--|---|--|--|--|--|--|--|--|--|--|
| Type | Category of business | Interest Rate | | Mortality Rate | | Morbidity Rate | | Fixed Expenses ² | | Variable Expenses ³ | | Inflation Rate | | Withdrawal rates ⁴ | | Future Bonus Rates (Assumption) | |
| | | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 | As at 30th September for the year 2021 | As at 30th September for the year 2020 |
| Par | Non-Linked -VIP | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Non-Linked -Others | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked-VIP | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked-Others | | | | | | | | | | | | | | | | |
| Non-Par | Non-Linked -VIP | | | | | | | | | | | | | | | | |
| | Life | 5%-6% | 5%-6% | 117.3% | 117.3% | NA | NA | 7,192 | 6,850 | NA | NA | 5% | 5% | 0%-24% | 0%-24% | | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Non-Linked -Others | | | | | | | | | | | | | | | | |
| | Life | 5%-6% | 5%-6% | 92.80%- 215.40% | 85.10%- 215.40% | NA | NA | 12-333 per member (other than fund based) 7,192 (Fund Based) | 11-317 per member | 0% | 0% | 5% | 5% | 0%-24% | 0% | | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked-VIP | | | | | | | | | | | | | | | | |
| | Life | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Linked-Others | | | | | | | | | | | | | | | | |
| | Life | 5%-6% | 5%-6% | 117.3% | 117.3% | NA | NA | 10,492 | 9,992 | NA | NA | 5% | 5% | 0%-24% | 0%-24% | | |
| | General Annuity | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Pension | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |
| | Health | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA | NA |

¹ Mortality assumptions are based on the Indian Assured Lives Mortality Table (IALMT) (2012-14) Ultimate (additional 5% MAD is included in the mortality assumption to cater COVID related pandemic risk)

² For annuity products, mortality rates are based on IALMT 12-15 - Indian Individual Annuant Mortality Table (2012-15) for 30th September 2021 and as a % of LIC Annuitants (1996-98) for 30th September 2020; Further in Annuity plans, Mortality improvement of 1% per annum till attained age of 64 and 0.5% per annum thereafter has been assumed from the current rates

³ Fixed per policy expenses

⁴ Renewal premium related expenses

⁵ Restricted to Lapse and Surrender

⁶ Future bonus rates (cash & reversionary bonus rates) are consistent with the valuation interest rate

| | |
|---|--|
| a. Valuation data: | The policy data is extracted from policy administration systems. Various data checks covering its accuracy, completeness and reasonableness are carried out on the data before using it for policy liability calculations using actuarial software 'Data Conversion System (DCS)' and 'Prophet'. Further, the bases and parameters are supplied to Prophet and DCS through various tables. |
| b. Valuation basis and /or methodology: | There has been no significant changes made in the valuation basis and /or methodology in comparison to previous quarter. |

Refer IRDAI (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016

Name of the Insurer: Edelweiss Tokio Life Insurance Company LTD

For the Half Year Ending September 2021

| Meeting Date | Investee Company Name | Type of Meeting (AGM / EGM) | Proposal of Management / Shareholders | Description of the proposal | Management Recommendation | Vote (For / Against/ Abstain) | Reason supporting the vote decision |
|--------------|--|-----------------------------|---------------------------------------|---|---------------------------|-------------------------------|--|
| 13-Jul-21 | MindTree Limited | AGM | Management | To confirm the payment of interim dividend of Rs. 7.50/- per equity share, and to declare a Final dividend of Rs.17.50/- per equity share of Rs.10/- each for the Financial Year 2020-21 | FOR | FOR | sufficient liquid funds |
| 14-Jul-21 | Alanta Pharma Limited | AGM | Management | To confirm the interim dividend of Rs. 8.50/- per share, as final dividend for the year ended 31 March 2021. | FOR | FOR | no concern identified |
| 15-Jul-21 | Laurus Labs Ltd | AGM | Management | To approve and ratify the 1st interim dividend on equity shares @ Rs. 0.80 already paid for FY21. | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 15-Jul-21 | Laurus Labs Ltd | AGM | Management | To approve and ratify the 2nd interim dividend on equity shares @ Rs. 0.40 already paid for FY21. | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 15-Jul-21 | Laurus Labs Ltd | AGM | Management | To approve and ratify the 3rd interim dividend on equity shares @ Rs.0.80 for the FY21 | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 15-Jul-21 | Kaipataru Power Transmission Limited | AGM | Management | To confirm the payment of Interim Dividend of Rs.8.50/- per equity share, already paid and to declare final dividend on equity shares at the rate of Rs.1.50/- per equity share for the financial year ended March 31, 2021. | FOR | FOR | Sufficient Funds. No concern identified. |
| 16-Jul-21 | Century Textiles & Industries Limited | AGM | Management | To declare dividend on Equity Shares for the year ended 31st March, 2021. | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified |
| 16-Jul-21 | Indian Bank | AGM | Management | To declare dividend on Equity Shares. | FOR | FOR | Sufficient funds for payment of dividend. No concern identified. |
| 16-Jul-21 | HDFC Asset Management Company Limited | AGM | Management | To declare a dividend of Rs.34/- per equity share for the financial year ended March 31, 2021. | FOR | FOR | Sufficient funds. No concern identified. |
| 17-Jul-21 | HDFC Bank Limited | AGM | Management | To declare dividend on Equity Shares. | FOR | FOR | Sufficient funds. No concern identified. |
| 17-Jul-21 | Larsen Toubro Infotech Ltd | AGM | Management | Declaration Of Final Dividend On The Equity Shares | FOR | FOR | Sufficient funds. No concern identified. |
| 19-Jul-21 | HDFC Life Insurance Company Ltd | AGM | Management | To declare dividend on equity shares for the financial year ended March 31, 2021. | FOR | FOR | Sufficient funds. No concern identified. |
| 20-Jul-21 | Housing Development Finance Corporation Limited | AGM | Management | To declare dividend on equity shares for the financial year ended March 31, 2021 | FOR | FOR | No governance concern identified. |
| 20-Jul-21 | Bajaj Finance Limited | AGM | Management | To declare a dividend of Rs.10 per equity share of face value of Rs.2 for the financial year ended 31 March 2021. | FOR | FOR | Sufficient funds. No concern. |
| 21-Jul-21 | Persistent Systems Limited | AGM | Management | To confirm the payment of the Interim Dividend of ₹ 14 per equity share and declare a Final Dividend of ₹ 6 per equity share for the Financial Year 2020-21 | FOR | FOR | Compliant with law. No concern identified |
| 21-Jul-21 | Polycab India Ltd | AGM | Management | Declaration of dividend. | FOR | FOR | Compliant with law. No concern identified |
| 21-Jul-21 | Maharashtra Scooters Limited | AGM | Management | To declare a dividend of Rs.50 per equity share of face value of Rs.10 each for the financial year ended 31 March 2021. | FOR | FOR | Sufficient funds for payment of dividend. No concern identified. |
| 21-Jul-21 | JSW Steel Limited | AGM | Management | To declare dividend on the Equity Shares of the Company for the financial year 2020-21. | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified |
| 21-Jul-21 | Bajaj Finserv Limited | AGM | Management | To declare dividend of Rs. 3 per equity share of face value of Rs. 5 each for the financial year ended 31 March 2021. | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 22-Jul-21 | Trent Limited | AGM | Management | To declare dividend on Equity Shares for the financial year ended 31st March 2021. | FOR | FOR | Sufficient Liquid resources. No governance concern identified. |
| 22-Jul-21 | Bajaj Auto Limited | AGM | Management | To declare a dividend. | FOR | FOR | No concern identified. |
| 22-Jul-21 | Bajaj Holdings & Investment Limited | AGM | Management | To declare a dividend of Rs.40/- per equity share of face value of Rs.10/- for the financial year ended 31 March 2021 | FOR | FOR | Sufficient reserves. No governance concern identified. |
| 22-Jul-21 | Apollo Tyres Limited | AGM | Management | To declare dividend of Rs.3.50 per equity share, for the financial year ended March 31, 2021. | FOR | FOR | Sufficient funds. No major governance concern identified. |
| 23-Jul-21 | Crompton Greaves Consumer Electrical Limited | AGM | Management | To confirm payment of Interim Dividend of ₹ 31/- per Equity Share paid during the year and to declare a final dividend of ₹ 2.50/- per Equity Share of the face value of ₹ 2/- each for the year ended 31st March, 2021. | FOR | FOR | Sufficient funds for the payment of final dividend. No concern identified. |
| 26-Jul-21 | Navin Fluorine International Limited | AGM | Management | To confirm the payment of Interim Dividend on the equity shares of the Company for the financial year 2020-2021 and to declare final dividend on equity shares for the financial year 2020-2021 | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 26-Jul-21 | Mahindra & Mahindra Financial Services Limited | AGM | Management | To declare a dividend on Equity Shares. | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 26-Jul-21 | Coromandel International Limited | AGM | Management | To confirm the interim dividend paid during the financial year and declare a final dividend for the financial year ended March 31, 2021. | FOR | FOR | Sufficient funds for the payment of final dividend. No concern identified. |
| 27-Jul-21 | Escorts Limited | AGM | Management | To declare a dividend on equity shares. | FOR | FOR | Company has sufficient liquid assets to pay dividend. |
| 27-Jul-21 | Abbott India Limited | AGM | Management | To declare a final dividend of Rs.120/- and special dividend of Rs.150/- per Equity Share for the financial year ended March 31, 2021 | FOR | FOR | Sufficient funds. No concern identified. |
| 28-Jul-21 | United Breweries Limited | AGM | Management | To declare a dividend. | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 29-Jul-21 | The Great Eastern Shipping Company Limited | AGM | Management | To declare dividend on equity shares | FOR | FOR | Sufficient funds. No governance concern identified. |
| 29-Jul-21 | Firstsource Solutions Limited | AGM | Management | To confirm the payment of Interim Dividend @ 30% (i.e.Rs.3.00 per share) on Equity Shares already paid for the financial year ending March 31, 2021. | FOR | FOR | Compliant with law. No concern identified. |
| 29-Jul-21 | Orient Electric Ltd | AGM | Management | To declare final dividend of Rs.1.25 (125%) per equity share of face value of Rs.1 each for the financial year ended March 31, 2021 and to confirm the interim dividend of Rs.0.75 (75%) per equity share, already paid during the financial year 2020-21. | FOR | FOR | Sufficient Liquid Funds. No concern identified |
| 29-Jul-21 | Vaibhav Global Limited | AGM | Management | Declaration of dividend | FOR | FOR | No concern identified. |
| 29-Jul-21 | Dr Lal Path Labs Limited | AGM | Management | To declare dividend of Rs. 8/- per equity share for the Financial Year ended March 31, 2021 | FOR | FOR | Company has sufficient liquid assets to pay dividend. |
| 30-Jul-21 | Tech Mahindra Limited | AGM | Management | To confirm the Interim (Special) Dividend paid on Equity Shares and to declare Final Dividend (Including Special Dividend) on Equity Shares for the financial year ended 31st March, 2021. | FOR | FOR | Sufficient funds for the payment of final dividend. No concern identified. |
| 30-Jul-21 | Deepak Nitrite Limited | AGM | Management | To declare a final dividend of ₹ 4.50 (Rupees Four and Paise Fifty only), being 225%, per equity share of face value of ₹ 2.00 (Rupees Two only) each and a special dividend of ₹ 1.00 (Rupee One only), being 50%, per equity share of face value of ₹ 2.00 (Rupees Two only) each to commemorate Golden Jubilee year of the Company | FOR | FOR | Compliant with law. No concern identified |
| 30-Jul-21 | Zydus Wellness Limited | AGM | Management | To declare dividend of Rs.5/- (50%) per equity share for the Financial Year ended on March 31, 2021. | FOR | FOR | no concerns identified |
| 30-Jul-21 | Choramandalam Investment and Finance Company Limited | AGM | Management | To confirm and declare Dividend. | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 30-Jul-21 | Atul Limited | AGM | Management | To declare dividend on equity shares | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified. |
| 02-Aug-21 | Carborundum Universal Limited | AGM | Management | Declaration of Dividend | FOR | FOR | Sufficient Liquid Funds. No concern identified. |
| 03-Aug-21 | Indus Towers Ltd | AGM | Management | To confirm interim dividends | FOR | FOR | Sufficient Funds. No governance concern identified. |
| 04-Aug-21 | Oracle Financial Services Software Limited | AGM | Management | To confirm the payment of interim dividend of ₹ 200 per equity share already paid, as the final dividend for the financial year ended March 31, 2021. | FOR | FOR | Compliant with law. No concern identified |
| 04-Aug-21 | Blue Star Limited | AGM | Management | To declare a final dividend of Rs.4 per equity share of Rs.2 each of the Company for the financial year ended March 31, 2021. | FOR | FOR | Sufficient funds, compliant with law. |
| 04-Aug-21 | Hero MotoCorp Limited | AGM | Management | To confirm payment of interim dividend of Rs.70/- per equity share and to declare a final dividend of Rs.35/- per equity share for the financial year 2020-21. | FOR | FOR | Sufficient funds. No governance concern identified. |
| 05-Aug-21 | Larsen & Toubro Limited | AGM | Management | To declare final dividend on equity shares | FOR | FOR | Sufficient funds. No concern identified. |
| 05-Aug-21 | V-Guard Industries Limited | AGM | Management | To declare dividend of ₹1.20 per equity share for the Financial Year 2020-21. | FOR | FOR | Sufficient funds, compliant with law. |
| 06-Aug-21 | UPL Limited | AGM | Management | To declare dividend on equity share | FOR | FOR | No concern identified |
| 06-Aug-21 | Bandhan Bank Limited | AGM | Management | To declare dividend on equity share of the Bank for the financial year ended March 31, 2021 | FOR | FOR | Sufficient funds, compliant with law. |
| 06-Aug-21 | Mahindra & Mahindra Limited | AGM | Management | Declaration of Dividend on Ordinary (Equity) Shares | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified |
| 14-Aug-21 | JK Cement Limited | AGM | Management | To declare dividend of Rs.15 (150%) on Equity Shares of the Company for the Financial year 2020-21 | FOR | FOR | Compliant with law. No governance concern identified. |
| 15-Aug-21 | ICICI Securities Limited | AGM | Management | Declaration of dividend | FOR | FOR | Company has sufficient liquid assets to pay final dividend. |
| 18-Aug-21 | Honeywell Automation India Limited | AGM | Management | To declare final dividend of INR 85/- (Rupees Eighty-five only) per equity share for the financial year 2020-21. | FOR | FOR | Sufficient funds. No concern identified. |
| 19-Aug-21 | City Union Bank Limited | AGM | Management | To confirm the Interim Dividend of 30% (i.e.Rs.0.30 paise) per equity share of face value of Rs.1/- each fully paid up approved by the Board of Directors and already paid to shareholders, for the Financial Year 2020-21. | FOR | FOR | No issues identified |
| 19-Aug-21 | City Union Bank Limited | AGM | Management | To declare Final Dividend of 30% (i.e.Rs.0.30 Paise) per equity share on face value of Rs.1/- each fully paid up) for the Financial Year 2020-21. | FOR | FOR | No issues identified |
| 19-Aug-21 | Dabur India Limited | AGM | Management | To confirm the interim dividend already paid and declare final dividend on equity shares for the financial year ended 31st March, 2021. | FOR | FOR | Sufficient resources, no governance concern identified |
| 19-Aug-21 | Pfizer Limited | AGM | Management | Declaration of Dividend | FOR | FOR | Sufficient funds. No concern identified |
| 20-Aug-21 | ICICI Bank Limited | AGM | Management | To declare dividend on equity shares. | FOR | FOR | Sufficient funds, compliant with law. |
| 23-Aug-21 | Hindalco Industries Limited | AGM | Management | To declare dividend on equity shares of the Company for the year ended 31st March, 2021. | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified. |
| 24-Aug-21 | Fine Organic Industries Ltd | AGM | Management | To declare a Final Dividend of Rs.11/- per equity share of face value Rs.5/- each for the financial year 2020-21 which includes a onetime special dividend of Rs.5/- per equity share on account of golden jubilee year. | FOR | FOR | sufficient funds |
| 24-Aug-21 | Maruti Suzuki India Limited | AGM | Management | To declare dividend on equity shares | FOR | FOR | Sufficient resources, no governance concern identified |
| 30-Aug-21 | Divis laboratories Ltd | AGM | Management | To declare dividend for the financial year 2020-21 | FOR | FOR | Compliant with law. Sufficient Liquid Funds. No concern identified. |
| 31-Aug-21 | Apollo Hospitals Enterprise Limited | AGM | Management | Declaration of Dividend | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified |
| 31-Aug-21 | DLF Limited | AGM | Management | To declare dividend on equity shares for the Financial Year ended 31 March 2021 | FOR | FOR | Sufficient Liquid Funds. No concern identified |
| 31-Aug-21 | Indiamart Interneet Ltd | AGM | Management | To declare dividend of Rs.15/- per equity share for the year ended March 31, 2021. | FOR | FOR | Sufficient Funds. No concern identified. |
| 31-Aug-21 | Astral Ltd | AGM | Management | To confirm interim Dividend declared by the Board of Directors and to declare Final Dividend on equity shares for the financial year ended on March 31, 2021. | FOR | FOR | Sufficient fund, no governance concern identified |
| 31-Aug-21 | Suven Pharmaceuticals Ltd | AGM | Management | To approve and ratify the already paid Interim Dividend on Equity Shares @ INR 1.00 per equity share for financial year 2020-21. | FOR | FOR | Sufficient fund, no governance concern identified. |
| 31-Aug-21 | Suven Pharmaceuticals Ltd | AGM | Management | To declare a Final Dividend of Rs.1.00 per Equity Share for the financial year 2020-21 | FOR | FOR | Sufficient fund, no governance concern identified. |
| 31-Aug-21 | SUN PHARMACEUTICAL INDUSTRIES LTD | AGM | Management | To confirm payment of Interim Dividend* of Rs.5.50 (Rupees Five and Paise Fifty only) per Equity Share of Rs.1/- each and to declare Final Dividend** of Rs.2/- (Rupees Two Only) per Equity Share for the financial year 2020-21 | FOR | FOR | The Company has liquid assets to pay the dividend. No concern identified. |
| 31-Aug-21 | Exide Industries Limited | AGM | Management | To confirm the payment of interim dividend paid during the financial year ended March 31, 2021. | FOR | FOR | Compliant with law. No concern identified. |
| 01-Sep-21 | Hatsun Agro Product Limited | AGM | Management | To ratify and confirm the payment of interim dividend made on the fully paid up and partly paid up Equity Shares of the Company for the financial year 2020-21. | FOR | FOR | Sufficient Funds. No governance concern identified. |
| 02-Sep-21 | Indian Energy Exchange Limited | AGM | Management | To confirm the payment of Interim Dividend of Rs. 2.50/- per equity share of face value of Rs. 1/- and To Approve and declare the payment of Final Dividend of Rs. 1.50/- per equity share of face value of Rs. 1/- for the financial year ending March 31, 2021 | FOR | FOR | Sufficient Funds. No governance concern identified. |
| 02-Sep-21 | IPCA Laboratories Limited | AGM | Management | To confirm payment of interim dividend of Rs.8/- per equity share as final dividend for the financial year ended 31st March, 2021. | FOR | FOR | Compliant with law. No concern identified. |
| 06-Sep-21 | Sundaram Finance Limited | AGM | Management | Payment of a final dividend of Rs.6/- per share and interim dividend of Rs.12.00 per share a total dividend of Rs.18/- per share to be paid out of the profits for the year 2020-21 | FOR | FOR | Compliant with law. No governance concern identified |
| 08-Sep-21 | Can Fin Homes Limited | AGM | Management | To declare a dividend of Rs.2/- per equity share for the financial year ended March 31, 2021. | FOR | FOR | Compliant with law. No concern identified |
| 08-Sep-21 | Ashok Leyland Limited | AGM | Management | To declare a dividend for the year ended March 31, 2021. | FOR | FOR | Sufficient liquid funds |
| 09-Sep-21 | K.P.B. Mill Limited | AGM | Management | To declare dividend on equity shares | FOR | FOR | The Company has liquid assets to pay the dividend. No concern identified. |
| 14-Sep-21 | Zee Entertainment Enterprises Limited | AGM | Management | To confirm Dividend paid on the Preference Shares by the Company during, and for, the financial year ended March 31, 2021. | FOR | FOR | Compliant with Law. No governance concern identified. |
| 14-Sep-21 | PI Industries Limited | AGM | Management | To confirm the payment of Interim Dividend and to declare Final Dividend on equity shares for the financial year ended March 31, 2021. | FOR | FOR | The Company has sufficient liquid funds. No concern identified |

| | | | | | | | |
|-----------|---|-----|------------|---|-----|-----|--|
| 15-Sep-21 | Coal India Limited | AGM | Management | To confirm 1st and 2nd Interim dividend paid @ Rs.7.50 per share and Rs.5/- per share respectively on equity shares for the Financial Year 2020-21 and to declare final dividend @ Rs.3.50 per share (35%) on equity shares for the financial year 2020-21. | FOR | FOR | Sufficient liquid funds. No concern identified |
| 15-Sep-21 | Hindustan Petroleum Corporation Limited | AGM | Management | To declare a Final Equity Dividend of Rs.22.75 per Share for the Financial Year 2020-2021. | FOR | FOR | The Company has sufficient liquid assets to pay the dividend. No concern identified. |
| 17-Sep-21 | Mothersum Sumi Systems Limited | AGM | Management | To declare final dividend of Rs.1.50 (Rupee One and Fifty Paise) per equity share for the financial year ended March 31, 2021. | FOR | FOR | Sufficient funds to pay the Dividend. No concern. |
| 17-Sep-21 | Rossari Biotech Ltd | AGM | Management | To declare Final Dividend @ 25% (i.e. Rs 0.50/-) per Equity Share for 2020-21. | FOR | FOR | Compliant with law. Sufficient liquid funds. No concern identified |
| 17-Sep-21 | Jubilant Foodworks Limited | AGM | Management | To declare dividend on equity shares for the financial year ended March 31, 2021. | FOR | FOR | Compliant with law. No governance concern identified. Company has sufficient liquid assets to pay final dividend. |
| 17-Sep-21 | Sun TV Network Limited | AGM | Management | To confirm the Interim Dividends of Rs. 5.00 per equity share (100%) of face value of Rs. 5.00 each declared on February 8, 2021 which had already been paid, as final dividend for the financial year ended March 31, 2021. | FOR | FOR | Compliant with law. No concern identified |
| 21-Sep-21 | Power Finance Corporation Limited | AGM | Management | To confirm the payment of Interim Dividend and declare Final Dividend on Equity Shares for the financial year 2020-21. | FOR | FOR | sufficient funds available |
| 21-Sep-21 | Central Depository Services (India) Limited | AGM | Management | To declare final dividend on Equity Shares of the Company for the financial year ended March 31, 2021 | FOR | FOR | Sufficient Funds. No concern identified. |
| 22-Sep-21 | Finolex Industries Limited | AGM | Management | To declare a final dividend of Rs. 2 and a special dividend of Rs. 2 per equity share for the financial year ended 31st March, 2021 | FOR | FOR | Company has sufficient funds. No concern identified. |
| 27-Sep-21 | Bharat Petroleum Corporation Limited | AGM | Management | To confirm the payments of First and Second Interim Dividend and to declare Final Dividend on Equity Shares for the Financial Year ended 31st March, 2021 | FOR | FOR | Sufficient Funds. No concern identified |
| 27-Sep-21 | UC Housing Finance Limited | AGM | Management | To declare final dividend of Rs. 8.50 /- (Rupees Eight and Fifty Paise Only) per equity shares for the F.Y. ended 31st March, 2021. | FOR | FOR | The Company has liquid assets to pay the dividend. No concern identified. |
| 28-Sep-21 | Gujarat State Petronet Limited | AGM | Management | To declare Dividend on Equity Shares. | FOR | FOR | Sufficient funds for payment of dividend. No governance concern identified. |
| 28-Sep-21 | NTPC Limited | AGM | Management | To confirm payment of interim dividend and declare final dividend for the financial year 2020-21 | FOR | FOR | The Company has sufficient liquid funds. No concern identified |
| 28-Sep-21 | Indraprastha Gas Limited | AGM | Management | To declare a Dividend on equity shares for the financial year ended March 31, 2021. | FOR | FOR | Sufficient liquid Assets. No governance concern identified. |
| 28-Sep-21 | Aarti Industries Limited | AGM | Management | To declare the final dividend @ 30% i.e Rs.1.50 (Rupee One and Fifty Paise only) per Equity share for the financial year ended March 31, 2021. | FOR | FOR | Sufficient funds. No concern identified. |
| 28-Sep-21 | Radico Khaitan Limited | AGM | Management | To Declare Dividend on Equity Shares | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified. |
| 28-Sep-21 | Petronet LNG Limited | AGM | Management | To consider declaration of final dividend on equity shares for the Financial Year 2020-21 | FOR | FOR | Sufficient funds for the payment of dividend. No concern identified. |
| 28-Sep-21 | Mahanagar Gas Limited | AGM | Management | To confirm the payment of Interim Dividend of ₹9.00 per equity share, already paid and to declare the final dividend of ₹24 per equity share for the financial year ended on March 31, 2021. | FOR | FOR | Sufficient liquid funds. No concern identified |
| 28-Sep-21 | Bharat Electronics Limited | AGM | Management | To confirm the payment of interim dividend of Rs.2.80 (280%) per equity share and to declare final dividend of Rs.1.20 (120%) per equity share of Rs.1 each fully paid up for the financial year 2020-21. | FOR | FOR | Sufficient Funds. No concern identified. |
| 28-Sep-21 | Dixon Technologies (India) Limited | AGM | Management | To declare a final dividend of Rs.1 per equity share for the Financial Year 2020-21. | FOR | FOR | Sufficient funds to pay the Dividend. No governance concern |
| 28-Sep-21 | Gujarat Gas Limited | AGM | Management | To declare Dividend on equity shares for the Financial Year 2020-21 | FOR | FOR | <ul style="list-style-type: none"> •The board of directors have recommended a final dividend of ₹2/- per equity share for FY 2020-21. •The proposed dividend if approved would lead to total cash outflow of ₹137.87 crores. •The Company has sufficient funds for the payment of proposed dividend. No concern identified. |
| 30-Sep-21 | NMDC Limited | AGM | Management | To approve and ratify the payment of Interim Dividend of Rs.Rs.7.76 ps. per equity share of Rs. 1.00 each already paid for the financial year 2020-21 | FOR | FOR | No concern has been identified. |

FORM L-45 OFFICES AND OTHER INFORMATION

 Name of the Insurer : **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED**

 Date: **30 SEPTEMBER 2021**

| Sr. No. | Information | | Number |
|---------|---|---|----------------------|
| 1 | No. of offices at the beginning of the year | | 116 |
| 2 | No. of branches approved during the year | | - |
| 3 | No. of branches opened during the year | Out of approvals of previous year | - |
| | | Out of approvals of this year | - |
| 4 | No. of branches closed during the year | | 7 |
| 5 | No of branches at the end of the year | | 109 |
| 6 | No. of branches approved but not opened | | - |
| 7 | No. of rural branches | | - |
| 8 | No. of urban branches | | 109 |
| 9 | No. of Directors:- | (a) Independent Director | 3 |
| | | (b) Executive Director | 1 |
| | | (c) Non-executive Director | 7 |
| | | (d) Women Director | 1 |
| | | (e) Whole time director | 1 |
| 10 | No. of Employees | (a) On-roll: | 3,088 |
| | | (b) Off-roll: | 2,753 |
| | | (c) Total | 5,841 |
| 11 | No. of Insurance Agents and Intermediaries | (a) Individual Agents, | 59585 PFA's |
| | | (b) Corporate Agents-Banks | 3 Banca Assurance |
| | | (c) Corporate Agents-Others | 8 Corporate Partners |
| | | (d) Insurance Brokers | 115 Brokers |
| | | (e) Web Aggregators | 0 |
| | | (f) Insurance Marketing Firm | 0 |
| | | (g) Micro Agents | 1 |
| | | (h) Point of Sales persons (DIRECT) | 8636 POSP Agents |
| | | (i) Other as allowed by IRDAI (To be specified) | 0 |

Employees and Insurance Agents and Intermediaries -Movement

| Particulars | Employees | Insurance Agents and Intermediaries |
|--|-----------|-------------------------------------|
| Number at the beginning of the quarter | 3160 | 66186 |
| Recruitments during the quarter | 558 | 2992 |
| Attrition during the quarter | 630 | 830 |
| Number at the end of the quarter | 3088 | 68348 |