Registration Number: 147 dated 10 May 2011

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#### REVENUE ACCOUNT FOR THE QUARTER ENDED 31 MARCH 2021

POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT)

| Part      | POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT) Particulars                         |              |                       | Non-Lin               | ked Par                |                | N                     | on-Linked Non Par     | (₹ in '00<br>Linked Non Par   |                             |                 |                   |                      |  |
|--|--|--------------|-----------------------|-----------------------|------------------------|----------------|-----------------------|-----------------------|-------------------------------|-----------------------------|-----------------|-------------------|----------------------|--|
| Process   Proc   |  |              | Total                 |                       |                        | Individual Non |                       |                       |                               | Group Variable              | Individual Life |                   | Group                |  |
| Martin   M   |  | Schedule     |                       |                       | Pension                | Par            | Annuity               |                       |                               |                             |                 | Pension           |                      |  |
| Marchanne   Marc   |  |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| March   Marc   | Premium earned-net   |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| Bill      |  | L-4          | 50,32,252             | 13,88,812             | 40,992                 | 20,50,418      | 8,603                 | 5,737                 | 1,31,823                      | 2,13,673                    | 11,65,679       | 12,514            | 14,00                |  |
| 18- 18- 18- 18- 18- 18- 18- 18- 18- 18-  | (b) Reinsurance ceded  |              | (87,505)              | (1,354)               | -                      | (54,000)       | -                     | (1,357)               | (29,793)                      | -                           | (1,001)         | -                 | -                    |  |
| Secretary   1.5    | •  | L            | -                     |                       |                        |                |                       |                       |                               | -                           |                 |                   | -                    |  |
| Section   Sect   | Sub Total  | l F          | 49,44,746             | 13,87,458             | 40,992                 | 19,96,418      | 8,603                 | 4,380                 | 1,02,030                      | 2,13,673                    | 11,64,678       | 12,514            | 14,000               |  |
| Section   Sect   | Income from Investments  |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| Distribution content product interactions   6,4479   10,358   1,1358   1,135   |  |              | 5,79,229              | 1,20,741              | 15,372                 | 3,37,410       | 8,575                 | 1,305                 | 24,134                        | 4,822                       | 56,438          | 2,816             | 7,617                |  |
| 1,255  | (b) Profit on sale / redemption of investments                                 |              |                       | 14,354                | 14,182                 | 6,491          | 3,012                 | -                     | 6,441                         |                             |                 | 18,236            | 21,186               |  |
| Sub Total (1,0,1,0,1,0)  |  |              |                       | (30,147)              | (862)                  |                | -                     | -                     | (0)                           | 0                           |                 |                   | (14,674              |  |
| 1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,   |  |              |                       | -                     | -                      |                |                       | -                     | -                             | -                           | ·               |                   | (1,193               |  |
| Commission from Source Outcomes and Policy Profession   11,177,555   (6,8,2077)   1,47,109   1,27   | Sub Total  |              | 10,33,930             | 1,04,947              | 28,692                 | 1,82,132       | 11,588                | 1,305                 | 30,575                        | 4,822                       | 6,43,579        | 13,354            | 12,936               |  |
| Commission from Source Outcomes and Policy Profession   11,177,555   (6,8,2077)   1,47,109   1,27   |  |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| According and any of Polyphodes   177   52   177 |  |              |                       |                       | 1,945                  |                | 4,861                 | 298                   |                               |                             |                 | 3,578             | -                    |  |
| 12,049   921   1   11,079   2   1   1,079   2   1   1,079   2   1   1,079   2   1   1,079   2   1   1,079   2   1   1,079   2   1   1,079   2   1,079   1,079   3   1,079      |  |              |                       |                       | -                      |                |                       |                       |                               |                             | ,               | -                 | 260                  |  |
| Secretar    | ·  |              |                       |                       | - 1                    |                |                       | -                     | -                             | -                           |                 | -                 | -                    |  |
| Total   A  |  | <del> </del> |                       |                       | 1.946                  |                |                       | 298                   | 1.04.283                      | 25.202                      |                 | 3.578             | 260                  |  |
| Commission Expenses  L5 3,6,000 1,93,844 773 1,69,469 231 81 1,503 66 29,561 261  Diperting typerinese restrict for invariance Business L4 13,21,973 5,80,325 1,241 5,92,784 2,510 832 5,796 7,8,860 2,545,751 2,053 2,257,676 4,680 2,068 | 345 1044   |              | 3,32,344              | 1,00,700              | 1,540                  | 73,204         | 4,003                 | 250                   | 1,04,203                      | 23,202                      | 2,27,643        | 3,370             | 200                  |  |
| Dispersions presented on incurrance Business   L6   15,11,1177   5,80,325   1,241   5,07,786   2,510   832   57,776   26,880   25,1451   2,015   2,275   2,085   2,095 | Total (A)  | l F          | 65,31,022             | 16,01,191             | 71,631                 | 22,53,834      | 25,054                | 5,983                 | 2,36,889                      | 2,43,697                    | 20,36,101       | 29,446            | 27,196               |  |
| Dispersions presented on incurrance Business   L6   15,11,1177   5,80,325   1,241   5,07,786   2,510   832   57,776   26,880   25,1451   2,015   2,275   2,085   2,095 | Commission Expenses  | L-5          | 3.96.909              | 1.93.854              | 773                    | 1.69.549       | 231                   | 81                    | 2.503                         | 66                          | 29.591          | 261               | _                    |  |
| \$21,486   -   | •  |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   | 2,257                |  |
| Second   Company   Compa   | GST/Service Tax on Charges   |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   | 453                  |  |
| Provisions (ref Tax Provisions (ref Tax Provisions (ref than taxation)  (a) I for diminution in the value of investments (Net) (b) Provision for Standard and non standard assets  Total (8)  19,24,222  7,73,916  1,989  7,65,267  7,73,117  7,705  7,706  7,705  7,706  7, | Provision for Doubtful debts   |              | 2,054                 | (263)                 | (26)                   | 2,933          | (8)                   | (25)                  | (24)                          | -                           | (531)           | 0                 | (2                   |  |
| Provision (other than toxation) [16] For diministry in the value of investments (Net) [16] For diministry in the value of investments (Net) [17] Total (II) [18] Provision for Standard and non standard assets [18] Total (III) [1 | Bad debt written off   |              | -                     | -                     | -                      | -              | -                     | -                     | -                             | -                           | -               | -                 | -                    |  |
| (a) For diminution in the value of investments (Net) (b) Provision for standard assets    Total (8)  |  |              | -                     | -                     | -                      | -              | -                     | -                     | -                             | -                           | -               | -                 | -                    |  |
| (b) Provision for Standard and non standard assets  Total (B)  194,2422 7,73,916 1,989 7,55,267 2,733 888 60,454 27,016 3,05,993 3,456 2, 100,00000000000000000000000000000000   | ,  |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| Total (e)  19.42.422 7,73.916 1.989 7.65,267 2,733 888 60,454 27,015 3,03,993 3,456 2,  Seriefts Paid (Net) Interim Bonuses Paid Interim Bonuses Paid Is 19.42.422 7,73.916 1.989 7,65,267 2,733 888 60,454 27,015 3,03,993 3,456 2,  1.6 68,727 97,037 3,774 1,18,717 7,705 326 (50,683) 1.48,055 2,74,916 64,127 4,  126 123 3   |  |              | -                     |                       | -                      | -              | -                     | -                     | -                             | -                           |                 | -                 | -                    |  |
| Senefits Paid (Net) Interim Bonuses Paid Interim Bo | (b) Trovision for Standard and non-standard assets                             |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| Interim Bonuses Paid Interim B | Total (B)  | l F          | 19,42,422             | 7,73,916              | 1,989                  | 7,65,267       | 2,733                 | 888                   | 60,454                        | 27,016                      | 3,03,993        | 3,456             | 2,708                |  |
| Change in valuation of liability in respect of life policies (a) Gross ** (b) Amount ceded in Reinsurance (2,89,729) - 1, 20,0105 - 3, 20,68 2,56,073 69,878 14,57,192 (38,936) 19; (c) Amount accepted in Reinsurance (2,89,729) - 1, 20,0105 - 3, 20,68 2,56,073 69,878 14,57,192 (38,936) 19; (d) Amount accepted in Reinsurance (2,89,729) - 1, 20,0105 - 3, 20, | Benefits Paid (Net)  | L-7          | 6,68,727              | 97,037                | 3,774                  | 1,18,717       | 7,705                 | 326                   | (50,683)                      | 1,48,055                    | 2,74,916        | 64,127            | 4,754                |  |
| (a) Gross ** (b) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance  Total (c)  46,02,211 8,27,276 61,819 14,88,667 16,425 2,394 2,05,766 2,17,934 17,32,108 25,191 24,  SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C)  46,02,211 8,27,276 61,819 14,88,667 16,425 2,394 2,05,766 2,17,934 17,32,108 25,191 24,  SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C)  40,02,211 8,27,276 61,819 14,88,667 16,425 2,394 2,05,766 2,17,934 17,32,108 25,191 24,  Appropriations  Transfer to Shareholders' Account Transfer to Balance Sheet (NO PAR)  (41,598) - 1,214 - 9,851 7,955 - 1 2,260 TOTAL (D)  (13,611) - 7,820 - 5,896 2,700 (29,331) (1,252) - 799 (1,254)  TOTAL (D)  **Represents the deemed realised gain as per norms specified by the Authority. **Terpresents Mathematical Reserves after allocation of bonus (The bonus is * NIL thousands) of the current year (previous year * NIL thousands)  The total surplus is disclosed below:  a) Interim Bonuses Paid (b) Allocation of Bonus to Policyholders (c) Amount accepted in Reinsurance (c) Allocation (15,425) (29,331) (1,252) (29,331) (29,331) (2 | Interim Bonuses Paid   |              | 126                   | 123                   | 3                      | -              | -                     | -                     | -                             | -                           | -               | -                 | -                    |  |
| (c) Amount ceded in Reinsurance (c) Amount ceded in Reinsurance (c) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance  Total (c)  46,02,211 8,27,276 61,819 14,88,567 16,425 2,394 2,05,766 2,17,934 17,32,108 25,191 24,  SURPLUS/ (DEFICT) (D) = (A) - (B) - (C)  (13,610) - 7,824 - 5,896 2,700 (29,331) (1,252) - 799 (2,360)  Appropriations  Transfer to Shareholders' Account  Transfer to Other Reserves  1,214 - 9,851 7,955 2,260  Appropriations or Future Appropriations (PAR)  6,605 - 6,606 2,360  Sevenue Surplus transferred to Balance Sheet (NON PAR)  (41,598) (3,956) (5,255) (29,331) (1,252) - 799 (1,561) (1,561)  (NOTES:  **Represents the Geemed realised gain as per norms specified by the Authority.**  **Trepresents Mathematical Reserves after allocation of bonus (The bonus is *NIL thousands for the current year (previous year *NIL thousands))  **The total surplus is disclosed below:  a) Interim Bonusse Paid  (b) Allocation of Bonus to Policyholders  3, 47,867 3,36,962 10,905  |  |              |                       |                       |                        |                |                       |                       |                               |                             |                 | /                 |                      |  |
| Total (C) Amount accepted in Reinsurance  Total (C) 46,02,211 8,27,276 61,819 14,88,567 16,425 2,394 2,05,766 2,17,934 17,32,108 25,191 24,  SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C) (33,610) - 7,824 - 5,896 2,700 (29,331) (1,252) - 799 (  Appropriations  Transfer to Shareholders' Account Transfer to Shareholder | , ,  |              |                       |                       |                        |                |                       |                       |                               |                             |                 | (38,936)          | 19,976               |  |
| Total (C)  46,02,211 8,27,276 61,819 14,88,567 16,425 2,394 2,05,766 2,17,934 17,32,108 25,191 24,  SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C)  (13,610) - 7,824 - 5,896 2,700 (29,331) (1,252) - 799 (20,331)  Appropriations  Transfer to Shareholders' Account 21,382 - 1,214 - 9,851 7,955 2,360  Transfer to Other Reserves   | • •  |              | (2,89,729)            |                       |                        | (2,90,105)     |                       |                       |                               |                             |                 | -                 | -                    |  |
| Company   Comp   | (c) Amount accepted in Nemsurance  |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| Appropriations Transfer to Shareholders' Account Transfer to Shareholders' Account Transfer to Shareholders' Account Transfer to Shareholders' Account Transfer to Other Reserves Transfer to Under Transfer Trans | Total (C)  | l F          | 46,02,211             | 8,27,276              | 61,819                 | 14,88,567      | 16,425                | 2,394                 | 2,05,766                      | 2,17,934                    | 17,32,108       | 25,191            | 24,730               |  |
| Transfer to Shareholders' Account Transfer to Shareholders' Account Transfer to Shareholders' Account Transfer to Other Reserves  | SURPLUS/(DEFICIT)(D) = (A) - (B) - (C)   |              | (13,610)              | -                     | 7,824                  | -              | 5,896                 | 2,700                 | (29,331)                      | (1,252)                     | -               | 799               | (243                 |  |
| Transfer to Shareholders' Account Transfer to Shareholders' Account Transfer to Shareholders' Account Transfer to Other Reserves  | Annropriations   |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| Transfer to Other Reserves Balance being Funds for Future Appropriations (PAR) 6,605 - 6,606   |  |              | 21.382                | _                     | 1.214                  | _              | 9.851                 | 7.955                 | _                             | _                           | _               | 2.360             | _                    |  |
| Balance being Funds for Future Appropriations (PAR) 6,605 - 6,606  |  |              |                       | -                     |                        | -              |                       |                       |                               |                             | -               | -                 | -                    |  |
| TOTAL (D) (13,611) - 7,820 - 5,896 2,700 (29,331) (1,252) - 799 (7,252) (13,611) - 7,820 - 5,896 2,700 (29,331) (1,252) - 7,999 (7,252) (13,611) - 7,820 - 5,896 2,700 (29,331) (1,252) - 7,999 (7,252) (13,611) - 7,820 - 5,896 2,700 (29,331) (1,252) - 7,999 (7,252) (1,252) (1,252) - 7,999 (7,252) (1,25  | Balance being Funds for Future Appropriations (PAR)                            |              | 6,605                 | -                     | 6,606                  | -              | -                     | -                     | -                             | -                           | -               | -                 | -                    |  |
| *Represents the deemed realised gain as per norms specified by the Authority.  **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ NIL thousands for the current year (previous year ₹ NIL thousands)]  The total surplus is disclosed below:  (a) Interim Bonuses Paid (b) Allocation of Bonus to Policyholders  3,47,867  3,47,867  3,36,962  10,905  | Revenue Surplus transferred to Balance Sheet (NON PAR)                         |              | (41,598)              | -                     | -                      | -              | (3,956)               | (5,255)               | (29,331)                      | (1,252)                     | -               | (1,561)           | (243                 |  |
| *Represents the deemed realised gain as per norms specified by the Authority.  **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ NIL thousands for the current year (previous year ₹ NIL thousands)]  The total surplus is disclosed below:  (a) Interim Bonuses Paid (b) Allocation of Bonus to Policyholders  3,47,867  3,47,867  3,36,962  10,905  | TOTAL (D)  | <del> </del> | (13,611)              | -                     | 7,820                  | -              | 5,896                 | 2,700                 | (29,331)                      | (1,252)                     | -               | 799               | (243                 |  |
| **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ NIL thousands for the current year (previous year ₹ NIL thousands)]  The total surplus is disclosed below:  (a) Interim Bonuses Paid (b) Allocation of Bonus to Policyholders (c) Surplus shown in the Revenue Account  (a) Surplus shown in the Revenue Account  (b) Allocation of Bonus to Policyholders (c) Surplus shown in the Revenue Account  (c) Surplus shown in the Revenue Account  (c) Surplus shown in the Revenue Account  (d) Allocation of Bonus to Policyholders (e) Surplus shown in the Revenue Account (d) Allocation of Bonus to Policyholders (e) Allocation of Bonus to  | NOTES:   | •            |                       | •                     | •                      |                |                       | •                     |                               |                             | •               |                   | •                    |  |
| (a) Interim Bonuses Paid (b) Allocation of Bonus to Policyholders (c) Surplus shown in the Revenue Account (13,611) (123 3   |  |              | s for the current yea | ar (previous year ₹ N | IL thousands)]         |                |                       |                       |                               |                             |                 |                   |                      |  |
| a) Interim Bonuses Paid  126 123 3   |  |              |                       |                       |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| b) Allocation of Bonus to Policyholders 3,47,867 3,36,962 10,905   |  |              |                       | I                     |                        |                |                       |                       |                               |                             |                 |                   |                      |  |
| c) Surplus shown in the Revenue Account - 7,820 - 5,896 2,700 (29,331) (1,252) - 799 (3  |  |              |                       |                       | 3                      | -              |                       | -                     |                               | -                           | -               | -                 | -                    |  |
|  | · ·  |              |                       |                       |                        | -              |                       |                       |                               | - (4.252)                   | <u> </u>        | -                 | - 12.40              |  |
| DE LOTAL SUPPLIEL LA LE LA   | c) Surplus snown in the Revenue Account  (d) Total Surplus [ (a) + (b)+ (c) ]. |              | 3,34,382              | 3,37,085              | 7,820<br><b>18,728</b> | -              | 5,896<br><b>5,896</b> | 2,700<br><b>2,700</b> | (29,331)<br>( <b>29,331</b> ) | (1,252)<br>( <b>1,252</b> ) |                 | 799<br><b>799</b> | (243<br><b>(24</b> 3 |  |

#### REVENUE ACCOUNT FOR THE YEAR ENDED 31 MARCH 2021

| Total                          | Non-Linked Par Non-Linked Non Par |   |                           |  |  |   |  | Linked Non Par  |                 |  |         |
|--------------------------------|-----------------------------------|---|---------------------------|--|--|---|--|---|-----------------|--|---------|
| Schedule                       | Total                             | Individual Par  | Individual Par<br>Pension | Individual Non<br>Par  | Individual Non Par<br>Annuity  | Health  | Group Non Par  | Group Variable  | Individual Life | Individual Linked<br>Pension   | Group   |
|                                |                                   |   |                           |  |  |   |  |   |                 |  |         |
| L-4                            | 1.24.82.384                       | 35.46.924   | 1.03.539                  | 50.69.651  | 20.488   | 22.691  | 3.33.489   | 2.18.908  | 30.96.543       | 47.486   | 22,66   |
|                                |                                   |   | -                         |  | -  |   |  | -   |                 | -  | -       |
|                                | -                                 | -   | -                         | -  | -  | -   | -  | -   | -               | -  | -       |
|                                | 1,21,24,056                       | 35,42,119   | 1,03,539                  | 48,68,179  | 20,488   | 17,370  | 1,93,598   | 2,18,908  | 30,89,704       | 47,486   | 22,66   |
|                                |                                   |   |                           |  |  |   |  |   |                 |  |         |
|                                | 22,21,564                         | 4,45,865  | 54,564                    | 11,75,081  | 32,699   | 4,840   | 1,01,719   | 20,492  | 3,21,266        | 13,204   | 51,83   |
|                                | 21,43,786                         | 1,36,904  | 34,960                    | 4,32,604   | 3,012  | -   | 20,932   | 3,340   | 13,73,826       | 47,876   | 90,33   |
|                                | (10,04,658)                       | (84,900)  | (10,292)                  | (1,94,675)   | -  | -   | (437)  | (1,748)   | (6,45,011)      | (22,177)   | (45,41  |
|                                |                                   | -   | -                         |  | -  | -   | -  | -   |                 |  | 54,30   |
|                                | 61,06,029                         | 4,97,869  | 79,232                    | 13,96,091  | 35,711   | 4,840   | 1,22,214   | 22,084  | 36,95,733       | 1,01,205   | 1,51,05 |
|                                |                                   |   |                           |  |  |   |  |   |                 |  |         |
|                                |                                   |   |                           |  |  |   |  |   | -               |  | -       |
|                                |                                   |   | -                         |  | -  |   | 3,265  |   |                 |  | 26      |
|                                |                                   |   | - 1                       |  |  |   | -  |   |                 |  | -       |
|                                | 26,85,142                         | 9,98,719  | 1,946                     | 10,67,867  | 4,868  | 298   | 1,04,283   | 25,202  | 4,78,120        | 3,578  | 26      |
|                                | 2 09 15 227                       | 50 38 707   | 1 84 717                  | 73 32 138  | 61.068   | 22 508  | 4 20 095   | 2 66 194  | 72 63 557       | 1 52 269   | 1,73,97 |
|                                | 2,03,13,227                       | 30,33,707   |                           |  |  | •   | 4,20,033   | 2,00,134  |                 |  | 1,73,37 |
| L-5                            | 10,60,256                         | 5,05,950  | 1,586                     | 4,71,236   | 574  | 477   | 6,560  | 66  | 72,888          | 918  | -       |
| L-6                            |                                   | 21,12,946   | 4,662                     | 24,11,227  | 5,442  | 6,630   | 1,67,045   |   |                 |  | 3,45    |
|                                | · ·                               | -   | - (25)                    | -  | - (4.0)  | -   | -  | 247   |                 | 1,049  | 1,74    |
|                                | 5,3/3                             |   | (25)                      |  | (19)   | 28  |  | /   |                 | 1  |         |
|                                | -                                 | _   | -                         |  | -  | -   | -  |   | -               | -  | -       |
|                                | -                                 | -   | -                         |  | -  | _   | -  | -   | -               | -  | _       |
|                                | _                                 | _   | _                         | _  | _  | _   | _  | _   | _               | _  | _       |
|                                | -                                 | -   | -                         | -  | -  | -   | -  | -   | -               | -  | -       |
|                                | 65,64,521                         | 26,19,394   | 6,223                     | 28,87,677  | 5,997  | 7,135   | 1,73,712   | 28,224  | 8,24,266        | 6,686  | 5,20    |
| L-7                            | 16,45,883                         | 2,23,312  | 10,395                    | 3,02,355   | 27,759   | 1,266   | 1,74,708   | 2,64,115  | 5,41,484        | 65,205   | 35,28   |
|                                | 239                               | 221   | 18                        | -  | -  | -   | -  | -   | -               | -  | -       |
|                                |                                   |   |                           |  |  |   |  |   |                 |  |         |
|                                |                                   | 21,95,781   | 1,53,378                  |  |  | 6,151   |  | (26,145)  | 58,97,808       | 78,017   | 1,33,49 |
|                                | (4,48,597)                        | -   | -                         | (4,58,568)   | -  | -   | 9,971  | -   | -               | -  | -       |
|                                | -                                 | -   | -                         | -  | -  | -   | -  | -   | -               | -  | -       |
|                                | 1,43,15,839                       | 24,19,314   | 1,63,791                  | 44,44,456  | 45,218   | 7,417   | 2,46,385   | 2,37,970  | 64,39,292       | 1,43,222   | 1,68,77 |
|                                | 34,867                            | -   | 14,701                    | -  | 9,851  | 7,955   | -  | -   | -               | 2,360  | -       |
|                                |                                   |   |                           |  |  |   |  |   |                 |  |         |
|                                | 21.380                            | -   | 1.214                     | -  | 9.851  | 7.955   | -  | -   | -               | 2,360  | _       |
|                                | -                                 | -   | -                         | -  | -  |   | -  | -   | -               | -  | _       |
|                                | 13,487                            | -   | 13,487                    | -  | -  | -   | -  | -   | -               | -  | -       |
|                                | -                                 | -   | -                         | -  | -  | -   | -  | -   | -               | -  | -       |
|                                | 34,867                            | -   | 14,701                    | -  | 9,851  | 7,955   | -  | -   | -               | 2,360  | -       |
| hority.<br>us is ₹ 347,867 tho |                                   | -   |                           |  | 9,851  | 7,955   | -  |   | -<br>-          |  | 60      |
|                                | L-7                               | (3,58,328) - 1,21,24,056  22,21,564 21,43,786 (10,04,658) 27,45,337 61,06,029  17,17,683 9,33,561 1,091 32,806 26,85,142  2,09,15,227  L-5 L-6 54,29,540 69,352 5,373 | (3,58,328)                | L-4  1,24,82,384 (3,58,328) (3,58,328) (4,805)  1,21,24,056  35,42,119  1,03,539  22,21,564 4,45,865 54,564 21,43,786 1,36,904 34,960 (10,04,658) (84,900) (10,292) 27,45,337 61,06,029  4,97,869 79,232  17,17,683 7,69,903 1,945 9,33,561 2,25,689 - 1,091 32,806 3,127 1 26,85,142 9,98,719 1,946  2,09,15,227 50,38,707 1,84,717  L-5 10,60,256 54,29,540 69,352 | L-4  1,24,82,384 (3,58,328) (4,805) - 1,21,24,056 35,42,119 1,03,539 48,68,179  22,21,564 4,45,865 54,564 11,75,081 21,43,786 1,36,904 34,960 4,32,604 (10,04,658) (84,900) (10,292) (1,94,675) 27,45,337 (16,919) 61,06,029 4,97,869 79,232 13,96,091  17,17,683 7,69,903 1,945 1,091 2,25,689 1,091 32,806 3,127 1 29,544 26,85,142 9,98,719 1,946 10,67,867  2,09,15,227 50,38,707 1,84,717 73,32,138  L-5 10,60,256 54,29,540 21,12,946 4,662 24,11,227 69,352 | L-4  1,24,82,384 (3,58,328) (4,805) - 1,21,144,056 35,42,119 1,03,539 48,68,179 20,488  22,21,564 21,43,786 1,36,904 34,960 21,43,786 1,36,904 34,960 4,32,604 3,012 (10,04,658) (48,900) (10,292) 27,45,337 - 1(16,919) - 61,06,029 4,97,869 79,232 13,96,091 35,711  17,17,683 7,69,903 1,945 9,33,561 2,25,689 2,26,178 - 1,091 32,806 3,127 1 29,544 8 26,85,142 9,98,719 1,946 10,67,867 4,868 2,09,15,227 50,38,707 1,84,717 73,32,138 61,068  L-5 10,60,256 50,59,50 1,586 4,71,236 5,442 69,352 | L-4  1,24,82,384 (3,58,328) (4,805) (4,805) (2,01,472) (2,01,472) (5,321)  1,21,24,056 35,42,119 1,03,539 48,681,79 20,488 17,370  22,21,564 4,45,865 54,564 21,43,786 1,36,904 34,960 4,32,604 3,012 - (10,04,658) (84,900) (10,292) (1,94,675) - (1,69,19) (1,69,19) (1,69,19) | L-4  1,24,82,384 (3,58,328) (4,805) (4,805) (2,01,472) (5,321) (1,39,891)  1,21,24,056 35,42,119 1,03,539 48,68,179 20,488 17,370 1,93,598  22,21,564 4,45,865 54,564 11,75,081 32,699 4,840 1,01,719 21,43,786 13,6904 34,580 4,32,604 3,012 | L4              | L4 1,24,82,384 35,46,924 1,03,539 50,69,651 20,488 22,691 3,33,489 2,18,506 6,839 (2,58,528) (4,605) - (2,01,472) - (5,221) (1,39,891) 2.9,68 30,85,740 (8,89) 1,21,240,96 35,42,119 1,03,539 48,68,179 20,488 17,770 1,35,538 2,18,508 2,18,508 30,85,740 (2,21,554 4,45,665 54,564 11,75,081 22,699 4,840 1,01,719 20,492 3,401 13,73,826 (10,04,658) (84,900) (10,291 (1,34,675) - (437) (1,746 16,45,013) (10,06,68) (84,900) (10,291 (1,34,675) - (437) (1,746 16,45,013) | 1-4     |

#### REVENUE ACCOUNT FOR THE QUARTER ENDED 31 MARCH 2020

| POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT) Particulars  |                          |                             | Non-Linl                | ked Par               |                             | No                    | n-Linked Non Par      |                             |                   |                         | Linked Non Par        | (₹ in '000       |
|---|--------------------------|-----------------------------|-------------------------|-----------------------|-----------------------------|-----------------------|-----------------------|-----------------------------|-------------------|-------------------------|-----------------------|------------------|
| Particulars   |                          | Total                       | Individual Par          | Individual Par        | Individual Non Par          |                       | Health                | Group Non Par               | Group Variable    | Individual Life         | Individual Linked     | Group            |
|   | Schedule                 | 10141                       | martradar a.            | Pension               | mairiadai itoii i di        | Annuity               | ricaren               | Group Hom an                | Croup variable    | mairiadai ziic          | Pension               | Crowp            |
|   |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| Premium earned-net  | 1.4                      | 41 11 411                   | 9 24 40E                | 58,654                | 10 52 147                   | 10.054                | 6,261                 | 1 00 101                    | 2 021             | 0.07.541                | 24 020                | 1 26 100         |
| (a) Premium (b) Reinsurance ceded   | L-4                      | 41,11,411<br>(1,15,031)     | 8,34,405<br>(1,069)     | 58,654                | 18,53,147<br>(45,743)       | 19,054<br>-           | (1,326)               | 1,88,481<br>(64,758)        | 2,931             | 9,97,541<br>(2,136      |                       | 1,26,100         |
| (c) Reinsurance accepted  |                          | (1,13,031)                  | (1,009)                 | -                     | (43,743)                    | -                     | (1,320)               | (04,738)                    | -                 | (2,130                  | -<br>-                | -                |
| Sub Total   | <u> </u>                 | 39,96,381                   | 8,33,336                | 58,654                | 18,07,404                   | 19,054                | 4,935                 | 1,23,722                    | 2,931             | 9,95,406                | 24,838                | 1,26,100         |
|   |                          |                             | , ,                     | •                     | , ,                         | ,                     | •                     |                             | ·                 | , ,                     | •                     |                  |
| Income from Investments   |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| (a) Interest, Dividend & Rent (Net)   |                          | 4,40,566                    | 81,476                  | 11,951                | 2,46,474                    | 7,345                 | 1,135                 | 24,916                      | 6,397             | 49,926                  | ·                     | 8,004            |
| (b) Profit on sale / redemption of investments  |                          | 3,50,772                    | 76,878                  | 5,420                 | 1,12,811                    | 640                   | -                     | 4,471                       | 184               | 1,44,901                | 2,131                 | 3,33             |
| <ul><li>(c) (Loss on sale / redemption of investments)</li><li>(d) Transfer /Gain on revaluation / change in fair value*</li></ul>                                      |                          | (1,95,988)<br>(16,95,394)   | (77,733)                | (6,498)<br>-          | (8,357)                     | (695)<br>-            | -                     | (695)<br>-                  | -                 | (97,930)<br>(16,13,794) |                       | (2,368<br>(37,96 |
| Sub Total   | <del> </del>             | (11,00,045)                 | 80,622                  | 10,873                | 3,50,929                    | 7,289                 | 1,135                 | 28,692                      | 6,581             | (15,16,897)             |                       | (28,99           |
|   |                          | (==,00,010)                 | 33,611                  |                       | 3,50,525                    | 7,200                 |                       |                             | 0,001             | (10,10,001)             | (10,212)              | (=0,55           |
|   |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| Contribution from Shareholders' Account towards Excess EOM  |                          | 24,27,305                   | 5,40,571                | 3,245                 | 14,01,437                   | 10,637                | 31,016                | 1,01,350                    | 2,381             | 3,23,827                |                       | 6,51             |
| Contribution from Shareholders' Account   |                          | (14,04,037)                 | (3,74,721)              | -                     | (10,00,620)                 | -                     | (36,559)              | (355)                       | (3,560)           | 12,138                  |                       | 2,09             |
| Income on Unclaimed amount of Policyholders Other Income  |                          | 293<br>6,122                | 631                     | -<br>2,107            | 293<br>3,363                | -<br>1                | -                     | -                           | -                 | 20                      | -                     | -                |
| Sub Total   |                          | 10,29,683                   | 1,66,480                | 5,352                 | 4,04,473                    | 10,638                | (5,543)               | 1,00,995                    | (1,179)           | 3,35,985                |                       | 8,61             |
|   |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| Total (A)   |                          | 39,26,019                   | 10,80,438               | 74,879                | 25,62,806                   | 36,981                | 527                   | 2,53,409                    | 8,333             | (1,85,506               | (11,560)              | 1,05,716         |
| Commission Expenses   | L-5                      | 2,91,188                    | 85,628                  | 1,505                 | 1,71,825                    | 677                   | 243                   | 4,129                       | -                 | 26,734                  | 445                   | -                |
| Operating Expenses related to Insurance Business  | L-6                      | 16,92,055                   | 4,05,615                | 1,902                 | 8,16,982                    | 4,060                 | 3,547                 | 66,946                      | 307               | 3,80,889                | 1,304                 | 10,50            |
| GST/Service Tax on Charges  |                          | 18,012                      | -                       | -                     | -                           | -                     | -                     | -                           | 66                | 17,308                  | 301                   | 33               |
| Provision for Doubtful debts  |                          | 1,297                       | -                       | -                     | 1,297                       | -                     | -                     | -                           | -                 | -                       | -                     | -                |
| Bad debt written off  |                          | -                           | -                       | -                     | -                           | -                     | -                     | -                           | -                 | -                       | -                     | -                |
| Provision for Tax   |                          | -                           | -                       | -                     | -                           | -                     | -                     | -                           | -                 | -                       | -                     | -                |
| Provisions (other than taxation)  (a) For diminution in the value of investments (Net)  |                          | _                           | _                       | _                     | _                           |                       | _                     | _                           | _                 | _                       | _                     | _                |
| (b) Provision for Standard and non standard assets  |                          | 21,609                      | 109                     | _                     | 21,500                      | -                     | _                     | _                           | _                 | -                       | _                     | _                |
| •   |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| Total (B)   |                          | 20,24,161                   | 4,91,352                | 3,407                 | 10,11,605                   | 4,737                 | 3,790                 | 71,075                      | 373               | 4,24,931                | 2,050                 | 10,838           |
| Benefits Paid (Net)   | L-7                      | 3,09,676                    | 30,766                  | 1,335                 | 18,128                      | 6,689                 | (114)                 | 52,692                      | 646               | 1,87,761                | 232                   | 11,542           |
| Interim Bonuses Paid  |                          | 53                          | 53                      | -                     | -                           | -                     | -                     | -                           | -                 | -                       | -                     | -                |
| Change in valuation of liability in respect of life policies  |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| (a) Gross **  |                          | 12,80,206                   | 5,58,266                | 67,088                | 13,42,984                   | 22,227                | (4,254)               | 20,410                      | 6,367             | (7,98,200               | ) (18,015)            | 83,336           |
| (b) Amount ceded in Reinsurance   |                          | 85,598                      | -                       | -                     | 83,538                      | -                     | -                     | 2,060                       | -                 | -                       | -                     | -                |
| (c) Amount accepted in Reinsurance  |                          | -                           | -                       | -                     | -                           | -                     | -                     | -                           | -                 | -                       | -                     | -                |
| Total (C)   |                          | 16,75,533                   | 5,89,086                | 68,422                | 14,44,650                   | 28,915                | (4,368)               | 75,162                      | 7,013             | (6,10,439               | (17,784)              | 94,878           |
| SURPLUS/ (DEFICIT ) (D) = (A) - (B) - (C)   |                          | 2,26,324                    |                         | 3,049                 | 1,06,551                    | 3,328                 | 1,104                 | 1,07,172                    | 947               | -                       | 4,173                 |                  |
|   |                          | 2,20,324                    |                         | 3,043                 | 1,00,331                    | 3,320                 |                       | 1,07,172                    | 347               |                         | 4,173                 |                  |
| Appropriations  |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| Transfer to Shareholders' Account   |                          | 2,29,654                    | -                       | 644                   | 1,06,551                    | 9,063                 | 1,105                 | 1,07,173                    | 945               | -                       | 4,174                 | -                |
| Transfer to Other Reserves  |                          | 2.405                       | -                       | 2.405                 | -                           | -                     | -                     | -                           | -                 | -                       | -                     | -                |
| Balance being Funds for Future Appropriations (PAR) Revenue Surplus transferred to Balance Sheet (NON PAR)  |                          | 2,405<br>(5,734)            | -                       | 2,405                 | -                           | -<br>(5,735)          | -                     | -                           | -                 | -                       | -                     | -                |
| nevertue surpius transferreu to balance sheet (NON FAN)   |                          | (3,734)                     | -                       | -                     |                             | (3,733)               | -                     | -                           | -                 | -                       | -                     | -                |
| TOTAL (D)   |                          | 2,26,325                    | -                       | 3,049                 | 1,06,551                    | 3,328                 | 1,105                 | 1,07,173                    | 945               | -                       | 4,174                 | -                |
| NOTES:  | la a attac               |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| <ul> <li>Represents the deemed realised gain as per norms specified by the Aut</li> <li>represents Mathematical Reserves after allocation of bonus [The bonu</li> </ul> |                          | s for the current ve        | ar (nrevious vear ₹ 3.0 | 9 386 thousands)]     |                             |                       |                       |                             |                   |                         |                       |                  |
| represents wathematical reserves after allocation of bonds [The bond  | 23 13 × 347,007 thousand | s for the current yea       | ii (previous yeur v 5,0 | 3,300 thousands/j     |                             |                       |                       |                             |                   |                         |                       |                  |
|   |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| he total surplus is disclosed below:  |                          |                             |                         |                       |                             |                       |                       |                             |                   |                         |                       |                  |
| a) Interim Bonuses Paid   |                          | 53                          | 53                      | -                     | -                           | -                     | -                     | -                           | -                 | -                       | -                     | -                |
| b) Allocation of Bonus to Policyholders   |                          | 3,09,386                    | 3,03,613                | 5,773                 | -                           | -                     |                       |                             | <u>.</u>          | -                       | -                     | -                |
| c) Surplus shown in the Revenue Account  d) Total Surplus [ (a) + (b)+ (c) ].   |                          | 2,26,325<br><b>5,35,764</b> | 3,03,666                | 3,049<br><b>8,822</b> | 1,06,551<br><b>1,06,551</b> | 3,328<br><b>3,328</b> | 1,105<br><b>1,105</b> | 1,07,173<br><b>1,07,173</b> | 945<br><b>945</b> | -                       | 4,174<br><b>4,174</b> | -                |
| at Tabal Comples   Ial   Ibli Ial   | -                        | L J L 76/1                  |                         |                       | - 7 06 551                  | 2 279                 | 7 705                 | 1 (17 172                   | U/IE              | -                       |                       |                  |

#### REVENUE ACCOUNT FOR THE YEAR ENDED 31 MARCH 2020

| Personal carried size   1  | POLICYHOLDERS' ACCOUNT (TECHNICAL ACCOUNT) Particulars                         |          |                             | Non-Lin              | ked Par                 |                     |        | on-Linked Non Par |               |                |                 | Linked Non Par        | (₹ in '000 |
|--|--|----------|-----------------------------|----------------------|-------------------------|---------------------|--------|-------------------|---------------|----------------|-----------------|-----------------------|------------|
| Part      | Particulars  |          | Total                       |                      |                         | Individual Non Par  |        |                   | Group Non Par | Group Variable | Individual Life |                       | Group      |
|  |  | Schedule | rotar                       | marvidadi i di       |                         | marviadar ivon i ar |        | ricaiai           | Group Hon Fai | Group variable | marviadar Enc   |                       | Gloup      |
| Comment   Comm   |  |          |                             |                      |                         | -                   |        |                   | -             |                |                 | -                     |            |
|  |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| Second    | • •  | L-4      |                             |                      | 1,41,066                |                     | 48,537 |                   |               | 45,053         |                 | 55,362                | 1,41,57    |
| Section   Sect   |  |          | (4,46,038)                  | (3,506)              | -                       | (1,64,467)          | -      | (4,691)           | (2,65,933)    | -              | (7,441)         | -                     | -          |
| Control from Interviewers (1977) (1978) (197 | •  |          | -                           | -                    |                         | -                   |        |                   | -             | -              | -               |                       | -          |
| 1457797   127640   1457797   127640   1457797   13242   469   111111   12691   12710   12602   2   1747     | Sub Total  |          | 1,00,38,809                 | 21,82,601            | 1,41,066                | 42,56,877           | 48,537 | 20,543            | 4,19,900      | 45,053         | 27,27,290       | 55,362                | 1,41,57    |
| B  mosts protected 4 cert field   1457/97   25524   44578   14772   14724   1481   1   | ncomo from Invostments   |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| 10   District Self-Intellegency Conference (1998)   1,000      |  |          | 15 57 707                   | 2 70 410             | 15 E76                  | 0 57 700            | 22 220 | 4.640             | 1 11 122      | 22.652         | 1 72 110        | 10.250                | 28,96      |
| Mathematic of the control of the c   |  |          |                             |                      |                         |                     | •      |                   |               |                |                 |                       | 29,92      |
| 1  | •  |          |                             |                      |                         |                     | ·      |                   |               |                |                 | •                     | (9,08      |
| Solidar   Soli   |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       | (42,37     |
| Part      |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       | 7,43       |
| 1,15,555   1,16,741   1,15     |  |          | 3, 10,012                   | 3,12,33              | 10,013                  | 12,03,003           | 33,130 | 3,5 .5            | 2,27,107      | ,              | (12)0 1)7 037   | (=/)=/=/              | 7,1.       |
| 2,4,666   1,0,7,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,   |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| 1,564   1,567   1,568   1,56   | ontribution from Shareholders' Account towards Excess EOM                      |          | 24,27,305                   | 5,40,571             | 3,245                   | 14,01,437           | 10,637 | 31,016            | 1,01,350      | 2,381          | 3,23,827        | 6,326                 | 6,51       |
| Total (A)  Total (A)  Total (A)  Total (A)  Total (B)   | Contribution from Shareholders' Account  |          | 8,26,566                    | 1,06,743             | -                       | -                   | -      | -                 | -             | -              | 7,17,031        | -                     | 2,79       |
| Sub Total (A)  | ncome on Unclaimed amount of Policyholders                                     |          | 1,364                       | -                    | -                       | 1,364               | -      | -                 | -             | -              | -               | -                     | -          |
| Total (c)  1.38.31,315  1.38.31 | Other Income   |          | 14,879                      | 2,283                | 2,107                   | 10,361              | 1      | -                 | -             | -              | 126             | -                     | -          |
| 1.5   7,83,619   2,31,825   2,350   4,34,672   873   2,234   11,676   335   75,412   1,165   | Sub Total  |          | 32,70,114                   | 6,49,597             | 5,352                   | 14,13,161           | 10,638 | 31,016            | 1,01,350      | 2,381          | 10,40,985       | 6,326                 | 9,30       |
| Commission Expenses    1.5   | Total (A)  |          | 1,38,51,935                 | 31,44,762            | 1,94,938                | 68,79,647           | 98,673 | 57,107            | 6,48,717      | 71,745         | 25,63,506       | 34,518                | 1,58,32    |
| piper alling Expenses related to Insurance Business   Le   5,649,562   13,18,285   5,944   22,760   2,20,018   4,737   12,0444   7,645   1,0737   1,0745   1 |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| 1,125   1,12   | ·  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       | -          |
| Procession of Doubtful delays and delay make the fire of the fire  |  | L-6      |                             | 13,19,335            | 5,944                   | 28,48,929           | 12,278 | 42,560            | 2,10,018      | •              |                 |                       | 12,66      |
| lad defect written off rovesions (offer than favarions) rovesions (offer than favarions) rovesions (offer than favarions) (a) For diminish in the value of investments (Net1 (b) Provision for Standard and non standard assets  79,705  547  7 atal (6)  66,49,285  15,51,711  8,80,132  12,485  7,585  1,786  1,24,890  23,723  2,805  2,38,081  4,601  2,36,548  1,372,232  9,228  1  remitte final (Net1)  1,787  1,788  1,24,890  2,372  1,24,095  2,38,081  4,601  2,36,548  1,372,232  9,228  1  1,372,233  7  1,372,333  7  1,372,333  7  1,372,333  7  1,372,333  1,3 | _  |          |                             | -                    | -                       |                     | -      | -                 | -             | 245            | 58,493          | 1,048                 | 1,37       |
| Provision for Tax  |  |          | 5,661                       | -                    | -                       | 5,661               | -      | -                 | -             | -              | -               | -                     | -          |
| Provisions for Standard and non standard assets 95,295 547 - 87,300  |  |          | -                           | -                    | -                       | -                   | -      | -                 | -             | -              | -               | -                     | -          |
| (i) For diminution in the value of investments (Net) (b) Provision for standard and not standard assets  Fotal (8)  66,49,898  15,51,711  5,994  54,01,962  54,01,979  54,01,1994  54,01,962  54,01,1994  54,01,19 |  |          | -                           | -                    | -                       | -                   | -      | -                 | -             | -              | -               | -                     | -          |
| Total (e)  Total (e)  66,49,285 15,51,711 8,984 34,01,962 13,151 44,794 2,21,894 5,361 13,72,232 9,828 1  Remefits Paid (Net)  L7 8,80,332 12,44,85 7,086 1,218,89 23,273 2,805 2,38,091 4,802 2,98,548 1,282 6  Remefits Paid (Net)  28 267 22  |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| Total (B)  6649288 15.51.711 8.994 34,01.962 13.1,151 44,794 2.21.894 5.581 13.73.232 9.828 1  Benefits Paid (Not)  1.7 8.80,132 1.22.485 7,086 1.21,669 2.3,73 2.805 2.30,081 4.602 2.06.548 1.23 6  7.88 2.67 2.2  |  |          | - 00 205                    |                      |                         |                     | -      | -                 | -             | -              |                 |                       | 4 20       |
| Benefits Faid   Net   L7   | (b) Provision for Standard and non-standard assets                             |          | 98,295                      | 547                  | -                       | 87,500              | -      | -                 | -             | -              | 5,862           | -                     | 4,38       |
| nterm Bonuses Paid haspe in valuable in Alpha Defined in Reinsurance (a) Gross ** (b) Amount caceded in Reinsurance (c) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance (d) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (b) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance (d) Amount accepted in Reinsurance (e) A | Total (B)  |          | 66,49,285                   | 15,51,711            | 8,934                   | 34,01,962           | 13,151 | 44,794            | 2,21,894      | 5,361          | 13,73,232       | 9,828                 | 18,419     |
| 1,0,550   1,0,550   1,0,550   1,0,550   1,0,550   1,0,550   1,0,550   1,0,7,73   1,0,7   | Renefits Paid (Net)  | 1-7      | 8 80 122                    | 1 22 495             | 7.086                   | 1 21 660            | 22 722 | 2 805             | 2 22 021      | 4.602          | 2 06 548        | 1 292                 | 61,85      |
| Drange in valuation of liability in respect of life policies (a) Gross ** (b) Amount ceeded in Reinsurance (c) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance (d) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (f) Amount accepted in Reinsurance (g) Amount accepted in Reinsurance (h) Amount accepted in Reinsurance (g) Amount accepted in Reinsurance (h) Amount acc |  | L-7      |                             |                      |                         |                     |        |                   |               |                | 2,30,348        |                       | 01,03      |
| (a) Gross ** (b) Amount ceded in Reinsurance (c) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance (d) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (e) Amount accepted in Reinsurance (f) Amount accepted in Reinsurance (g) Gest ( |  |          | 200                         | 207                  | 22                      |                     |        |                   |               |                |                 |                       |            |
| (8) Amount accepted in Reinsurance (c) Amount accepted in Reinsurance (d) Amount accepted in Reinsurance  Total (C)  69,61,644  15,93,051  1,74,010  2,41,000  1,74,010  2,41,000  1,74,010  | · · · · · · · · · · · · · · · · · · ·  |          | 61 61 590                   | 14 70 299            | 1 66 902                | 33 41 890           | 52 737 | 8 403             | 69 512        | 60.836         | 8 93 725        | 19 233                | 78,05      |
| Total (C) Amount accepted in Reinsurance  Total (C) 69,61,644 15,93,051 1,74,010 33,71,136 76,460 11,208 3,19,650 65,439 11,90,273 20,515 1,3  SURPLUS/ (DEFICIT) (D) = (A) - (B) - (C) 2,41,006 11,994 1,06,550 9,062 1,105 1,07,173 945 - 4,174  Appropriations  Transfer to Shareholders' Account 2,29,656 - 644 1,06,550 9,063 1,105 1,07,173 945 - 4,174  Transfer to Other Reserves  Balance being Funds for Future Appropriations (PAR)  Elevenue Surplus transferred to Balance Sheet (NON PAR)  Total (D) 2,41,006 - 11,994 1,06,550 9,063 1,105 1,07,173 945 - 4,174  Total (D) 2,41,006 - 11,994 1,06,550 9,063 1,105 1,07,173 945 - 4,174  The total surplus is disclosed below:  The total s | • •  |          |                             | 14,70,233            |                         |                     |        |                   |               |                | -               |                       | -          |
| Total (C)  69,61,644 15,93,051 1,74,010 33,71,136 76,460 11,208 3,19,650 65,439 11,90,273 20,515 1,3  SURPLUS / (DEFICIT ) (D) = (A) - (B) - (C)  2,41,006 11,994 1,06,550 9,062 1,105 1,07,173 945 4,174  Appropriations  Transfer to Shareholders' Account 2,29,656 6 644 1,06,550 9,063 1,105 1,07,173 945 4,174  Transfer to Other Reserves  2,29,656 6 644 1,06,550 9,063 1,105 1,07,173 945 4,174  Transfer to Other Reserves  1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1,1   | • •  |          | (55,555)                    | _                    |                         |                     |        |                   |               | -              | -               |                       | _          |
| SurPLUS / (DEFICIT) (D) = (A) - (B) - (C) 2,41,006 - 11,994 1,06,550 9,062 1,105 1,07,173 945 - 4,174  Appropriations  Transfer to Shareholders' Account  Transfer to Shareholders' Account  Transfer to Other Reserves  2,29,656 - 644 1,06,550 9,063 1,105 1,07,173 945 - 4,174  Transfer to Other Reserves  11,350 - 11,350 - 11,350 - 1,07,173 945 - 4,174  Transfer to Balance Sheet (NON PAR)  11,350 - 11,350 - 1,07,173 945 - 4,174  Transfer to Balance Sheet (NON PAR)  11,350 - 11,994 1,06,550 9,063 1,105 1,07,173 945 - 4,174  Transfer to Balance Sheet (NON PAR)  10,001 - 11,994 1,06,550 9,063 1,105 1,07,173 945 - 4,174  Transfer to Balance Sheet (NON PAR)  10,001 - 11,994 1,06,550 9,063 1,105 1,07,173 945 - 4,174  Transfer to Other Reserves  10,001 - 11,001 - 1,0 | (c)  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| Appropriations  Transfer to Shareholders' Account  Transfer to Shareholders' Account  Transfer to Other Reserves  Jalance Being Funds for Future Appropriations (PAR)  Jalance Sheet (NON PAR)  Jalance Sheet (NO | Total (C)  |          | 69,61,644                   | 15,93,051            | 1,74,010                | 33,71,136           | 76,460 | 11,208            | 3,19,650      | 65,439         | 11,90,273       | 20,515                | 1,39,90    |
| Fransfer to Shareholders' Account 7.29,656   | SURPLUS/ (DEFICIT ) (D) = (A) - (B) - (C)                                      |          | 2,41,006                    | -                    | 11,994                  | 1,06,550            | 9,062  | 1,105             | 1,07,173      | 945            | -               | 4,174                 | <u>-</u>   |
| Transfer to Shareholders' Account 2,29,556 - 644 1,06,550 9,063 1,105 1,07,173 945 - 4,174 ransfer to Other Reserves   |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| Fransfer to Other Reserves 3alance being Funds for Future Appropriations (PAR) 11,350 - 11,3  |  |          | 2 20 656                    |                      | C 4.4                   | 1.06.550            | 0.003  | 1 105             | 1 07 172      | 045            |                 | A 47A                 |            |
| Balance being Funds for Future Appropriations (PAR)  11,350 - 11,350   |  |          |                             | -                    |                         |                     |        |                   |               | 945            | -               |                       | -          |
| Revenue Surplus transferred to Balance Sheet (NON PAR)   |  |          |                             | -                    |                         | -                   | -      | -                 | -             | -              | -               |                       | -          |
| Total (b)  2,41,006  2,41,006  - 11,994  1,06,550  9,063  1,105  1,07,173  945  - 4,174   *Represents the deemed realised gain as per norms specified by the Authority.  **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ NIL thousands for the current year (previous year ₹ NIL thousands)]  **The total surplus is disclosed below:  (a) Interim Bonuses Paid (b) Allocation of Bonus to Policyholders  3,09,386  3,03,613  5,773   |  |          | 11,350                      | -                    |                         | -                   | -      | -                 | -             | -              | -               |                       | -          |
| *Represents the deemed realised gain as per norms specified by the Authority.  **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ NIL thousands for the current year (previous year ₹ NIL thousands)]  *The total surplus is disclosed below:  a) Interim Bonuses Paid b) Allocation of Bonus to Policyholders  3,09,386 3,03,613 5,773  | revenue surplus transferred to balance sheet (NON FAR)                         |          | -                           | -                    | -                       | -                   | -      | -                 | -             | -              | -               | -                     | -          |
| *Represents the deemed realised gain as per norms specified by the Authority.  *The total surplus is disclosed below: a) Interim Bonuses Paid b) Allocation of Bonus to Policyholders c) Surplus shown in the Revenue Account   *The total surplus is disclosed below: a) 1,04,06550   *The total surplus is disclosed below: b) Allocation of Bonus to Policyholders c) Surplus shown in the Revenue Account  *The total surplus is disclosed below: a) 1,04,174  | OTAL (D)   |          | 2,41,006                    | -                    | 11,994                  | 1,06,550            | 9,063  | 1,105             | 1,07,173      | 945            | -               | 4,174                 | -          |
| **represents Mathematical Reserves after allocation of bonus [The bonus is ₹ NIL thousands for the current year (previous year ₹ NIL thousands)]  The total surplus is disclosed below:  a) Interim Bonuses Paid b) Allocation of Bonus to Policyholders c) Surplus shown in the Revenue Account  288 267 22   |  | •        | •                           |                      |                         |                     |        |                   |               |                |                 |                       |            |
| a) Interim Bonuses Paid  | · · · · · · · · · · · · · · · · · · ·  | -        | ent year (previous ye       | ar ₹ NIL thousands)] |                         |                     |        |                   |               |                |                 |                       |            |
| b) Allocation of Bonus to Policyholders 3,09,386 3,03,613 5,773  |  |          |                             |                      |                         |                     |        |                   |               |                |                 |                       |            |
| 2,41,006 - 11,994 1,06,550 9,063 1,105 1,07,173 945 - 4,174  |  |          |                             |                      |                         |                     |        |                   |               | -              | -               |                       | -          |
|  | · ·  |          |                             |                      |                         |                     |        |                   |               | -<br>04F       | -               |                       | -          |
| d) Total Surplus [ (a) + (b)+ (c) ]. 5.50.680  | c) Surplus snown in the Revenue Account  (d) Total Surplus [ (a) + (b)+ (c) ]. |          | 2,41,006<br><b>5,50,680</b> | 3,03,880             | 11,994<br><b>17,789</b> | 1,06,550            | 9,063  | 1,105<br>1,105    | 1,07,173      | 945            |                 | 4,174<br><b>4,174</b> | -          |

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Registration Number: 147 dated 10 May 2011

#### PROFIT AND LOSS ACCOUNT FOR THE YEAR ENDED 31 MARCH 2021

SHAREHOLDERS' ACCOUNT (NON-TECHNICAL ACCOUNT)

| Income from investments   18   18   18   18   18   18   18   1   | Particulars   | SCHEDULE | For the Quarter ended<br>31 March 2021 | For the Year Ended<br>31 March 2021 | For the Quarter ended<br>31 March 2020 | For the Year Ended<br>31 March 2020 |
|--|---|----------|--|-------------------------------------|--|-------------------------------------|
|  | Amounts transfered from the policyholders account (Technical Account) |          | 21,380                                 | 21,380                              | 2,29,654                               | 2,29,656                            |
|  | Income from investments   |          |  |                                     |  |                                     |
| (2,100.00 m sale / redemption of investments)   (24,901)   (25,021)   (37,439)   (31,880)   (31,7140   | (a) Interest, Dividend & Rent (Net)                                   |          | 46,324                                 | 2,43,626                            | 90,706                                 | 4,87,184                            |
| (2, 10, 20, 20, 20, 20, 20, 20, 20, 20, 20, 2  | (b) Profit on sale / redemption of investments                        |          | 1,472                                  | 1,03,398                            | 37,537                                 | 2,29,266                            |
| Cal Transfer   Calin   Class   | (c) (Loss on sale / redemption of investments)                        |          |  |                                     |  |                                     |
| 1,500   5,679   .   255    | (d) Transfer /Gain/(Loss) on revaluation / change in fair value       |          |  | -                                   | -                                      | -                                   |
| 1,000   1,579   - 2,53   2,64,661   2,64,6   | Sub Total   |          | 22,894                                 | 2,81,103                            | 90,804                                 | 6,34,570                            |
| Expenses other than those directly related to the insurance business 3A 1,400 6,804 4,031 10,386 Contribution towards the remuneration of MD/CEOs/WTDS 11,514 31,481 12,793 31,514 and other working of the remuneration of MD/CEOs/WTDS 11,514 31,481 12,793 31,514 and other working of the value of investment (Net) 12,793 13,514 12,793 13,51 | Other income  |          | 1,500                                  | 5,679                               | -                                      |                                     |
| 1,514   31,481   12,793   31,514   31,481   12,793   31,514   31,481   12,793   31,514   38 and debts written of Frourisions (Other than taxation)   | Total (A)   |          | 45,774                                 | 3,08,162                            | 3,20,458                               | 8,64,461                            |
| Bad belbst written off   | Expenses other than those directly related to the insurance business  | 3A       | 1,400                                  | 6,804                               | 4,031                                  | 10,386                              |
| Bad debts written off   Provisions (Other than taxation)   (a) For diminution in the value of investment (Net)   (19,519)   (24,449)   (1,08,048   4,20,236   (1) (10 others   | Contribution towards the remuneration of MD/CEOs/WTDs                 |          | 11,514                                 | 31,481                              | 12,793                                 |                                     |
| (a) For diminution in the value of investment (Net)       (19,519)       (24,449)       1,08,048       4,20,236         (b) Provision for doubtful debts       -         - <t< td=""><td>Bad debts written off</td><td></td><td>-</td><td>-</td><td>-</td><td>-</td></t<>  | Bad debts written off   |          | -                                      | -                                   | -                                      | -                                   |
| (b) Provision for doubtful debts (c) Others Contribution to the Policyholders' Account towards Excess EOM Contribution to the Policyholders' Account towards Excess EOM Contribution to the Policyholders' Account  Total (B)  Total (B)  Total (B)  S,33,444  26,65,081  11,48,139  37,16,008  Profit / (Loss) before tax Provision for taxation For Current Year For cardier years  1  | Provisions (Other than taxation)                                      |          |  |                                     |  |                                     |
| (c) Others Contribution to the Policyholders' Account towards Excess EOM Contribution to the Policyholders' Account towards Excess EOM Contribution to the Policyholders' Account  Total (B)  Total (B | (a) For diminution in the value of investment (Net)                   |          | (19,519)                               | (24,449)                            | 1,08,048                               | 4,20,236                            |
| Contribution to the Policyholders' Account towards Excess EOM         17,17,683         17,17,683         17,17,683         24,27,305         24,27,305         24,27,305         24,27,305         24,27,305         26,27,305         26,27,305         26,27,305         24,27,305  | (b) Provision for doubtful debts                                      |          | -                                      | -                                   | -                                      | -                                   |
| Contribution to the Policyholders' Account         (11,77,635)         9,33,561         (14,04,037)         8,26,567           Total (B)         5,33,444         26,65,081         11,48,139         37,16,008           Profit / (Loss) before tax         (4,87,671)         (23,56,918)         (8,27,682)         (28,51,547)           Provision for taxation         -  | (c) Others  |          | -                                      | -                                   | -                                      | -                                   |
| Total (B)   5,33,444   26,65,081   11,48,139   37,16,008     Profit / (Loss) before tax  | ·   |          |  |                                     |  |                                     |
| Profit / (Loss) before tax Profit / (Loss) befor | Contribution to the Policyholders' Account                            |          | (11,77,635)                            | 9,33,561                            | (14,04,037)                            | 8,26,567                            |
| Provision for taxation         For Current Year         -  | Total (B)   |          | 5,33,444                               | 26,65,081                           | 11,48,139                              | 37,16,008                           |
| Provision for taxation         For Current Year         -  | Profit / (Loss) before tax  |          | (4,87,671)                             | (23,56,918)                         | (8,27,682)                             | (28,51,547)                         |
| For earlier years  | Provision for taxation  |          |  |                                     |  |                                     |
| Profit / (Loss) after tax         (4,87,671)         (23,56,918)         (8,27,682)         (28,51,547)           APPROPRIATIONS (a) Balance at the beginning of the year (b) Interim dividends paid during the year (c) Proposed final dividend (c) Proposed final dividend (d) Dividend distribution tax (e) Transfer to reserves / other accounts         -   | For Current Year  |          | -                                      | -                                   | -                                      | -                                   |
| APPROPRIATIONS (a) Balance at the beginning of the year (b) Interim dividends paid during the year (c) Proposed final dividend (d) Dividend distribution tax (e) Transfer to reserves / other accounts  (1,52,36,117) (1,39,32,978) (1,18,12,327) (1,10,81,431) (1,52,36,117) (1,39,32,978) (1,18,12,327 | For earlier years   |          | -                                      | -                                   | -                                      | -                                   |
| (a) Balance at the beginning of the year (b) Interim dividends paid during the year (c) Proposed final dividend (d) Dividend distribution tax (e) Transfer to reserves / other accounts  (1,52,36,117) (1,39,32,978) (1,18,12,327) (1,10,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,0,81,431) (1,18,12,327) (1,10,81,431) (1,18,12,327) (1,10,81,431) (1,18,12,327) (1,10,81,431) (1,18,12,327) (1,10,81,431) (1,18,12,327) (1,10,81,431) (1,18,12,327) (1,10,81,431) (1,18,12,327) | Profit / (Loss) after tax   |          | (4,87,671)                             | (23,56,918)                         | (8,27,682)                             | (28,51,547)                         |
| (b) Interim dividends paid during the year  (c) Proposed final dividend  (d) Dividend distribution tax  (e) Transfer to reserves / other accounts  | APPROPRIATIONS  |          |  |                                     |  |                                     |
| (b) Interim dividends paid during the year  (c) Proposed final dividend  (d) Dividend distribution tax  (e) Transfer to reserves / other accounts  |   |          | (1,52,36,117)                          | (1,39,32,978)                       | (1,18,12,327)                          | (1,10,81.431)                       |
| (c) Proposed final dividend (d) Dividend distribution tax (e) Transfer to reserves / other accounts  (1,57,23,788)  (1,62,89,896)  (1,26,40,009)  (1,39,32,978)  | (b) Interim dividends paid during the year                            |          | -                                      | -                                   | -                                      | -                                   |
| (d) Dividend distribution tax (e) Transfer to reserves / other accounts  | (c) Proposed final dividend   |          | -                                      | -                                   | -                                      | -                                   |
| (e) Transfer to reserves / other accounts  | (d) Dividend distribution tax   |          | -                                      | -                                   | -                                      | -                                   |
|  | (e) Transfer to reserves / other accounts                             |          | -                                      | -                                   | -                                      | -                                   |
|  | Profit / (Loss) carried to the Balance Sheet                          |          | (1.57.23.788)                          | (1.62.89.896)                       | (1.26.40.009)                          | (1.39.32.978)                       |
|  | Earning per share of face value ₹ 10 - Basic/Diluted                  |          | (1.56)                                 | (7.54)                              | (2.65)                                 | (9.12)                              |

# **BALANCE SHEET AS AT 31 MARCH 2021**

(₹ in '000)

|   |                      |  | (₹ in '000)  |
|---|----------------------|--|--|
| PARTICULARS   | SCHEDULE             | As at  | As at  |
|   |                      | 31 March 2021  | 31 March 2020  |
| SOURCES OF FUNDS  |                      |  |  |
| Shareholders' funds   |                      |  |  |
| Share capital   | L-8, L-9             | 31,26,209  | 31,26,209  |
| Reserves and surplus  | L-10                 | 1,68,48,478  | 1,68,82,622  |
| Credit/(debit) fair value change account  |                      | 1,39,519   | (30,102)   |
| Sub-total   |                      | 2,01,14,206  | 1,99,78,729  |
| Borrowings  | L-11                 | -  | -  |
| Policyholders' Funds  |                      |  |  |
| Credit/(Debit) Fair Value Change Account  |                      | 4,67,542   | 67,260   |
| Policy liabilities  |                      | 2,65,50,713  | 1,99,52,227  |
| Insurance reserves Provision for Linked Liabilities   |                      | 1,03,49,716  | -<br>76,22,632   |
| Add: Credit/(Debit) Fair Value Change Account   |                      | 15,06,964  | (12,55,292)  |
| Total Provision for Linked Liabilities  |                      | 1,18,56,680  | 63,67,340  |
| Sub-total   |                      | 3,88,74,934  | 2,63,86,827  |
|   |                      |  |  |
| Funds for Discontinued Policies   |                      |  |  |
| Discontinued on account of non-payment of premium-Linked  |                      | 16,31,340  | 10,49,448  |
| Others  |                      | -  | -  |
| Funds for Future Appropriations (PAR)   |                      | 62,314   | 48,824   |
| Total   |                      | 6,06,82,795  | 4,74,63,828  |
| APPLICATION OF FUNDS  |                      |  |  |
| Investments   |                      |  |  |
| Shareholders'   | L-12                 | 23,80,255  | 37,51,952  |
| Policyholders'  | L-13                 | 2,63,17,369  | 1,96,55,903  |
| Assets held to cover linked liabilities   | L-14                 | 1,34,88,020  | 74,16,789  |
| Loans   | L-15                 | 1,47,985   | 98,338   |
| Fixed assets  | L-16                 | 9,24,611   | 10,78,554  |
| Current assets  |                      |  |  |
| Current assets  |                      |  |  |
| Cash and hank halances  | l 17                 | 0 50 055   | 0.04.502   |
| Cash and bank balances  | L-17                 | 9,50,955   | 9,04,592   |
| Advances and other assets   | L-17<br>L-18         | 29,47,936  | 29,50,436  |
|   |                      |  |  |
| Advances and other assets   |                      | 29,47,936  | 29,50,436<br><b>38,55,028</b>  |
| Advances and other assets  Sub-total (A)  | L-18                 | 29,47,936<br><b>38,98,891</b><br>27,30,794                               | 29,50,436<br><b>38,55,028</b><br>23,07,249                               |
| Advances and other assets  Sub-total (A)  Current liabilities  Provisions   | L-18<br>L-19         | 29,47,936<br><b>38,98,891</b><br>27,30,794<br>33,437                     | 29,50,436<br><b>38,55,028</b><br>23,07,249<br>18,466                     |
| Advances and other assets  Sub-total (A)  Current liabilities  Provisions  Sub-total (B)  | L-18<br>L-19         | 29,47,936<br><b>38,98,891</b><br>27,30,794                               | 29,50,436<br><b>38,55,028</b><br>23,07,249                               |
| Advances and other assets  Sub-total (A)  Current liabilities  Provisions  Sub-total (B)  Net Current Assets (C) = (A - B)  | L-18<br>L-19<br>L-20 | 29,47,936<br><b>38,98,891</b><br>27,30,794<br>33,437<br><b>27,64,231</b> | 29,50,436 38,55,028 23,07,249 18,466 23,25,715                           |
| Advances and other assets  Sub-total (A)  Current liabilities  Provisions  Sub-total (B)  Net Current Assets (C) = (A - B)  Miscellaneous expenditure (to the extent not written off or adjusted) | L-18<br>L-19         | 29,47,936 38,98,891  27,30,794 33,437 27,64,231 11,34,659                | 29,50,436 38,55,028 23,07,249 18,466 23,25,715 15,29,313                 |
| Advances and other assets  Sub-total (A)  Current liabilities  Provisions  Sub-total (B)  Net Current Assets (C) = (A - B)  | L-18<br>L-19<br>L-20 | 29,47,936<br><b>38,98,891</b><br>27,30,794<br>33,437<br><b>27,64,231</b> | 29,50,436<br><b>38,55,028</b><br>23,07,249<br>18,466<br><b>23,25,715</b> |

# **Contingent Liabilities**

| PARTICULARS   | As at<br>31 March 2021 | As at<br>31 March 2020 |
|---|------------------------|------------------------|
| Partly-paid up investments  | -                      | -                      |
| Claims, other than against policies, not acknowledged as debts by the Company | -                      | -                      |
| Guarantees given by or on behalf of the Company                               | -                      | -                      |
| Underwriting commitments outstanding (in respect of shares and securities)    | -                      | -                      |
| Statutory demands / liabilities in dispute, not provided for                  | -                      | 35,407*                |
| Reinsurance obligations to the extent not provided for in accounts            | -                      | -                      |
| Others (Claims against policies under litigation)                             | 4,595                  | 3,832                  |

# Form L-4 Premium Schedule PREMIUM

For the Quarter Ended 31 March 2021

(₹ in '000)

| Tot the Quarter Lilucu 31 iv | 101 C11 2021 |                |                            |                    |                               |               |               |                |                 |                              | ( \ 000) |
|------------------------------|--------------|----------------|----------------------------|--------------------|-------------------------------|---------------|---------------|----------------|-----------------|------------------------------|----------|
|                              |              | Non-Lin        | ked Par                    |                    | Non-Li                        | inked Non Par |               |                |                 | Linked Non Par               |          |
| Particulars                  | Total        | Individual Par | Individual Par<br>Pension# | Individual Non Par | Individual Non<br>Par Annuity | Health        | Group Non Par | Group Variable | Individual Life | Individual Linked<br>Pension | Group    |
| Premium                      |              |                |                            |                    |                               |               |               |                |                 |                              |          |
| First year Premiums          | 18,04,636    | 6,99,115       | -                          | 5,78,881           | -                             | 357           | 9,811         | 2,13,673       | 2,87,997        | 803                          | 14,000   |
| Renewal Premiums             | 31,23,259    | 6,89,696       | 40,992                     | 14,51,285          | -                             | 5,380         | 48,012        | -              | 8,76,931        | 10,961                       | -        |
| Single Premiums              | 1,04,356     | -              | -                          | 20,252             | 8,603                         | -             | 74,000        | -              | 751             | 750                          | -        |
| Total                        | 50,32,251    | 13,88,812      | 40,992                     | 20,50,418          | 8,603                         | 5,737         | 1,31,823      | 2,13,673       | 11,65,679       | 12,514                       | 14,000   |

For the Year Ended 31 March 2021

(₹ in '000)

| TOT the real Ended 31 Ware | =0==        |                |                            |                    |                               |               |               |                |                 |                              | (1 000) |
|----------------------------|-------------|----------------|----------------------------|--------------------|-------------------------------|---------------|---------------|----------------|-----------------|------------------------------|---------|
|                            |             | Non-Lin        | ked Par                    |                    | Non-L                         | inked Non Par |               |                |                 | Linked Non Par               |         |
| Particulars                | Total       | Individual Par | Individual Par<br>Pension# | Individual Non Par | Individual Non<br>Par Annuity | Health        | Group Non Par | Group Variable | Individual Life | Individual Linked<br>Pension | Group   |
| Premium                    |             |                |                            |                    |                               |               |               |                |                 |                              |         |
| First year Premiums        | 43,23,550   | 17,44,587      | -                          | 15,70,762          | -                             | 1,461         | 48,620        | 2,18,908       | 7,14,063        | 2,483                        | 22,665  |
| Renewal Premiums           | 79,28,127   | 18,02,337      | 1,03,539                   | 34,49,415          | -                             | 21,230        | 1,27,180      | -              | 23,80,476       | 43,951                       | -       |
| Single Premiums            | 2,30,707    | -              | -                          | 49,474             | 20,488                        | -             | 1,57,689      | -              | 2,004           | 1,052                        | -       |
| Total                      | 1,24,82,384 | 35,46,924      | 1,03,539                   | 50,69,651          | 20,488                        | 22,691        | 3,33,489      | 2,18,908       | 30,96,543       | 47,486                       | 22,665  |

For the Quarter Ended 31 March 2020

(₹ in '000)

|           | Non-Lin                | ked Par  |   | Non-L   | inked Non Par  |  |  | Linked Non Par   |   |  |  |  |
|-----------|------------------------|--|---|---|--|--|--|--|---|--|--|--|
| Total     | Individual Par         | Individual Par<br>Pension                        | Individual Non Par                                      | Individual Non<br>Par Annuity   | Health   | Group Non Par  | Group Variable   | Individual Life  | Individual Linked<br>Pension  | Group  |  |  |
| 42.40.520 | 204042                 |  | 4.05.520  |   | 207  | 54.600   | 2.024  | 2.52.040   | 222   | 4.25.400   |  |  |
| 27,82,084 | 5,40,392               | -<br>58,654                                      | 13,58,930   | -   | 5,374  | 53,639   | 2,931  | 7,41,739   | 23,358  | 1,26,100<br>-  |  |  |
|           |                        | 58.654   | 7,579<br>18.53.147                                      |   | 6.261  | 80,161<br>1.88.481   | 2.931  |  |   | 1,26,100   |  |  |
|           | 12,18,628<br>27,82,084 | 12,18,628 2,94,013 27,82,084 5,40,392 1,10,699 - | 12,18,628 2,94,013 - 27,82,084 5,40,392 58,654 1,10,699 | Total Individual Par Pension Individual Non Par  12,18,628 2,94,013 - 4,86,638 27,82,084 5,40,392 58,654 13,58,930 1,10,699 7,579 | Total         Individual Par Pension         Individual Non Par         Individual Non Par Annuity           12,18,628         2,94,013         -         4,86,638         -           27,82,084         5,40,392         58,654         13,58,930         -           1,10,699         -         -         7,579         19,054 | Total         Individual Par<br>Pension         Individual Non Par<br>Par Annuity         Individual Non Par<br>Par Annuity         Health<br>Health           12,18,628         2,94,013         -         4,86,638         -         887           27,82,084         5,40,392         58,654         13,58,930         -         5,374           1,10,699         -         -         7,579         19,054         - | Total         Individual Par Pension         Individual Non Par Par Annuity         Individual Non Par Par Annuity         Health Health         Group Non Par Square           12,18,628         2,94,013         -         4,86,638         -         887         54,682           27,82,084         5,40,392         58,654         13,58,930         -         5,374         53,639           1,10,699         -         -         7,579         19,054         -         80,161 | Total         Individual Par Pension         Individual Non Par Pension         Individual Non Par Annuity         Health Par Annuity         Group Non Par Group Variable         Group Variable           12,18,628         2,94,013         -         4,86,638         -         887         54,682         2,931           27,82,084         5,40,392         58,654         13,58,930         -         5,374         53,639         -           1,10,699         -         -         7,579         19,054         -         80,161         - | Total         Individual Par Pension         Individual Non Par Pension         Individual Non Par Annuity         Health Par Annuity         Group Non Par Group Variable         Individual Life           12,18,628         2,94,013         -         4,86,638         -         887         54,682         2,931         2,53,048           27,82,084         5,40,392         58,654         13,58,930         -         5,374         53,639         -         7,41,739           1,10,699         -         -         -         7,579         19,054         -         80,161         -         2,755 | Total         Individual Par Pension         Individual Non Par Pension         Individual Non Par Annuity         Health Par Annuity         Group Non Par South Par Pension         Individual Linked Pension           12,18,628         2,94,013         -         4,86,638         -         887         54,682         2,931         2,53,048         330           27,82,084         5,40,392         58,654         13,58,930         -         5,374         53,639         -         7,41,739         23,358           1,10,699         -         -         -         7,579         19,054         -         80,161         -         2,755         1150 |  |  |

For the Year Ended 31 March 2020

(₹ in '000)

|                     |             | Non-Linl       | Non-Linked Par Non-Linked Non Par |                    |                               |        |               |                |                 | Linked Non Par               |          |  |  |
|---------------------|-------------|----------------|-----------------------------------|--------------------|-------------------------------|--------|---------------|----------------|-----------------|------------------------------|----------|--|--|
| Particulars         | Total       | Individual Par | Individual Par<br>Pension#        | Individual Non Par | Individual Non<br>Par Annuity | Health | Group Non Par | Group Variable | Individual Life | Individual Linked<br>Pension | Group    |  |  |
| Premium             |             |                |                                   |                    |                               |        |               |                |                 |                              |          |  |  |
| First year Premiums | 35,18,801   | 8,80,867       | (697)                             | 15,27,268          | -                             | 10,325 | 1,12,642      | 45,053         | 7,98,042        | 3,723                        | 1,41,579 |  |  |
| Renewal Premiums    | 66,53,572   | 13,05,240      | 1,41,763                          | 28,77,502          | -                             | 14,909 | 3,52,370      | -              | 19,11,757       | 50,031                       | -        |  |  |
| Single Premiums     | 3,12,474    | 1              | -                                 | 16,574             | 48,537                        | -      | 2,20,822      | -              | 24,933          | 1,609                        | -        |  |  |
| Total               | 1,04,84,847 | 21,86,107      | 1,41,066                          | 44,21,344          | 48,537                        | 25,234 | 6,85,834      | 45,053         | 27,34,731       | 55,362                       | 1,41,579 |  |  |

Form L-5- Commission Schedule

COMMISSION

For the Quarter Ended 31 March 2021 (₹ in '000)

|  |            | N              | Ion-Linked Par         |                    | Non-Linked Non Par            |        |               |                |                 | Linked Non Par               |       |
|--|------------|----------------|------------------------|--------------------|-------------------------------|--------|---------------|----------------|-----------------|------------------------------|-------|
| Particulars  | Total      | Individual Par | Individual Par Pension | Individual Non Par | Individual Non Par<br>Annuity | Health | Group Non Par | Group Variable | Individual Life | Individual Linked<br>Pension | Group |
| Commission   |            |                |                        | 1                  |                               |        |               |                |                 |                              |       |
| First year Premiums  | 2,97,599   | 1,63,394       | -                      | 1,21,255           | -                             | 37     | 310           | 66             | 12,486          | 51                           | -     |
| Renewal Premiums   | 79,797     | 23,987         | 773                    | 42,922             | -                             | 45     | 355           | _ ,            | 11,562          | 153                          | -     |
| Single Premiums  | 2,292      | 1 -            | -                      | 316                | 106                           | -      | 1,837         | _ ,            | 20              | 13                           | -     |
| Other Commissions  | , - J      | 1 -            | -                      | -                  | -                             | -      | -             | -              | -               | -                            | -     |
| Total (A)  | 3,79,688   | 1,87,382       | 773                    | 1,64,493           | 106                           | 82     | 2,503         | 66             | 24,068          | 216                          | -     |
| Add: Commission on re-insurance accepted                                   | . J        | 1 -            | - J                    | -                  | -                             | -      | -             | _ ,            | -               | -                            | -     |
| Less: Commission on re-insurance ceded                                     |            |                | -                      | _                  | _                             |        |               |                |                 | _                            |       |
| Net commission   | 3,79,688   |                | 773                    |                    | 106                           |        | 2,503         | 66             |                 |                              |       |
| Rewards and Remuneration to Agent, brokers and other intermediateries      | 17,221     | 6,472          | -                      | 5,057              | 124                           |        | -             |                | 5,523           | 45                           |       |
| TOTAL  | 3,96,909   | 1,93,854       | 773                    | 1,69,550           | 231                           | . 81   | 2,503         | 66             | 29,591          | 261                          | -     |
| Break-up of the commisssion expenses (gross) incurred to procure business: | , <u> </u> | 1              | J                      | 1                  |                               |        |               | J              | 1               |                              |       |
| Agents   | 2,51,082   |                | 208                    |                    | 165                           | 75     |               | - J            | 24,410          | 235                          | -     |
| Brokers  | 32,219     |                | 0                      | 23,097             | -                             | 1      | 665           | 66             |                 | -                            | -     |
| Corporate Agency   | 1,13,308   | 69,825         |                        | 35,992             | 65                            | 4      | 1,837         | - J            | 4,994           | 26                           | -     |
| Referral Others Web Aggregators  | -<br>300   | 1              | -                      | -<br>142           | -                             | -      | -             | - J            | -<br>158        | -                            | -     |
| Others - Web Aggregators  Total  | 3,96,909   |                | 773                    |                    | 231                           | . 81   | 2,503         | -<br>66        |                 | 261                          |       |

|  |           | No             | n-Linked Par           |                    | Non-Linked Non Pa             | r      |               |                |                 | Linked Non Par               |       |
|--|-----------|----------------|------------------------|--------------------|-------------------------------|--------|---------------|----------------|-----------------|------------------------------|-------|
| Particulars  | Total     | Individual Par | Individual Par Pension | Individual Non Par | Individual Non Par<br>Annuity | Health | Group Non Par | Group Variable | Individual Life | Individual Linked<br>Pension | Group |
| Commission   |           |                |                        |                    |                               |        |               |                |                 |                              |       |
| First year Premiums  | 7,64,617  | 4,05,814       | -                      | 3,31,081           | -                             | 177    | 1,377         | 66             | 25,975          | 126                          | -     |
| Renewal Premiums   | 2,04,300  | 62,909         | 1,586                  | 1,04,462           | -                             | 258    | 1,669         | -              | 32,770          | 646                          | -     |
| Single Premiums  | 4,567     | -              | -                      | 731                | 278                           | -      | 3,514         | -              | 26              | 18                           | -     |
| Other Commisions   | -         | -              | -                      | -                  | -                             | -      | -             | -              | -               | -                            | -     |
| Total (A)  | 9,73,484  | 4,68,723       | 1,586                  | 4,36,274           | 278                           | 436    | 6,559         | 66             | 58,771          | 790                          | -     |
| Add: Commission on re-insurance accepted                                   | -         | -              | -                      | -                  | -                             | -      | -             | -              | -               | -                            | -     |
| Less: Commission on re-insurance ceded                                     | -         | -              | -                      | -                  | -                             | -      | -             | -              | -               | -                            | -     |
| Net commission   | 9,73,484  | 4,68,723       | 1,586                  | 4,36,274           | 278                           |        | 6,559         | 66             | 58,771          | 790                          | -     |
| Rewards and Remuneration to Agent, brokers and other intermediateries      | 86,772    | 37,227         | -                      | 34,962             | 296                           | 42     | -             | -              | 14,117          | 128                          | -     |
| Net commission   | 10,60,256 | 5,05,950       | 1,586                  | 4,71,236           | 574                           | 478    | 6,559         | 66             | 72,888          | 919                          | -     |
| Break-up of the commisssion expenses (gross) incurred to procure business: |           |                |                        |                    |                               |        |               |                |                 |                              |       |
| Agents   | 6,88,862  | 3,22,466       | 538.97                 | 3,10,021.58        | 361                           | 436.26 | 47            | -              | 54,176.01       | 815.45                       | -     |
| Brokers  | 1,07,788  | 23,577         | 1                      | 81,034             | <del>-</del>                  | 3      | 3,012         | 66             | 95              | -                            | -     |
| Corporate agency   | 2,62,980  | 1,59,907       | 1,045                  | 79,851             | 213                           | 39     | 3,501         | -              | 18,320          | 103                          | -     |
| Referral   | -         | -              | -                      | -                  | -                             | -      | -             | -              | -               | -                            | -     |
| Others - Web Aggregators   | 626       | -              | -                      | 328                | -                             | -      | -             | -              | 298             | -                            | -     |
| Total  | 10.60.256 | 5.05.950       | 1.586                  | 4.71.236           | 574                           | 478    | 6.559         | 66             | 72.888          | 919                          |       |

| For the Quarter Ended 31 March 2020  |          | No             | on-Linked Par          |                    | Non-Linked Non Par | ſ      |               |                |                 | Linked Non Par    | (₹ in '000) |
|--|----------|----------------|------------------------|--------------------|--------------------|--------|---------------|----------------|-----------------|-------------------|-------------|
| Particulars  | Total    | Individual Par | Individual Par Pension | Individual Non Par | Individual Non Par | Health | Group Non Par | Group Variable | Individual Life | Individual Linked | Group       |
|  |          |                |                        |                    | Annuity            |        |               |                |                 | Pension           |             |
| Commission   |          |                |                        |                    |                    |        |               |                |                 |                   |             |
| First year Premiums  | 1,77,175 | 57,226         | -                      | 1,08,228           | -                  | 104    | 1,731         | -              | 9,865           | 21                | -           |
| Renewal Premiums   | 77,135   | 19,099         | 1,505                  | 44,467             | -                  | 55     | 1,060         | -              | 10,586          | 362               | -           |
| Single Premiums  | 2,198    | -              | -                      | 114                | 677                | -      | 1,338         | -              | 50              | 19                | - '         |
| Other Commissions  |          | 1              |                        |                    |                    |        |               |                |                 |                   | !           |
| Total (A)  | 2,56,508 | 76,325         | 1,505                  | 1,52,808           | 677                | 159    | 4,129         | -              | 20,501          | 403               | - '         |
| Add: Commission on re-insurance accepted                                   | -        | -              | -                      | -                  | -                  | -      | -             | -              | -               | -                 | - '         |
| Less: Commission on re-insurance ceded                                     | -        | -              | -                      | -                  | -                  | -      | -             | -              | -               | -                 | _           |
| Net commission   | 2,56,508 | 76,325         | 1,505                  | 1,52,808           | 677                | 159    | 4,129         | -              | 20,501          | 403               | -           |
| Rewards and Remuneration to Agent, brokers and other intermediateries      | 34,679   | 9,303          | -                      | 19,017             | -                  | 84     | -             | -              | 6,233           | 42                |             |
| Net commission   | 2,91,187 | 85,628         | 1,505                  | 1,71,825           | 677                | 243    | 4,129         | -              | 26,734          | 445               | -           |
| Break-up of the commisssion expenses (gross) incurred to procure business: | '        |                |                        |                    |                    |        |               |                |                 |                   |             |
| Agents   | 2,09,976 | 63,104         | 319                    | 1,26,163           | 65                 | 225    | (0)           | -              | 19,689          | 412               | -           |
| Brokers  | 26,728   | 3,338          | 0                      | 20,523             | -                  | 1      | 2,836         | -              | 29              | -                 | - '         |
| Corporate agency   | 54,458   | 19,184         | 1,186                  | 25,141             | 612                | 17     | 1,293         | -              | 6,992           | 33                | - '         |
| Referral   | - '      | -              | -                      | -                  | -                  | -      | -             | -              | -               | -                 | -           |
| Others - Web Aggregators   | 25       | -              | -                      | -                  | -                  | -      | -             | -              | 25              | -                 |             |
| Total  | 2,91,187 | 85,626         | 1,505                  | 1,71,827           | 677                | 243    | 4,129         |                | 26,734          | 445               |             |

|   |          | Noi            | n-Linked Par           |                    | Non-Linked Non Par            |        |               |                |                 | Linked Non Par               |       |
|---|----------|----------------|------------------------|--------------------|-------------------------------|--------|---------------|----------------|-----------------|------------------------------|-------|
| Particulars   | Total    | Individual Par | Individual Par Pension | Individual Non Par | Individual Non Par<br>Annuity | Health | Group Non Par | Group Variable | Individual Life | Individual Linked<br>Pension | Group |
| nmission  |          |                |                        |                    |                               |        |               |                |                 |                              |       |
| rst year Premiums   | 5,41,696 | 1,67,992       | (37)                   | 3,29,211           | -                             | #####  | 3,423         | 359            | 38,688          | 195                          |       |
| newal Premiums  | 1,76,847 | 46,271         | 3,027                  | 93,630             | -                             | 126    | 5,297         | -              | 27,681          | 815                          |       |
| ngle Premiums   | 4,623    | -              | -                      | 240                | 873                           | -      | 3,156         | -              | 329             | 26                           |       |
| er Commisions   |          |                |                        |                    |                               |        |               |                |                 |                              |       |
| (A)   | 7,23,166 | 2,14,263       | 2,990                  | 4,23,080           | 873                           | 1,992  | 11,876        | 359            | 66,698          | 1,035                        |       |
| Commission on re-insurance accepted                                   | -        | -              | -                      | -                  | -                             | -      | -             | -              | -               | -                            |       |
| Commission on re-insurance ceded                                      | -        | -              | -                      | -                  | -                             | -      | -             | -              | -               | -                            |       |
| Net commission  | 7,23,166 | 2,14,263       | 2,990                  | 4,23,080           | 873                           | 1,992  | 11,876        | 359            | 66,698          | 1,035                        |       |
| rds and Remuneration to Agent, brokers and other intermediateries     | 66,444   | 17,566         | -                      | 36,791             | -                             | 243    | =             | -              | 11,734          | 111                          |       |
| Net commission  | 7,89,610 | 2,31,829       | 2,990                  | 4,59,871           | 873                           | 2,234  | 11,876        | 359            | 78,432          | 1,146                        |       |
| -up of the commisssion expenses (gross) incurred to procure business: |          |                |                        |                    |                               |        |               |                |                 |                              |       |
| S   | 5,78,326 | 1,74,220       | 914                    | 3,50,866           | 339                           | 2,052  | (0)           | 17             | 48,881          | 1,037                        |       |
| rs  | 67,101   | 11,508         | 3                      | 46,302             | -                             | 10     | 8,820         | 342            | 117             | -                            |       |
| rate agency   | 1,44,139 | 46,102         | 2,074                  | 62,703             | 533                           | 172    | 3,056         | -              | 29,390          | 109                          |       |
| ral   | -        | -              | -                      | -                  | -                             | -      | -             | -              | -               | -                            |       |
| rs - Web Aggregators  | 44       | _              |                        | -                  | -                             | -      | -             | -              | 44              | -                            |       |
| Total   | 7,89,610 | 2,31,829       | 2,990                  | 4,59,871           | 873                           | 2,234  | 11,876        | 359            | 78,432          | 1,146                        |       |

# L-6- Operating Expenses Schedule

| Particulars  | For the Quarter<br>Ended 31 March<br>2021 | For the Year Ended<br>31 March 2021 | For the Quarter<br>Ended 31 March<br>2020 | For the Year Ended 31<br>March 2020 |
|--|---|-------------------------------------|---|-------------------------------------|
| Employees' remuneration & welfare benefits             | 8,42,136                                  | 30,33,524                           | 7,83,162                                  | 31,47,149                           |
| Travel, conveyance and vehicle running expenses        | 25,643                                    | 61,718                              | 43,315                                    | 1,72,688                            |
| Training expenses                                      | 14,227                                    | 19,944                              | 66,890                                    | 1,27,345                            |
| Rent, rates & taxes                                    | 60,319                                    | 2,34,385                            | 1,00,422                                  | 2,54,507                            |
| Repairs & Maintenance                                  | 33,822                                    | 97,943                              | 19,710                                    | 1,19,249                            |
| Printing & stationery                                  | 10,133                                    | 19,670                              | 16,694                                    | 30,786                              |
| Communication expenses                                 | 10,157                                    | 37,396                              | 20,113                                    | 65,908                              |
| Legal & professional charges                           | 42,152                                    | 1,33,332                            | 61,403                                    | 1,33,416                            |
| Medical Fees   | 7,413                                     | 24,507                              | 10,076                                    | 38,809                              |
| Auditors' Fees,expenses,etc.                           |   |                                     |   |                                     |
| (a) as auditor   | 700                                       | 2,800                               | 1,300                                     | 2,800                               |
| (b) as adviser or in any other capacity, in respect of |   | ·                                   | ,   | ·                                   |
| (i) Taxation matters                                   | -   | -                                   | -   | -                                   |
| (ii) Insurance matters                                 | -   | -                                   | -   | -                                   |
| (iii)Management services                               | -   | -                                   | -   | -                                   |
| (c) in any other capacity                              | 188                                       | 713                                 | 400                                       | 763                                 |
| (d) Out of pocket expenses                             | 37  | 200                                 | 130                                       | 280                                 |
| Advertisement & publicity                              | 60,496                                    | 5,06,448                            | 1,15,373                                  | 4,18,424                            |
| Bank charges   | 8,554                                     | 27,883                              | 8,601                                     | 22,721                              |
| Others:  |   |                                     |   |                                     |
| (a)Business Development, Marketing & Sales Promotion   | 2,11,150                                  | 4,61,514                            | 1,99,700                                  | 3,80,093                            |
| (b)Stamp duty on policies                              | 10,947                                    | 35,952                              | 25,506                                    | 62,623                              |
| (c)Information Technology Cost                         | 78,921                                    | 2,48,520                            | 73,177                                    | 2,25,364                            |
| (d)Business Support Expenses                           | 9,785                                     | 1,32,516                            | 46,920                                    | 1,86,373                            |
| (e)(Profit)/Loss on sale of Fixed Assets               | -   | -                                   | 1,623                                     | 77                                  |
| (f)General & Other Insurance Expenses                  | 3,894                                     | 21,730                              | 7,335                                     | 30,064                              |
| Depreciation   | 78,895                                    | 3,02,137                            | 80,984                                    | 2,52,610                            |
| GST / Service Tax Expenses                             | 12,402                                    | 26,708                              | 9,221                                     | 22,512                              |
| Total  | 15,21,971                                 | 54,29,540                           | 16,92,055                                 | 56,94,561                           |

#### L-6A- Expense other than those directly related to the insurance business

| Particulars                                | For the Quarter<br>Ended 31 March<br>2021 | For the Year Ended<br>31 March 2021 | For the Quarter<br>Ended 31 March<br>2020 | For the Year Ended<br>31 March 2020 |
|--|---|-------------------------------------|---|-------------------------------------|
| Employees' remuneration & welfare benefits | -   | -                                   | -   | -                                   |
| Rents, rates & taxes                       | 432                                       | 2,820                               | 368                                       | 1,898                               |
| Legal and Professional fee                 | -   | -                                   | -   | -                                   |
| Bank Charges                               | 60  | 185                                 | 150                                       | 553                                 |
| Directors Sitting fees                     | 220                                       | 760                                 | 160                                       | 660                                 |
| Others                                     | 689                                       | 3,039                               | 3,353                                     | 7,275                               |
| Total                                      | 1,401                                     | 6,804                               | 4,031                                     | 10,386                              |

#### **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED** Form L-7- Benefits Paid Schedule [Net] For the Quarter Ended 31 March 2021 (₹ in '000) Particulars Non-Linked Par Non-Linked Non Par Total Individual Par Individual Par Individual Non Par Individual Non Par Health Group Non Par Group Variable Individual Life Individual Linked Group Pension Annuity Insurance claims 3,30,827 14,306 1,329 1,54,456 545 1,31,708 (1,204)13,116 16,569 (a) Claims by Death,\* (b) Claims by Maturity, 7,164 7,164 (c) Annuities/Pension payment, (d) Other benefits 3,93,122 28,444 2,442 55,697 2,58,984 47,554 (i) Surrenders (ii) Survival benefit 53,304 53,304 (iii) Guaranteed Addition (iv) Loyalty Addition (v) Others (118) Health (1,31,545) 25,195 (124)2,851 4,753 Withdrawals 1,49,259 198 73 29 128 (36) Income on Unclaimed amount of Policyholders 2. (Amount ceded in reinsurance) (50,974) (a) Claims by Death 1,099 (89,701)(1,39,576 (b) Claims by Maturity (c) Annuities / Pension payment (66) (1,388)(1,647)(d) Other benefits 3. Amount accepted in reinsurance (a) Claims by Death (b) Claims by Maturity (c) Annuities / Pension payment (d) Other benefits Total 6,68,728 97,037 3,774 1,18,716 7,705 326 (50,683) 1,48,055 2,74,916 64,127 4,754 Benefits paid to claimants: 1) In India 6,68,728 97,037 3,774 1,18,716 7,705 326 (50,683) 1,48,055 2,74,916 64,127 4,754 2) Outside India 97,037 3,774 1,18,716 (50,683) 2,74,916 7,705 326 1,48,055 64,127 4,754 Total \* Claim includes specific claim settlment costs, wherever applicable and litigated claim provisions. For the Year Ended 31 March 2021 (₹ in '000) Non-Linked Par Non-Linked Non Par **Linked Non Par** Particulars Total Individual Par Individual Par Individual Non Par Individual Non Par Health Group Non Par Group Variable Individual Life Individual Linked Group Insurance claims 10,82,750 49,055 4,086 4,18,086 5,44,233 17,644 (a) Claims by Death,\* 5,395 44,236 (b) Claims by Maturity, 22,367 22,367 (c) Annuities/Pension payment, (d) Other benefits 8,67,390 68,470 6,293 1,37,977 1,14,148 4,92,948 47,554 (i) Surrenders (ii) Survival benefit 1,07,090 1,07,090 (iii) Guaranteed Addition (iv) Loyalty Addition (v) Others 120 Health 3,630 3,510 82,487 (124)(1,07,338) 1,49,967 4,707 35,276 Withdrawals 1,113 623 7 Income on Unclaimed amount of Policyholders 266 (3) 91 108 2. (Amount ceded in reinsurance): (a) Claims by Death (1,207)(2,52,082) (5,16,082) (2,62,277)(515)(b) Claims by Maturity (c) Annuities / Pension payment (4,862)(237)(2,256)(d) Other benefits (2,369)3. Amount accepted in reinsurance: (a) Claims by Death (b) Claims by Maturity (c) Annuities / Pension payment (d) Other benefits Total 16,45,883 2,23,312 10,395 3,02,355 27,759 1,266 1,74,708 2,64,115 5,41,484 65,205 35,283 Benefits paid to claimants: 1) In India 16,45,883 2,23,312 10,395 3,02,355 27,759 1,266 1,74,708 2,64,115 5,41,484 65,205 35,283 2) Outside India 2,23,312 16,45,883 10,395 3,02,355 27,759 1,266 1,74,708 2,64,115 5,41,484 65,205 35,283 \* Claim includes specific claim settlment costs, wherever applicable and litigated claim provisions. (₹ in '000) For the Quarter Ended 31 March 2020 Non-Linked Par Non-Linked Non Par **Linked Non Par Particulars** Total Individual Par Individual Non Par Individual Non Par Health Group Non Par Group Variable Individual Linked Group Individual Par Pension Annuity Pension 1. Insurance claims (a) Claims by Death,\* 1,14,465 7,836 271 21,658 200 27 78,322 5,920 231 (b) Claims by Maturity, 6,491 6,491 (c) Annuities/Pension payment, (d) Other benefits 8,811 2,10,536 1,063 18,809 1,81,853 (i) Surrenders (ii) Survival benefit 16,819 16,819 (iii) Bonus (iii) Guaranteed Addition (iv) Loyalty Addition (v) Others Health 13,045 646 11,541 25,232 Withdrawals (130) 161 Income on Unclaimed amount of Policyholders 28 2. (Amount ceded in reinsurance) (a) Claims by Death (2,540)(38,703)(63,743)(22,500)(b) Claims by Maturity (c) Annuities / Pension payment (d) Other benefits (29) (141)3. Amount accepted in reinsurance (a) Claims by Death (b) Claims by Maturity (c) Annuities / Pension payment (d) Other benefits Total 3,09,676 30,766 1,335 18,128 6,689 (114)52,692 646 1,87,761 232 11,542 Benefits paid to claimants: 1) In India 3,09,676 30,766 1,335 18,128 6,689 (114)52,692 646 1,87,761 232 11,542 2) Outside India Total 3,09,676 30,766 1,335 18,128 6,689 646 1,87,761 232 11,542 (114) 52,692 \* Claim includes specific claim settlment costs, wherever applicable and litigated claim provisions. For the Year Ended 31 March 2020 (₹ in '000) Non-Linked Par Non-Linked Non Par **Linked Non Par Particulars** Group Non Par Group Variable Individual Linked Total Individual Par Individual Par Individual Non Par Individual Non Par Health Individual Life Group Pension Annuity Pension Insurance claims (a) Claims by Death,\* 6,91,394 38,422 3,310 1,69,415 6,176 7,309 4,34,067 31,406 1,285 (b) Claims by Maturity, (c) Annuities/Pension payment, 17,498 17,498 --(d) Other benefits 3,80,341 (i) Surrenders 35,633 3,791 62,530 3,803 2,71,435 0 3,149 (ii) Survival benefit 54,314 54,314 (iii) Bonus (iii) Guaranteed Addition (iv) Loyalty Addition (v) Others 8,500 1,000 7,500 Health 4,602 58,851 Withdrawals 1,00,546 (4) 37,094 119 741 (154) Income on Unclaimed amount of Policyholders 827 49 2 98 (15) (3) 2. (Amount ceded in reinsurance) (a) Claims by Death (3,59,557) (5,693)(1,11,098) (2,36,480) (6,286)(b) Claims by Maturity (c) Annuities / Pension payment (d) Other benefits (13,731) (306) (919) (12,007)(500) 3. Amount accepted in reinsurance (a) Claims by Death (b) Claims by Maturity (c) Annuities / Pension payment (d) Other benefits Total 8,80,132 1,22,485 7,086 1,21,669 2,38,081 4,602 2,96,548 61,850 23,723 1,282 2,805 Benefits paid to claimants: 1) In India 8,80,132 1,22,485 7,086 1,21,669 23,723 2,805 2,38,081 4,602 2,96,548 1,282 61,850 2) Outside India

1,22,485

\* Claim includes specific claim settlment costs, wherever applicable and litigated claim provisions.

1,21,669

23,723

2,96,548

7,086

#### Form L-8 - Share Capital Schedule

#### **SHARE CAPITAL**

(₹ in '000)

| Particulars Particulars Particulars   | As at<br>31 March 2021        | As at<br>31 March 2020        |
|---|-------------------------------|-------------------------------|
| Authorised Capital  |                               |                               |
| 325,000,000 Equity Shares of ₹ 10 each (previous year 325,000,000)  Issued Capital  | 32,50,000                     | 32,50,000                     |
| 312,620,882 Equity Shares of ₹ 10 each (previous year 312,620,882)  Subscribed Capital  | 31,26,209                     | 31,26,209                     |
| 312,620,882 Equity Shares of ₹ 10 each (previous year 312,620,882)  Called-up Capital   | 31,26,209                     | 31,26,209                     |
| 312,620,882 Equity Shares of ₹ 10 each (previous year 312,620,882)  Less: Calls unpaid  Add: Shares forfeited (Amount Originally paid up)  Less: Par value of equity shares bought back  Less: Preliminary expenses  Expenses including commission or brokerage on underwriting or subscription of shares | 31,26,209<br>-<br>-<br>-<br>- | 31,26,209<br>-<br>-<br>-<br>- |
| Total   | 31,26,209                     | 31,26,209                     |

# Form L-9- Pattern of Shareholding Schedule

# PATTERN OF SHAREHOLDING

| Shareholder  | As at<br>31 March 20 | As at<br>31 March 2020 |     |                     |                 |
|--|----------------------|------------------------|-----|---------------------|-----------------|
| Shareholder  | Number of<br>Shares  | % of<br>Holding        |     | Number of<br>Shares | % of<br>Holding |
| Promoters :  ● Indian-Edelweiss Financial Services Limited                                     | 15,94,36,650         |                        | 51  | 15,94,36,650        | 51              |
| <ul> <li>Foreign-Tokio Marine &amp; Nichido Fire Insurance Co. Ltd.</li> <li>Others</li> </ul> | 15,31,84,232<br>-    | -                      | 49  | 15,31,84,232<br>-   | 49<br>-         |
| Total  | 31,26,20,882         | 1                      | .00 | 31,26,20,882        | 100             |

# Form L-10-Reserves and Surplus Schedule

#### **RESERVES AND SURPLUS**

(₹ in '000)

| Particulars   | As at         | As at         |
|---|---------------|---------------|
|   | 31 March 2021 | 31 March 2020 |
| Capital reserve                                       | -             | -             |
| Capital redemption reserve                            | -             | -             |
| Share Premium   |               |               |
| Opening Balance                                       | 1,68,48,478   | 1,68,48,478   |
| Add: Addition during the year                         | -             | -             |
| Closing Balance                                       | 1,68,48,478   | 1,68,48,478   |
| Revaluation Reserve                                   | -             | 34,144        |
| General reserves                                      | -             | -             |
| Less : Debit balance in Profit & Loss account, if any | -             | -             |
| Less : Amount utililized for buy-back                 | -             | -             |
| Catastrophe reserve                                   | -             | -             |
| Other reserves  | -             | -             |
| Balance of profit in Profit and Loss Account          | <u> </u>      |               |
| Total   | 1,68,48,478   | 1,68,82,622   |

#### Form L-11 -Borrowings Schedule

#### **BORROWINGS**

| Particulars            | As at<br>31 March 2021 | As at<br>31 March 2020 |
|------------------------|------------------------|------------------------|
| Debentures / Bonds     | -                      | -                      |
| Banks                  | -                      | -                      |
| Financial Institutions | -                      | -                      |
| Others                 | -                      | -                      |
| Total                  |                        |                        |

#### Form L-12- Investments- Shareholders Schedule

#### **INVESTMENTS - SHAREHOLDERS**

|  |   | (3 111 000)               |
|--|---|---------------------------|
| Particulars  | As at<br>31 March 2021                  | As at<br>31 March 2020    |
| LONG TERMA INVESTMENTS   | 31 Warth 2021                           | 31 IVIAICII 2020          |
| LONG TERM INVESTMENTS Government securities and Government guaranteed bonds including Treasury | ,                                       |                           |
| Bills  | 92,053                                  | _                         |
| Other Approved Securities  | -                                       | _                         |
| Other Investments  |   |                           |
| (a) Shares   |   |                           |
| (aa) Equity  | _                                       | _                         |
| (bb) Preference  | 15,829                                  | 24,577                    |
| (b) Mutual Funds   | -                                       | 2-1,377                   |
| (c) Derivative Instruments   | _                                       | <u>-</u>                  |
| (d) Debentures / Bonds*  | 30,000                                  | 51,581                    |
| (e) Other Securities- Bank Deposits  | 5,87,900                                | 8,86,800                  |
| (f) Subsidiaries   | -                                       | -                         |
| (g) Investment Properties - Real Estate  | _                                       | 4,52,146                  |
| Investment in Infrastructure and Social Sector   | 3,26,724                                | 5,95,494                  |
| Other than Approved Investments \$@  | 9,95,909                                | 12,66,808                 |
| Sub-Total (A)  | 20,48,415                               | 32,77,406                 |
| SHORT TERM INVESTMENTS   | 20,40,413                               | 32,77,400                 |
| Government securities and Government guaranteed bonds including Treasury                       | ,                                       |                           |
| Bills  | -                                       | -                         |
| Other Approved Securities  | <del>-</del>                            | -                         |
| Other Investments  |   |                           |
| (a) Shares   |   |                           |
| (aa) Equity#   | 51,240                                  | 1,51,171                  |
| (bb) Preference  | · -                                     | -                         |
| (b) Mutual Funds   | -                                       | -                         |
| (c) Derivative Instruments   | -                                       | -                         |
| (d) Debentures / Bonds   | -                                       | 8,006                     |
| (e) Other Securities- Bank Deposits/CBLO   | 86,485                                  | 2,04,400                  |
| (f) Subsidiaries   | · -                                     | · · · · · · · · · · · · - |
| (g) Investment Properties - Real Estate  | -                                       | -                         |
| Investment in Infrastructure and Social Sector   | -                                       | 8,984                     |
| Other than Approved Investments#   | 1,94,115                                | 1,01,985                  |
| Sub-Total (B)  | 3,31,840                                | 4,74,546                  |
| Total (A+B)  | 23,80,255                               | 37,51,952                 |
|  |   |                           |
| In India   | 23,80,255                               | 37,51,952                 |
| Outside India  | -                                       | -                         |
| Total  | 23,80,255                               | 37,51,952                 |
| Notes :  | -77                                     | . 7. 7.                   |
| Aggregate book value (Historical cost) and market value of Investment                          | s, other than Equity . AIF InvIT, SR an | d Mutual fund:            |
|  | •                                       |                           |
| Book Value (Historical cost) Market Value  | 17,99,805<br>15,74,205                  | 31,81,589                 |
| IVIAI NEL VAIUE  | 15,74,295                               | 28,25,602                 |
| Book Value (Historical cost) and market value of Equity , AIF InvIT, SR a                      | and Mutual fund:                        |                           |
| Book Value (Historical cost)   | 8,07,183                                | 9,55,231                  |
| Market Value   | 9,46,702                                | 9,25,129                  |
|  | 5, 10,7 02                              | 3,23,123                  |

- Market Value 9,46,702 9,25,129
- 3 Includes Investment in
  - \$ of ₹.3,70,959 thousands in Non Convertible Debentures (NCD) of Fellow subsidiaries (previous year ₹. 3,72,275Thousands)
  - \* of Rs.Nil thousands in Non Convertible Debentures (NCD) of Fellow subsidiaries (previous year ₹ 9,10,26 Thousands)
  - ^ of ₹ 3,21,369 thousands in unlisted Equity shares (previous year ₹ 3,21,369 Thousands)
  - # in equity shares amounting to ₹ Nil thousands through corporate action awaiting listing as on balance sheet date (previous year ₹ 111 thousands)
  - @Includes Investments in NCD of Dewan Housing Finance Ltd. and Reliance Infrastructure Ltd. which are net of provision for diminution in value of investments.
- 4 Note:- Refer note 16 B(vi) for accounting policy on Investments.

#### Form L-13-Investments- Policyholder Schedule

#### **INVESTMENTS - POLICYHOLDERS**

(₹ in '000)

|  |   | (K III 000)      |
|--|---|------------------|
| Particulars Particulars  | As at                                   | As at            |
|  | 31 March 2021                           | 31 March 2020    |
| LONG TERM INVESTMENTS  |   |                  |
| Government securities and Government guaranteed bonds                  |   |                  |
| including Treasury Bills   | 1,69,93,247                             | 1,31,83,772      |
| Other Approved Securities  | 5,38,563                                | 6,91,646         |
| Other Investments  |   |                  |
| (a) Shares   |   |                  |
| (aa) Equity  | -                                       | -                |
| (bb) Preference  | -                                       | -                |
| (b) Mutual Funds   | -                                       | -                |
| (c) Derivative Instruments   | -                                       | -                |
| (d) Debentures / Bonds   | 9,41,222                                | 4,24,697         |
| (e) Other Securities - Bank Deposits                                   | 22,17,700                               | 15,67,500        |
| (f) Subsidiaries   | -                                       | -                |
| (g) Investment Properties - Real Estate                                | -                                       | -                |
| Investment in Infrastructure and Social Sector                         | 33,60,634                               | 21,04,754        |
| Other than Approved Investments \$                                     | 4,01,592                                | 4,75,020         |
| Sub-Total (A)  | 2,44,52,958                             | 1,84,47,389      |
| SHORT TERM INVESTMENTS   |   |                  |
| Government securities and Government guaranteed bonds                  |   |                  |
| including Treasury Bills   | 717                                     | -                |
| Other Approved Securities  | -                                       | -                |
| Other Investments  |   |                  |
| (a) Shares   |   |                  |
| (aa) Equity *  | 9,73,650                                | 2,84,763         |
| (bb) Preference  | · · · · -                               | <u>-</u>         |
| (b) Mutual Funds   | -                                       | -                |
| (c) Derivative Instruments   | -                                       | -                |
| (d) Debentures / Bonds   | -                                       | -                |
| (e) Other Securities- Bank Deposit/CBLO                                | 7,14,788                                | 5,00,488         |
| (f) Subsidiaries   | · · · · -                               | -                |
| (g) Investment Properties - Real Estate                                | -                                       | -                |
| Investment in Infrastructure and Social Sector                         | 75,336                                  | 3,64,345         |
| Other than Approved Investments#                                       | 99,920                                  | 58,918           |
| Sub-Total (B)  | 18,64,411                               | 12,08,514        |
| Total (A+B)  | 2,63,17,369                             | 1,96,55,903      |
| In India   | 2,63,17,369                             | 1,96,55,903      |
| Outside India  | 2,03,17,303                             | -                |
| Total  | 2,63,17,369                             | 1,96,55,903      |
| Notes:   |   |                  |
| Aggregate book value (Historical cost) and market value of Investment  | ents,other than Equity , AIF InvII , SF | and Mutual fund: |
| Book Value (Historical cost)   | 2,37,53,957                             | 1,85,71,142      |
| Market Value   | 2,52,94,440                             | 1,99,90,701      |
| Book Value (Historical cost) and market value of Equity , AIF InvIT, S | R and Mutual fund:                      |                  |
| Book Value (Historical cost)   | 17,09,839                               | 10,83,836        |
|  |   |                  |
| Market Value   | 21,99,590                               | 10,75,648        |

Market Value
3 Includes Investment

# of Rs.Nil Thousands in Non Convertible Debentures (NCD) of Fellow subsidiaries (previous year ₹. 42,058 Thousands)

\$ of ₹.1,29,654 Thousands in unlisted Equity shares (previous year ₹. 1,14,790 Thousands )

@Includes Investments in NCD of Reliance Infrastructure Ltd. which are net of provision for diminution in value of investments.

Thousands)
\* in equity shares amounting to ₹Nil thousands through corporate action awaiting listing as on balance sheet date (previous year ₹ 164 thousands)

<sup>4</sup> Note:- Refer note 16 B(vi) for accounting policy on Investments.

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# Form L-14- Assets held to cover Linked Liabilities Schedule

# **ASSETS HELD TO COVER LINKED LIABILITIES**

| Particulars Particulars   | As at<br>31 March 2021         | As at<br>31 March 2020 |
|---|--------------------------------|------------------------|
| LONG TERM INVESTMENTS   |                                |                        |
| Government securities and Government guaranteed bonds including   |                                |                        |
| Treasury Bills  | 16,14,092                      | 10,06,124              |
| Other Approved Securities   | 10,13,642                      | 3,21,666               |
| Other Investments   | -                              |                        |
| (a) Shares  | -                              | -                      |
| (aa) Equity   | -                              |                        |
| (bb) Preference   | 8,493                          | 13,186                 |
| b) Mutual Funds   | -                              | -                      |
| c) Derivative Instruments   | -                              | -                      |
| d) Debentures / Bonds   | 2,57,250                       | 3,77,863               |
| e) Other Securities-Bank Deposits   | 6,000                          | 6,000                  |
| f) Subsidiaries   | -                              | -                      |
| g) Investment Properties - Real Estate  | -                              | -                      |
| nvestment in Infrastructure and Social Sector   | 2,64,157                       | 3,08,663               |
| Other than Approved Investments   | 13,492                         | 13,494                 |
| Sub-Total (A)   | 31,77,126                      | 20,46,996              |
| SHORT TERM INVESTMENTS  |                                |                        |
| Government securities and Government guaranteed bonds including   |                                |                        |
| Treasury Bills  | 1,00,753                       | 1,10,368               |
| Other Approved Securities   | 52,808                         | 1,021                  |
| Other Investments   | -                              | -                      |
| (a) Shares  | -                              | -                      |
| (aa) Equity #   | 73,11,687                      | 36,16,770              |
| (bb) Preference   | -                              | -                      |
| (b) Mutual Funds  | 1,38,955                       | -                      |
| (c) Derivative Instruments  | -                              | -                      |
| (d) Debentures / Bonds  | -                              | 13,321                 |
| (e) Other Securities - Bank Deposits/CBLO   | 4,57,275                       | 1,08,598               |
| (f) Subsidiaries  | -                              | -                      |
| (g) Investment Properties - Real Estate   | -                              | -                      |
| nvestment in Infrastructure and Social Sector   | 5,32,354                       | 3,39,796               |
| Other than Approved Investments *   | 15,71,120                      | 6,83,481               |
| Sub-Total (B)   | 1,01,64,951                    | 48,73,355              |
| CURRENT ASSETS  |                                |                        |
| Cash and Bank Balances  | 7,510                          | 2,46,785               |
| Advance and Other Assets  | 3,51,202                       | 3,17,985               |
| Sub-Total (C)   | 3,58,712                       | 5,64,770               |
| .,  | , ,                            |                        |
| Current Liabilities   | 2,12,769                       | 68,332                 |
| Provisions  | -                              |                        |
| Sub-Total (D)   | 2,12,769                       | 68,332                 |
|   | -,- <b>-</b> ,· ••             |                        |
| Net Current Asset (E) = (C-D)   | 1,45,943                       | 4,96,438               |
| ,,,,  | , -,                           | .,,                    |
| Total (A+B+E)   | 1,34,88,020                    | 74,16,789              |
| n India   | 1,34,88,020                    | 74,16,789              |
| Outside India   | -                              | -                      |
| Total   | 1,34,88,020                    | 74,16,789              |
| Note :  |                                |                        |
| Aggregate book value (Historical cost) and market value of Investments, other   | er than Equity and Mutual fund | :                      |
| Book Value (Historical cost)  | 38,57,737                      | 23,09,854              |
| Market Value  | 38,03,550                      | 23,07,28               |
|   |                                |                        |
| Book Value (Historical cost) and market value of Equity and Mutual fund:  |                                |                        |
| Book Value (Historical cost)  | 80,03,516                      | 58,64,31               |
| Market Value  | 95,38,527                      | 46,13,06               |
| * in Non Convertible Debentures (NCD) of fellow subsidiary amountin<br>‡ in equity shares amounting to Rs. Nil Thousands through corporate<br>₹ 2,299.75 Thousands) | ., ,                           | ·                      |

#### Form L-15-Loans Schedule

#### **LOANS**

|       | Particulars                           | As at<br>31 March 2021 | As at<br>31 March 2020 |
|-------|---------------------------------------|------------------------|------------------------|
| SECUI | RITY- WISE CLASSIFICATION             |                        |                        |
| Secur | ed                                    |                        |                        |
| (a)   | On mortgage of property               |                        |                        |
| i     | (aa) In India                         | -                      | -                      |
| i     | (bb) Outside India                    | -                      | -                      |
| (b)   | On Shares, Bonds, Govt Securities etc | -                      | -                      |
| (c)   | Loans against policies                | 1,46,516               | 95,119                 |
| (d)   | Others                                | 2,631                  | 4,381                  |
|       | Less: Provision For Outstanding Loans | (1,162)                | (1,162)                |
| Unsec | cured                                 |                        |                        |
| Total |                                       | 1,47,985               | 98,338                 |
| BORR  | OWER - WISE CLASSIFICATION            |                        |                        |
| (a)   | Central and State Governments         | -                      | -                      |
| (b)   | Banks and Financial Institutions      | -                      | -                      |
| (c)   | Subsidiaries                          | -                      | -                      |
| (d)   | Companies                             | -                      | -                      |
| (e)   | Loans against policies                | 1,46,516               | 95,119                 |
| (f)   | Others                                | 2,631                  | 4,381                  |
|       | Less: Provision For Outstanding Loans | (1,162)                | (1,162)                |
| Total | •                                     | 1,47,985               | 98,338                 |
|       | DRMANCE - WISE CLASSIFICATION         | , , , , , , , , ,      | ,,,,,,,                |
| (a)   | Loans classified as standard:         |                        |                        |
| ( - / | (aa) In India                         | 1,47,985               | 98,338                 |
|       | (bb) Outside India                    | , , , <u>-</u>         | ,<br>-                 |
| (b)   | Non - standard loans less provisions: |                        |                        |
| ( - / | (aa) In India                         | -                      | -                      |
|       | (bb) Outside India                    | -                      | -                      |
| Total |                                       | 1,47,985               | 98,338                 |
|       | JRITY - WISE CLASSIFICATION           | , ,,,,,,               | 30,000                 |
| (a)   | Short Term                            | 1,468                  | 3,219                  |
| (b)   | Long Term                             | 1,46,516               | 95,119                 |
|       | Total                                 | 1,47,985               | 98,338                 |

#### Form L-16- Fixed Assets Schedule

#### **FIXED ASSETS**

(₹ in '000)

| Particulars   |           | Cost/Gr   | oss Block                 |           | Depreciation |              |                       | Net Block |                        |                        |
|---|-----------|-----------|---------------------------|-----------|--------------|--------------|-----------------------|-----------|------------------------|------------------------|
|   | Opening   | Additions | Deductions/<br>Adjustment | Closing   | Opening      | For the Year | On<br>Sale/Adjustment | Closing   | As at<br>31 March 2021 | As at<br>31 March 2020 |
| Intangible assets:                                    |           | •         | •                         |           |              |              |                       |           |                        |                        |
| Goodwill  | -         | -         | -                         | -         | -            | -            | -                     | -         | -                      | -                      |
| Computer Software                                     | 10,16,836 | 1,34,713  | -                         | 11,51,549 | 4,60,632     | 2,24,376     | -                     | 6,85,008  | 4,66,541               | 5,56,204               |
| Tangible assets:                                      | -         | -         | -                         | -         | -            | -            | -                     | -         | -                      | -                      |
| Land-Freehold   | -         | -         | -                         | -         | -            | -            | -                     | -         | -                      | -                      |
| Leasehold Improvements                                | 1,58,114  | 2,725     | 5,168                     | 1,55,672  | 93,773       | 17,202       | 4,466                 | 1,06,508  | 49,163                 | 64,341                 |
| Furniture & Fittings                                  | 97,872    | 53        | 1,753                     | 96,172    | 53,539       | 7,287        | 1,302                 | 59,524    | 36,648                 | 44,333                 |
| Information Technology Equipments (including servers) | 3,25,516  | 46,151    | 33,934                    | 3,37,734  | 2,49,591     | 42,181       | 32,923                | 2,58,848  | 78,886                 | 75,925                 |
| Vehicles  | 10,540    | -         | 2,308                     | 8,232     | 8,666        | 455          | 1,732                 | 7,388     | 844                    | 1,874                  |
| Office Equipment                                      | 86,647    | 948       | 5,261                     | 82,334    | 71,469       | 6,419        | 5,151                 | 72,736    | 9,598                  | 15,178                 |
| Buildings   | 2,76,696  | -         | 14,827                    | 2,61,869  | 25,017       | 4,217        | 1,622                 | 27,612    | 2,34,258               | 2,51,680               |
| Total   | 19,72,221 | 1,84,590  | 63,251                    | 20,93,562 | 9,62,687     | 3,02,137     | 47,196                | 12,17,624 | 8,75,938               | 10,09,535              |
| Capital Work-In-Progress                              | 69,019    | 1,64,244  | 1,84,590                  | 48,673    | -            | -            | -                     | -         | 48,673                 | 69,019                 |
| Grand Total   | 20,41,240 | 3,48,834  | 2,47,841                  | 21,42,235 | 9,62,687     | 3,02,137     | 47,196                | 12,17,624 | 9,24,611               | 10,78,554              |

#### Form L-17-Cash and Bank Balances Schedule

#### **CASH AND BANK BALANCES**

(₹ in '000)

| Particulars Particulars                                     | As at<br>31 March 2021 | As at<br>31 March 2020 |
|---|------------------------|------------------------|
| Cash [including cheques, drafts and stamps]                 | 2,76,898               | 61,344                 |
| Bank balances   |                        |                        |
| (a) Deposit accounts  |                        |                        |
| (aa) Short-term (due within 12 months of the date of Balanc | 676                    | 640                    |
| (bb) Others   | 58                     | 58                     |
| (b) Current accounts  | 6,73,323               | 8,42,550               |
| (c) Others  | -                      | -                      |
| Money at call and short notice                              |                        |                        |
| (a) With banks  | -                      | -                      |
| (b) With other institutions                                 | -                      | -                      |
| Others  | -                      | -                      |
| Total   | 9,50,955               | 9,04,592               |
| Balances with non-scheduled banks included in 2 above       | -                      | -                      |
| Cash and bank balances                                      |                        |                        |
| In India  | 9,50,955               | 9,04,592               |
| Outside India   | <u> </u>               | -                      |
| Total   | 9,50,955               | 9,04,592               |

#### Form L-18-Advances and Other Assets Schedule

# **ADVANCES AND OTHER ASSETS**

(₹ in '000)

| Particulars   | As at<br>31 March 2021 | As at<br>31 March 2020 |
|---|------------------------|------------------------|
| ADVANCES  |                        |                        |
| Reserve deposits with ceding companies  | -                      | -                      |
| Application money for investments   | -                      | -                      |
| Prepayments   | 76,302                 | 41,788                 |
| Advances to Directors/Officers  | -                      | -                      |
| Advance tax paid and taxes deducted at source(Net of provision for taxation)  | 4,807                  | 13,314                 |
| Others  |                        |                        |
| a) Advance to Suppliers   | 15,645                 | 43,899                 |
| b) Staff Loans and Advances   | 3,253                  | 4,929                  |
| Total (A)   | 1,00,007               | 1,03,930               |
| OTHER ASSETS  |                        |                        |
| Income accrued on Investments   | 16,28,141              | 15,28,100              |
| Outstanding premiums  | 4,91,060               | 6,94,568               |
| Agents' Balances  |                        |                        |
| Gross   | 19,785                 | 13,605                 |
| Less: Provision for doubtful agents' balance                                  | (12,080)               | (8,479)                |
| Net   | 7,704                  | 5,126                  |
| Foreign Agencies Balances   | -                      |                        |
| Due from other entities carrying on insurance business (including reinsurers) | 1,49,735               | 23,234                 |
| Due from subsidiaries/holding company   | · · ·                  | · -                    |
| Deposit with Reserve Bank of India  | -                      | -                      |
| [Pursuant to section 7 of Insurance Act,1938]                                 | -                      | -                      |
| Others  |                        |                        |
| GST/Service Tax Unutilised Credit   | 2,12,830               | 1,42,056               |
| Rent & Others Security Deposit  | 94,202                 | 96,452                 |
| Assets held for unclaimed amount of policyholders                             | 20,021                 | 29,029                 |
| Interest Accrued on unclaimed policyholders                                   | 6,674                  | 5,829                  |
| Management Fees Receivable  | 16,111                 | 9,172                  |
| Gross Receivable For Sale Of Investment                                       | 1,01,454               | 98,100                 |
| Less: Diminuition on receivables @  | (69,347)               | (69,347)               |
|   | 32,108                 | 28,753                 |
| Other Receivables   | 1,89,343               | 2,84,188               |
| Total (B)   | 28,47,929              | 28,46,506              |
| Total (A + B)   | 29,47,936              | 29,50,436              |

@Includes receivables for the year ended 31 March 2021 from NCD's of Dewan Housing Finance Ltd.which have matured and are net of provision for diminution in value of investments.

#### Form L-19-Current Liabilities Schedule

#### **CURRENT LIABILITIES**

(₹ in '000)

| Particulars Particulars  | As at         | As at         |
|--|---------------|---------------|
|  | 31 March 2021 | 31 March 2020 |
| Agents' Balances   | 1,73,418      | 1,07,025      |
| Balances due to other insurance companies (including reinsurers) | -             | 8,245         |
| Deposits held on reinsurance ceded                               | -             | -             |
| Premiums recieved in advance                                     | 12,534        | 11,981        |
| Unallocated premium  | 2,92,096      | 1,75,665      |
| Sundry creditors   | 32,961        | 1,17,103      |
| Due to subsidaries/holding company                               | 45,273        | 72,387        |
| Claims Outstanding   | 1,94,413      | 74,520        |
| Annuities Due  | -             | -             |
| Due to Officers/Directors  | -             | -             |
| Others   |               |               |
| a) Tax Deducted to be remitted                                   | 49,457        | 25,628        |
| b) GST/Service tax Liability                                     | 32,562        | 25,367        |
| c) Unclaimed amount - policyholders                              | 20,021        | 29,029        |
| d) Interest Accrued on unclaimed policyholders                   | 6,674         | 5,829         |
| e) Expense Payable   | 11,48,143     | 9,93,276      |
| f) Security Deposit Received                                     | 2,620         | 25,913        |
| g) Others - Payable  | 6,43,369      | 5,70,116      |
| h) Capital Advance   | -             | 3,000         |
| i) Due to Policyholders  | 77,255        | 62,166        |
| Total  | 27,30,794     | 23,07,249     |

#### Form L-20- Provisions Schedule

#### **PROVISIONS**

(₹ in '000)

| Particulars                     | As at<br>31 March 2021 | As at<br>31 March 2020 |
|---------------------------------|------------------------|------------------------|
| For taxation                    | -                      | -                      |
| For proposed dividends          | -                      | -                      |
| For dividend distribution tax   | -                      | -                      |
| Others:                         |                        |                        |
| Provision for Employee Benefits | 33,437                 | 18,466                 |
|                                 |                        |                        |
| Total                           | 33,437                 | 18,466                 |

#### Form L-21-Miscellaneous Expenditure Schedule

#### **MISCELLANEOUS EXPENDITURE**

(To the extent not written off or adjusted)

|  |               | 1 555,        |
|--|---------------|---------------|
| Particulars                                      | As at         | As at         |
|  | 31 March 2021 | 31 March 2020 |
| Discount allowed on issue of shares / debentures | -             | -             |
| Others   | -             | _             |
| Total  |               | -             |

# **Analytical Ratios**

| S. No. | Particulars  | For the Quarter ended<br>31 March 2021 | For the Year Ended<br>31 March 2021 | For the Quarter ended<br>31 March 2020 | For the Year Ended<br>31 March 2020 |
|--------|--|--|-------------------------------------|--|-------------------------------------|
| 1      | New business premium income growth   | 407.00/                                | 00.40                               | 24.00/                                 | 40.4                                |
|        | Individual Participating Life  | 137.8%                                 | 98.1%                               |  | 10.8                                |
|        | Individual Participating Pension Life  | 0.0%                                   | -100.0%                             |  | -106.4                              |
|        | Individual Non Participating Individual Non Participating Annuity                                | 21.2%                                  | 4.9%                                |  | 4.4                                 |
|        | Individual Non Participating Fund – Health   | -54.8%<br>-59.8%                       | -57.8%<br>-85.8%                    |  | -26.2<br>88.2                       |
|        | Group Non Participating Life   | -37.8%                                 | -85.8%<br>-38.1%                    |  | -51.í                               |
|        | Group Non Participating — Variable   | 7189.3%                                | 385.9%                              |  | -31<br>-70.:                        |
|        | Individual Linked Life   | 12.9%                                  | -13.0%                              |  | -70<br>-29.!                        |
|        | Individual Unit Linked — Pension   | 4.9%                                   | -33.7%                              |  | -29.3<br>-63.3                      |
|        | Group Linked Life  | -88.9%                                 | -84.0%                              |  | -05.:<br>-24.6                      |
| 2      | Net retention ratio  | 98.3%                                  | 97.1%                               |  | 95.7                                |
|        | Expense of Management to Gross Direct Premium Ratio  | 38.2%                                  | 52.0%                               |  | 61.9                                |
|        | Commission Ratio (Gross commission paid to Gross Premium)  | 7.9%                                   | 8.5%                                |  | 7                                   |
|        | Ratio of Policyholders' liabilities to shareholders' funds                                       | 1060.8%                                | 1060.8%                             |  | 7.<br>454.                          |
|        | Growth rate of Shareholders' funds   | -10.3%                                 | -36.7%                              |  | -32.                                |
|        | Ratio of surplus / (deficit) to Policyholders' liability   | 0.0%                                   | -30.7%                              |  | -32.<br>0.                          |
|        | Change in Net worth (₹ in 000)   | (4,40,298)                             | (22,21,440)                         |  |                                     |
|        | Profit after tax / Total income  | (4,40,290)                             | (22,21,440)                         |  | (28,60,28                           |
|        | ** The company does not have any profit after tax and therefore this ratio cannot be calculated. | -                                      | -                                   | -                                      |                                     |
|        | (Total Real Estate+ Loans) / Cash and invested assets  | 0.9%                                   | 0.9%                                | 2.5%                                   | 2.                                  |
|        | Total Investments / (Capital + Surplus)  | 1103.1%                                | 1103.1%                             |  | 509.                                |
|        | Total Affiliated Investments / (Capital + Surplus)   | 9.7%                                   | 9.7%                                |  | 8.                                  |
| 13     | Investment Yield (Annualized)  A. With Realized Gains  | 3,3                                    | 2.77                                | 53                                     | · ·                                 |
|        | Shareholders' Funds  | 3.2%                                   | 8.2%                                | -1.3%                                  | 3                                   |
|        |  | 5.2%                                   | 0.270                               | -1.5/0                                 | 3                                   |
|        | Policyholders' Funds : Non Linked  |  |                                     |  |                                     |
|        | Par  | 7.4%                                   | 9.6%                                | 7.3%                                   | 8                                   |
|        | Non Par  | 5.4%                                   | 9.7%                                |  | 10                                  |
|        | <u>Linked</u>  |  |                                     |  |                                     |
|        | Par  | NA                                     | NA                                  | NA NA                                  |                                     |
|        | Non Par  | 22.5%                                  | 12.3%                               | 5.5%                                   | 5                                   |
|        | Shareholders' Funds Policyholders' Funds: Non Linked Par   | 0.04%                                  | 13.1%<br>12.4%                      |  | 1.                                  |
|        | Non Par  | -3.8%                                  | 9.1%                                |  | 19.:                                |
|        | <u>Linked</u>  |  |                                     |  |                                     |
|        | Par  | NA                                     | NA<br>45 OOA                        |  | 4.0                                 |
| 4.4    | Non Par  | 21.8%                                  | 46.9%                               | -75.1%                                 | -16                                 |
| 14     | Conservation Ratio   | 02.70/                                 | 02.40                               | 00.00/                                 | 00                                  |
|        | Individual Participating Pageion Life  | 82.7%                                  | 82.4%                               |  | 80                                  |
|        | Individual Participating Pension Life  | 69.9%                                  | 73.4%                               |  | 77                                  |
|        | Individual Non Participating   | 78.6%                                  | 78.3%                               |  | 80                                  |
|        | Individual Non Participating Annuity   | N.A.                                   | N.A                                 |  | 1                                   |
|        | Individual Non Participating Fund – Health   | 85.9%                                  | 84.1%                               |  | 85                                  |
|        | Group Non Participating  | 44.3%                                  | 27.3%                               |  | 42                                  |
|        | Group Non Participating — Variable   | N.A.                                   | N.A                                 |  | 1                                   |
|        | Individual Linked Life   | 88.2%                                  | 87.8%                               |  | 77                                  |
|        | Individual Unit Linked — Pension   | 46.3%                                  | 81.8%                               |  | 72                                  |
| 15     | Group Linked Life  | N.A.                                   | N.A                                 | N.A.                                   | ı                                   |
|        | Persistency Ratio *  |  |                                     |  |                                     |
|        | Persistency Rate (Premium)   |  |                                     | <b></b>                                |                                     |
|        | For 13th month   | 73.1%                                  | 76.5%                               |  | 77                                  |
|        | For 25th month   | 63.0%                                  | 68.4%                               |  | 67                                  |
|        | For 37th month   | 61.6%                                  | 62.5%                               |  | 58                                  |
|        | For 49th Month   | 55.4%                                  | 57.1%                               |  | 46                                  |
|        | for 61st month   | 45.3%                                  | 47.4%                               | 44.9%                                  | 45                                  |
|        | Renewal Rate (Premium)   |  |                                     |  |                                     |
|        | For 13th month   | 73.0%                                  | 76.3%                               |  | 73                                  |
|        | For 25th month   | 80.7%                                  | 83.9%                               |  | 7:                                  |
|        | For 37th month   | 86.2%                                  | 84.9%                               | 74.5%                                  | 8                                   |
|        | For 49th Month   | 88.6%                                  | 90.8%                               | 77.1%                                  | 8-                                  |
|        | for 61st month   | 90.0%                                  | 91.1%                               | 86.1%                                  | 8.                                  |
| 16     | NPA Ratio  |  |                                     |  |                                     |
|        | Gross NPA Ratio - Policy holder  | 0.5%                                   | 0.5%                                |  |                                     |
|        | Net NPA Ratio - Policy holder  | 0.2%                                   | 0.2%                                | 0.2%                                   |                                     |

The persistency ratios are calculated in accordance with the IRDAI Circular No. IRDA/ACT/CIR/MISC/035/01/2014 dated 23 January 2014. Persistency ratios for the year ended 31 March 2021 have been calculated for the policies issued in April-March period of the relevant years and for the year ended 31 March 2020 the ratios have been calculated for the policies issued in April-March period of the relevant years.

# **Equity Holding Pattern for Life Insurers**

| S. No. | Particulars   | For the Quarter ended<br>31 March 2021 | For the Year Ended<br>31 March 2021 | For the Quarter ended<br>31 March 2020 | For the Year Ended<br>31 March 2020 |
|--------|---|--|-------------------------------------|--|-------------------------------------|
| 1      | No. of shares   | 31,26,20,882                           | 31,26,20,882                        | 31,26,20,882                           | 31,26,20,882                        |
| 2      | Percentage of shareholding (Indian / Foreign)   | 51/49                                  | 51/49                               | 51/49                                  | 51/49                               |
| 3      | % of Government holding (in case of public sector insurance companies)                                      | -                                      | -                                   | -                                      | -                                   |
| 4      | Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized) | (1.56)                                 | (7.54)                              | (2.13)                                 | (6.47)                              |
| 5      | Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized)  | (1.56)                                 | (7.54)                              | (2.13)                                 | (6.47)                              |
| 6      | Book value per share (Rs)   | 12.23                                  | 12.23                               | 22.37                                  | 22.37                               |

#### FORM L-23 RECEIPT AND PAYMENTS SCHEDULE

Name of the Insurer :  ${f EDELWEISS\ TOKIO\ LIFE\ INSURANCE\ COMPANY\ LIMITED}$ 

Registration Number: 147 dated 10 May 2011

RECEIPTS AND PAYMENTS ACCOUNT FOR THE YEAR ENDED 31 MARCH 2021

(₹ '000)

| Particulars |  | For the Year ended    | For the Year ended    |
|-------------|--|-----------------------|-----------------------|
|             | ratticulats  | 31 March 2021         | 31 March 2020         |
|             |  |                       |                       |
| Α           | Cash Flows from operating activities:  |                       |                       |
| 1           | Premium received from policyholders, including advance receipts  | 1,27,74,995           | 1,00,64,463           |
| 2           | Other receipts (Other Income)  | 9,538                 | 7,267                 |
| 3           | Payments to the re-insurance premium, net of commission and claims   | 27,870                | (1,45,175)            |
| 4           | Payments of claims / benefits  | (20,47,172)           | (12,24,800)           |
| 5           | Payments of commission and brokerage   | (9,78,246)            | (8,35,174)            |
| 6           | Payments of other operating expenses   | (45,50,970)           | (48,32,819)           |
| 7           | Preliminary and pre-operative expenses   | -                     | -                     |
| 8           | Deposits, advances   | 81,810                | (1,94,183)            |
| 9           | Income taxes (Paid) / Refund   | 8,507                 | (3,455)               |
|             | Service tax / GST paid   | (4,89,670)            | (6,31,709)            |
| 11          | 1 ,  | -                     | (40)                  |
| 12          | ,  | 48,36,660             | 22,04,376             |
| 13          | Cash flow from extraordinary operations  | -                     | -                     |
|             | Net cash flow from operating activities  | 48,36,660             | 22,04,376             |
|             |  |                       |                       |
| В           | Cash flows from investing activities:  |                       |                       |
| 1           | Purchase of fixed assets   | (1,72,546)            | (3,18,990)            |
| 2           | Proceeds from sale of fixed assets   | 10,698                | 2,452                 |
| 3           | Purchases of investments   | (4,03,75,205)         | (5,06,88,506)         |
| 4           | Loans disbursed  | -                     | -                     |
| 5           | Loans against policies and Employee loan   | (38,565)              | (39,583)              |
| 6           | Sales of investments   | 3,49,65,524           | 4,65,37,501           |
| 7           | Repayments received  | -                     | -                     |
| 8           | Rents/Interests/ Dividends received  | 23,48,079             | 19,42,552             |
| 9           | Investments in money market instruments and in liquid mutual funds (Net)                                     | (17,67,593)           | 5,22,959              |
|             | Net cash flow from investing activities  | (50,29,610)           | (20,41,615)           |
| _           | Cash flows from financing activities:  |                       |                       |
| C<br>1      | Proceeds from issuance of share capital (including share premium)  |                       |                       |
| 2           | Proceeds from borrowing  | ·                     | -                     |
| 3           | Repayments of borrowing  | ·                     | -                     |
| 4           | Interest/dividends paid  | ·                     | -                     |
| 4           | Net cash flow from financing activities  | _                     | -                     |
|             | iver cash now from finding activities  | -                     | -                     |
|             | Effect of foreign exchange rates on cash and cash equivalents, net   | -                     | -                     |
|             | Not increase in each and each equivalents  | (4.02.040)            | 1 62 764              |
|             | Net increase in cash and cash equivalents  Cash and cash equivalents at the beginning of the period          | (1,92,949)            | 1,62,761              |
|             | Cash and cash equivalents at the beginning of the period  Cash and cash equivalents at the end of the period | 11,50,679<br>9,57,731 | 9,87,918<br>11,50,679 |
|             | Cash and Cash equivalents at the end of the period   | 3,57,731              | 11,50,079             |

#### FORM L-24 Valuation of net Liabilities

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE COMPANY LTD.** 

Date: 31 March 2021

# **Valuation on Net Liabilities**

(₹ in Lakns)

| S.No. | Particulars        | As at<br>31 March 2021 | As at<br>31 March 2020 |
|-------|--------------------|------------------------|------------------------|
| 1     | Linked             |                        |                        |
|       | a) Life            | 1,33,936               | 73,623                 |
|       | b) General Annuity | -                      | -                      |
|       | c) Pension         | 3,499                  | 2,719                  |
|       | d) Health          | -                      | -                      |
| 2     | Non-Linked         |                        |                        |
|       | a) Life            | 2,49,380               | 1,85,546               |
|       | b) General Annuity | 3,833                  | 3,658                  |
|       | c) Pension         | 9,191                  | 7,658                  |
|       | d) Health          | 548                    | 487                    |

FORM L-25- (i)- Geographical Distribution Channel - Individuals
Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Registration Number: 147 dated 10 May 2011

#### Geographical Distribution of New Business For The Quarter Ended 31 March 2021

|        |                           |          |        | Rural        |                |                 | Urb         | an           |              |          | Ţ      | Total Business |              |
|--------|---------------------------|----------|--------|--------------|----------------|-----------------|-------------|--------------|--------------|----------|--------|----------------|--------------|
| Sl.No. | State / Union Territory   | No. of   | No. of |              | Sum Assured (₹ | No. of Policies | No of Lives | Premium      | Sum Assured  | No. of   | No. of | Premium        | Sum Assured  |
|        |                           | Policies | Lives  | (₹ in crore) |                |                 |             | (₹ in crore) | (₹ in crore) | Policies | Lives  | (₹ in crore)   | (₹ in crore) |
| 1      | Andhra Pradesh            | 26       | 26     | 0            | 2              | 147             | 140         | 1            | 16           | 173      | 166    | 1              | 18           |
| 2      | Arunachal Pradesh         | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 3      | Assam                     | 18       | 18     | 0            | 0              | 32              | 32          | 0            | 7            | 50       | 50     | 0              | 8            |
| 4      | Bihar                     | 46       | 45     | 0            | 2              | 92              | 93          | 0            | 7            | 138      | 138    | 1              | 9            |
| 5      | Chattisgarh               | 86       | 84     | 0            | 5              | 247             | 237         | 1            | 29           | 333      | 321    | 2              | 34           |
| 6      | Goa                       | 17       | 15     | 0            | 2              | 78              | 73          | 1            | 11           | 95       | 88     | 1              | 13           |
| 7      | Gujarat                   | 68       | 65     | 0            | 6              | 440             | 415         | 3            | 36           | 508      | 480    | 3              | 42           |
| 8      | Haryana                   | 78       | 65     | 0            | 9              | 236             | 194         | 1            | 24           | 314      | 259    | 1              | 33           |
| 9      | Himachal Pradesh          | 60       | 57     | 0            | 3              | 38              | 38          | 0            | 2            | 98       | 95     | 0              | 4            |
| 10     | Jammu & Kashmir           | 17       | 16     | 0            | 1              | 37              | 36          | 0            | 2            | 54       | 52     | 0              | 3            |
| 11     | Jharkhand                 | 100      | 98     | 0            | 8              | 171             | 164         | 1            | 18           | 271      | 262    | 1              | 26           |
| 12     | Karnataka                 | 30       | 29     | 0            | 1              | 126             | 119         | 1            | 8            | 156      | 148    | 1              | 9            |
| 13     | Kerala                    | 255      | 246    | 2            | 23             | 1,291           | 1,217       | 13           | 137          | 1,546    | 1,463  | 16             | 160          |
| 14     | Madhya Pradesh            | 35       | 35     | 0            | 2              | 159             | 150         | 1            | 13           | 194      | 185    | 1              | 15           |
| 15     | Maharashtra               | 2,175    | 2,023  | 11           | 253            | 13,457          | 12,119      | 102          | 2,418        | 15,632   | 14,142 | 113            | 2,671        |
| 16     | Manipur                   | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 17     | Meghalaya                 | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 18     | Mirzoram                  | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 19     | Nagaland                  | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 20     | Orissa                    | 285      | 274    | 1            | 14             | 279             | 261         | 2            | 19           | 564      | 535    | 3              | 33           |
| 21     | Punjab                    | 107      | 106    | 0            | 7              | 504             | 474         | 3            | 54           | 611      | 580    | 4              | 61           |
| 22     | Rajasthan                 | 27       | 27     | 0            | 2              | 93              | 87          | 0            | 8            | 120      | 114    | 1              | 10           |
| 23     | Sikkim                    | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 24     | Tamil Nadu                | 5,532    | 5,533  | 1            | 7              | 612             | 583         | 3            | 33           | 6,144    | 6,116  | 3              | 40           |
| 25     | Tripura                   | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 26     | Uttar Pradesh             | 97       | 87     | 0            | 7              | 461             | 419         | 4            | 67           | 558      | 506    | 4              | 74           |
| 27     | UttraKhand                | 7        | 6      | 0            | 0              | 17              | 16          | 0            | 1            | 24       | 22     | 0              | 1            |
| 28     | West Bengal               | 62       | 59     | 0            | 3              | 151             | 130         | 1            | 16           | 213      | 189    | 2              | 19           |
| 29     | Andaman & Nicobar Islands | -        | -      | -            | -              | -               | _           | -            | -            | -        | -      | -              | -            |
| 30     | Chandigarh                | 16       | 17     | 0            | 2              | 86              | 80          | 1            | 7            | 102      | 97     | 1              | 9            |
| 31     | Dadra & Nagrahaveli       | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 32     | Daman & Diu               | -        | -      | -            | -              | -               | -           | -            | -            | -        | -      | -              | -            |
| 33     | Delhi                     | 30       | 29     | 0            | 2              | 219             | 208         | 1            | 13           | 249      | 237    | 1              | 15           |
| 34     | Lakshadweep               | -        | -      | -            | _              | -               | -           | _            | -            | -        | -      | -              | -            |
| 35     | Puducherry                | _        | _      | _            | -              | -               | _           | _            | _            | _        | _      | -              | -            |
| 36     | Telangana                 | 30       | 28     | 0            | 2              | 117             | 109         | 1            | 27           | 147      | 137    | 1              | 29           |
|        | Company Total             | 9,204    | 8,988  | 18           |                | 19090           |             | 141          | 2,972        | 28,294   | 26,382 | 160            | 3,335        |

# FORM L-25- (i)- Geographical Distribution Channel - Individuals

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED** 

Registration Number: 147 dated 10 May 2011

# Geographical Distribution of New Business for the Year Ended 31 March 2021

|        |                           |                    | R            | ural    |                             |                    |              | Urban                   |                             |                    | Total        | Business                |                             |
|--------|---------------------------|--------------------|--------------|---------|-----------------------------|--------------------|--------------|-------------------------|-----------------------------|--------------------|--------------|-------------------------|-----------------------------|
| Sl.No. | State / Union Territory   | No. of<br>Policies | No. of Lives | Dramium | Sum Assured<br>(₹ in crore) | No. of<br>Policies | No. of Lives | Premium<br>(₹ in crore) | Sum Assured<br>(₹ in crore) | No. of<br>Policies | No. of Lives | Premium<br>(₹ in crore) | Sum Assured<br>(₹ in crore) |
| 1      | Andhra Pradesh            | 60                 | 59           | 0       |                             | 343                | 330          | 2                       | 36                          | 403                | 389          | 2                       | 40                          |
| 2      | Arunachal Pradesh         | -                  | -            | _       | -                           | -                  | -            | -                       | _                           | -                  | -            | -                       | -                           |
| 3      | Assam                     | 73                 | 73           | 0       | 2                           | 140                | 139          | 0                       | 13                          | 213                | 212          | 1                       | 15                          |
| 4      | Bihar                     | 101                | 98           | 0       | 5                           | 200                | 196          | 1                       | 14                          | 301                | 294          | 1                       | 19                          |
| 5      | Chhattisgarh              | 167                | 164          | 1       | 10                          | 560                | 538          | 3                       | 60                          | 727                | 702          | 3                       | 70                          |
| 6      | Goa                       | 65                 | 63           | 0       | 5                           | 190                | 176          | 1                       | 24                          | 255                | 239          | 1                       | 29                          |
| 7      | Gujarat                   | 226                | 215          | 1       | 18                          | 1,428              | 1,323        | 9                       | 181                         | 1,654              | 1,538        | 11                      | 199                         |
| 8      | Haryana                   | 153                | 134          | 1       | 15                          | 499                | 436          | 3                       | 80                          | 652                | 570          | 3                       | 95                          |
| 9      | Himachal Pradesh          | 98                 | 95           | 0       | 6                           | 59                 | 58           | 0                       | 3                           | 157                | 153          | 1                       | 9                           |
| 10     | Jammu and Kashmir         | 49                 | 47           | 0       | 3                           | 108                | 103          | 1                       | 6                           | 157                | 150          | 1                       | 10                          |
| 11     | Jharkhand                 | 246                | 241          | 1       | 14                          | 441                | 425          | 2                       | 40                          | 687                | 666          | 2                       | 54                          |
| 12     | Karnataka                 | 55                 | 54           | 0       | 2                           | 316                | 298          | 2                       | 32                          | 371                | 352          | 2                       | 34                          |
| 13     | Kerala                    | 772                | 753          | 6       | 65                          | 3,725              | 3,574        | 32                      | 345                         | 4,497              | 4,327        | 37                      | 410                         |
| 14     | Madhya Pradesh            | 64                 | 64           | 0       | 3                           | 310                | 295          | 2                       | 40                          | 374                | 359          | 2                       | 43                          |
| 15     | Maharashtra               | 9,502              | 9,000        | 35      | 981                         | 47,267             | 43,657       | 264                     | 9,616                       | 56,769             | 52,657       | 299                     | 10,597                      |
| 16     | Manipur                   | -                  | -            | _       | -                           | -                  | -            | -                       | _                           | -                  | -            | -                       | -                           |
| 17     | Meghalaya                 | -                  | -            | _       | -                           | _                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 18     | Mirzoram                  | -                  | -            | _       | -                           | _                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 19     | Nagaland                  | -                  | -            | _       | -                           | _                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 20     | Odisha                    | 777                | 754          | 2       | 33                          | 803                | 760          | 4                       | 58                          | 1,580              | 1,514        | 7                       | 91                          |
| 21     | Punjab                    | 210                | 205          | 1       | 19                          | 939                | 887          | 6                       | 109                         | 1,149              | 1,092        | 7                       | 128                         |
| 22     | Rajasthan                 | 77                 | 77           | 0       | 9                           | 183                | 173          | 1                       | 17                          | 260                | 250          | 1                       | 26                          |
| 23     | Sikkim                    | -                  | -            | -       | -                           | -                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 24     | Tamil Nadu                | 5,632              | 5,632        | 1       | 10                          | 1,251              | 1,215        | 6                       | 82                          | 6,883              | 6,847        | 7                       | 92                          |
| 25     | Tripura                   | -                  | -            | -       | -                           | -                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 26     | Uttar Pradesh             | 210                | 190          | 1       | 15                          | 1,009              | 931          | 7                       | 136                         | 1,219              | 1,121        | 8                       | 151                         |
| 27     | Uttarakhand               | 28                 | 27           | 0       | 1                           | 49                 | 47           | 0                       | 3                           | 77                 | 74           | 0                       | 5                           |
| 28     | West Bengal               | 140                | 129          | 1       | 6                           | 462                | 407          | 4                       | 53                          | 602                | 536          | 5                       | 59                          |
| 29     | Andaman & Nicobar Islands | -                  | -            | -       | -                           | -                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 30     | Chandigarh                | 29                 | 29           | 0       | 4                           | 181                | 172          | 1                       | 18                          | 210                | 201          | 2                       | 22                          |
| 31     | Dadra & Nagrahaveli       | -                  | -            | _       | -                           | _                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 32     | Daman & Diu               | -                  | -            | -       | -                           | -                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 33     | New Delhi                 | 71                 | 68           | 0       | 5                           | 598                | 552          | 4                       | 74                          | 669                | 620          | 4                       | 79                          |
| 34     | Lakshadweep               | -                  | -            | -       | -                           | -                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 35     | Puducherry                | -                  | -            | -       | -                           | -                  | -            | -                       | -                           | -                  | -            | -                       | -                           |
| 36     | Telangana                 | 49                 | 47           | 0       | 3                           | 216                | 202          | 2                       | 52                          | 265                | 249          | 3                       | 55                          |
|        | Company Total             | 18,854             | 18,218       | 52      | 1,238                       | 61277              | 56,894       | 358                     | 11,093                      | 80,131             | 75,112       | 411                     | 12,330                      |

# FORM L-25- (ii) - Geographical Distribution Channel - GROUP

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Registration Number: 147 dated 10 May 2011

# Geographical Distribution of New Business for the Quarter Ended 31 March 2021

|       |                           |                    | Rui          | ral                        |                                   |                    | Ur           | ban                        |                                |                    | Tot          | al Business             |                               |
|-------|---------------------------|--------------------|--------------|----------------------------|-----------------------------------|--------------------|--------------|----------------------------|--------------------------------|--------------------|--------------|-------------------------|-------------------------------|
| S.No. | State / Union Territory   | No. of<br>Policies | No. of Lives | Premium<br>(₹ in<br>crore) | Sum<br>Assured<br>(₹ in<br>crore) | No. of<br>Policies | No. of Lives | Premium<br>(₹ in<br>crore) | Sum<br>Assured<br>(₹ in crore) | No. of<br>Policies | No. of Lives | Premium<br>(₹ in crore) | Sum<br>Assured<br>(₹ in crore |
| 1     | Andhra Pradesh            | -                  | -            | -                          | -                                 | -                  | 1            | 0                          | 0                              | -                  | 1            | O                       | •                             |
| 2     | Arunachal Pradesh         | -                  | -            | -                          | -                                 | -                  | -            | -                          | -                              | -                  | -            | -                       | -                             |
| 3     | Assam                     | -                  | -            | -                          | -                                 | -                  | -            | -                          | -                              | -                  | -            | -                       | -                             |
| 4     | Bihar                     | -                  | -            | -                          | -                                 | -                  | -            | -                          | -                              | -                  | -            | -                       | -                             |
| 5     | Chattisgarh               | -                  | -            | -                          | -                                 | -                  | -2           | 0                          | -0                             | -                  | -2           | 0                       |                               |
| 6     | Goa                       | -                  | -            | -                          | -                                 | -                  | -            | -                          | -                              | -                  | -            | -                       | -                             |
| 7     | Gujarat                   | -                  | 19           | 0                          | 3                                 | -                  | 220          | 0                          | 27                             | -                  | 239          | 1                       | . 3                           |
| 8     | Haryana                   | -                  | -            | -                          | -                                 | 1                  | 785          | 20                         | 57                             | 1                  | 785          | 20                      | 5                             |
| 9     | Himachal Pradesh          | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 10    | Jammu & Kashmir           | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | _                             |
| 11    | Jharkhand                 | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 12    | Karnataka                 | -                  | 13,655       | 0                          | 44                                | 2                  | 7,456        | 2                          | 1,439                          | 2                  | 21,111       | 3                       | 1,48                          |
| 13    | Kerala                    | -                  | -            | -                          | -                                 | -                  | 337          | 0                          | 55                             | -                  | 337          | 0                       |                               |
| 14    | Madhya Pradesh            | -                  | -            | -                          | -                                 | -                  | 42           | 0                          | 3                              | -                  | 42           | 0                       | )                             |
| 15    | Maharashtra               | -                  | 64           | 0                          | 5                                 | 1                  | 776          | 3                          | 235                            | 1                  | 840          | 3                       | 24                            |
| 16    | Manipur                   | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 17    | Meghalaya                 | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 18    | Mirzoram                  | -                  | -            | -                          | -                                 | -                  | -            | -                          | -                              | -                  | -            | -                       | -                             |
| 19    | Nagaland                  | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 20    | Orissa                    | -                  | 12,138       | 0                          | 37                                | -                  | 5,731        | 0                          | 18                             | -                  | 17,869       | 0                       | 5                             |
| 21    | Punjab                    | -                  | -            | _                          | -                                 | -                  | -            | -                          | _                              | -                  | -            | -                       | -                             |
| 22    | Rajasthan                 | -                  | 18,417       | 1                          | 78                                | -                  | 6,558        | 0                          | 66                             | -                  | 24,975       | 1                       | . 14                          |
| 23    | Sikkim                    | -                  | -            | _                          | -                                 | -                  | -            | -                          | _                              | -                  | -            | -                       | -                             |
| 24    | Tamil Nadu                | -                  | 26,175       | 1                          | 104                               | -                  | 14,742       | 1                          | 110                            | -                  | 40,917       | 2                       | 21                            |
| 25    | Tripura                   | -                  | -            | _                          | -                                 | -                  | -            | -                          | _                              | -                  | -            | -                       | -                             |
| 26    | Uttar Pradesh             | -                  | 2,263        | 0                          | 7                                 | -                  | 843          | 0                          | -28                            | -                  | 3,106        | 0                       | -2                            |
| 27    | UttraKhand                | -                  | -            | -                          | -                                 | -                  | 1            | 0                          | 0                              | -                  | 1            | 0                       | )                             |
| 28    | West Bengal               | -                  | 5,001        | 0                          | 16                                | -                  | 2,693        | 0                          | 12                             | -                  | 7,694        | 0                       | 2                             |
| 29    | Andaman & Nicobar Islands | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 30    | Chandigarh                | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 31    | Dadra & Nagrahaveli       | -                  | -            | _                          | -                                 | -                  | -            | -                          | _                              | -                  | -            | -                       | -                             |
| 32    | Daman & Diu               | -                  | -            | -                          | -                                 | -                  | _            | -                          | -                              | -                  | -            | -                       | -                             |
| 33    | Delhi                     | -                  | 2            | 0                          | 0                                 | -                  | 258          | 0                          | 2                              | -                  | 260          | 0                       | )                             |
| 34    | Lakshadweep               | -                  | -            | -                          | -                                 | _                  | -            | -                          | _                              | -                  | -            | -                       | -                             |
| 35    | Puducherry                | -                  | -            | -                          | _                                 | _                  | -            | -                          | _                              | -                  | -            | -                       | -                             |
| 36    | Telangana                 | -                  | 15           | 0                          | 2                                 | 1                  | 821          | 1                          | 76                             | 1                  | 836          | 1                       | . 7                           |
|       | Company Total             | -                  | 77,749       | 3                          |                                   | 5                  |              |                            |                                | 5                  |              | 31                      |                               |

# FORM L-25- (ii) - Geographical Distribution Channel - GROUP

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED** 

Registration Number: 147 dated 10 May 2011

# Geographical Distribution of New Business for the Year Ended 31 March 2021

|       |                           |                    | Ru           | ral                     |                                |                    | Urk          | oan                     |                                |                    | Total Bu     | siness                  |                                |
|-------|---------------------------|--------------------|--------------|-------------------------|--------------------------------|--------------------|--------------|-------------------------|--------------------------------|--------------------|--------------|-------------------------|--------------------------------|
| S.No. | State / Union Territory   | No. of<br>Policies | No. of Lives | Premium<br>(₹ in crore) | Sum<br>Assured<br>(₹ in crore) | No. of<br>Policies | No. of Lives | Premium<br>(₹ in crore) | Sum<br>Assured<br>(₹ in crore) | No. of<br>Policies | No. of Lives | Premium<br>(₹ in crore) | Sum<br>Assured<br>(₹ in crore) |
| 1     | Andhra Pradesh            | -                  | 7            | 0.03                    | 1.08                           | -                  | 82           | 0                       | 12                             | -                  | 89           | 0                       | 13                             |
| 2     | Arunachal Pradesh         | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 3     | Assam                     | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 4     | Bihar                     | -                  | -            | -                       | -                              | -                  | 32           | 0                       | 2                              | -                  | 32           | 0                       | 2                              |
| 5     | Chattisgarh               | -                  | 3            | 0.00                    | 0.23                           | 1                  | 409          | 0                       | 27                             | 1                  | 412          | 0                       | 27                             |
| 6     | Goa                       | -                  | -            | -                       | -                              | -                  | 2            | 0                       | 0                              | -                  | 2            | 0                       | 0                              |
| 7     | Gujarat                   | -                  | 46           | 0.09                    | 5.01                           | -                  | (894)        | 1                       | 147                            | -                  | (848)        | 1                       | 152                            |
| 8     | Haryana                   | -                  | 3            | 0.00                    | 0.19                           | 4                  | 6,807        | 20                      | 714                            | 4                  | 6,810        | 20                      | 714                            |
| 9     | Himachal Pradesh          | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 10    | Jammu & Kashmir           | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 11    | Jharkhand                 | -                  | -            | -                       | -                              | -                  | (19)         | (0)                     | -1                             | -                  | (19)         | (0)                     | (1)                            |
| 12    | Karnataka                 | -                  | 18,026       | 0.53                    | 57.85                          | 12                 | 28,176       | 3                       | 2,011                          | 12                 | 46,202       | 4                       | 2,069                          |
| 13    | Kerala                    | -                  | -            | -                       | -                              | 1                  | 7,533        | 1                       | 1,484                          | 1                  | 7,533        | 1                       | 1,484                          |
| 14    | Madhya Pradesh            | -                  | 8            | 0.01                    | 0.61                           | -                  | (906)        | 0                       | -112                           | -                  | (898)        | 0                       | (112)                          |
| 15    | Maharashtra               | -                  | 2,107        | 0.16                    | 13.29                          | 6                  | 16,561       | 6                       | 2,181                          | 6                  | 18,668       | 6                       | 2,194                          |
| 16    | Manipur                   | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 17    | Meghalaya                 | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 18    | Mirzoram                  | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 19    | Nagaland                  | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 20    | Orissa                    | -                  | 19,596       | 0.55                    | 61.17                          | -                  | 7,409        | 0                       | 23                             | -                  | 27,005       | 1                       | 84                             |
| 21    | Punjab                    | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 22    | Rajasthan                 | -                  | 48,194       | 1.92                    | 190.08                         | -                  | 13,366       | 2                       | 300                            | -                  | 61,560       | 4                       | 490                            |
| 23    | Sikkim                    | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 24    | Tamil Nadu                | -                  | 60,469       | 2.29                    | 230.02                         | -                  | 27,214       | 2                       | 269                            | -                  | 87,683       | 4                       | 499                            |
| 25    | Tripura                   | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 26    | Uttar Pradesh             | -                  | 3,246        | 0.09                    | 9.82                           | -                  | 6,760        | 2                       | 443                            | -                  | 10,006       | 2                       | 453                            |
| 27    | Uttarakhand               | -                  | -            | -                       | -                              | -                  | 51           | 0                       | 2                              | -                  | 51           | 0                       | 2                              |
| 28    | West Bengal               | -                  | 5,022        | 0.15                    | 16.48                          | 1                  | 2,835        | 0                       | 27                             | 1                  | 7,857        | 0                       | 44                             |
| 29    | Andaman & Nicobar Islands | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | _            | -                       | -                              |
| 30    | Chandigarh                | -                  | -            | -                       | -                              | -                  | 1            | 0                       | 0                              | -                  | 1            | 0                       | 0                              |
| 31    | Dadra & Nagrahaveli       | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | _            | -                       | -                              |
| 32    | Daman & Diu               | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 33    | New Delhi                 | -                  | 13           | 0.01                    | 0.75                           | 3                  | (741)        | 0                       | -62                            | 3                  | (728)        | 0                       | (61)                           |
| 34    | Lakshadweep               | -                  | -            | _                       | -                              | _                  | - ,          | -                       | -                              | -                  | -            | -                       | -                              |
| 35    | Puducherry                | _                  | -            | _                       | -                              | -                  | -            | -                       | -                              | -                  | -            | -                       | -                              |
| 36    | Telangana                 | -                  | 17           | 0.05                    | 2.27                           | 1                  | 1,431        | 1                       | 131                            | 1                  | 1,448        | 1                       | 133                            |
|       | Company Total             | _                  | 1,56,757     | 5.89                    | 588.84                         | 29                 | _            | 38.90                   | 7,597                          | 29                 | 2,72,866     | 44.79                   | 8,186                          |

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A

COMPANY NAME & CODE : EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)

STATEMENT AS ON : 31-March-2021

STATEMENT OF INVESTMENT ASSETS(LIFE INSURERS)

(Business within India)

PART - A

| Application as per Balance Sheet (A) PARTICULARS  | SCH |           | Amount  |
|---|-----|-----------|---------|
|   | SCH | •         |         |
| nvestments (Sharehoders)                          |     | 8         | 2380    |
| nvestments (Policyholders)                        |     | 8A        | 26317   |
| nvestments (Linked Liabilities)                   |     | 8B        | 13488   |
| Loans   |     | 9         | 148     |
| Fixed Assets                                      |     | 10        | 924     |
| Current Assets                                    |     |           | -       |
| a. Cash & Bank Balance                            |     | 11        | 951     |
| b. Advances & Other Assets                        |     | 12        | 2947    |
| Current Liabilities                               |     |           |         |
| a. Current Liabilities                            |     | 13        | 2730    |
| b. Provisions                                     |     | 14        | 33      |
| c. Misc. Exp not Written Off                      |     | 15        | -       |
| d. Debit Balance of P&L A/c                       |     |           | 16289   |
| Application of Funds as per Balance Sheet (A)     |     | TOTAL (A) | 2,81,03 |
| Less: Other Assets                                | SCH |           | Amount  |
| Loans (if any)                                    |     | 9         | 1,48    |
| Fixed Assets (if any)                             |     | 10        | 9,24    |
| Cash & Bank Balance (if any)                      |     | 11        | 9,51    |
| Advances & Other Assets (if any)                  |     | 12        | 29,47   |
| Current Liabilities                               |     | 13        | 27,30   |
| Provisions  |     | 14        | 33      |
| Misc. Exp not Written Off                         |     | 15        | -       |
| Investments held outside India                    |     |           | -       |
| Debit Balance of P&L A/c                          |     |           | 16289   |
| **Provision for Dimunition in Value of Investment |     |           | 414     |
|   |     | TOTAL (B) | -14497  |
| Available for Investments                         |     | (A-B)     | 42600   |

| Total Investment Assets (as per Balance Sheet)       | 4,26,001 |
|--|----------|
| Balance Sheet Value of :                             |          |
| A. Life Fund (including Fund Beyond Solvency Margin) | 2,64,441 |
| B. Pension & Gen Annuity Fund                        | 26,680   |
| C. Unit Linked Funds                                 | 1,34,880 |
|  | 4,26     |

(₹ In Lacs)

#### NON - LINKED BUSINESS

| NON - LINKED BOSINESS                                   |                           |         |        |                        |       |         |                  |          |            |                                     |              |
|---|---------------------------|---------|--------|------------------------|-------|---------|------------------|----------|------------|-------------------------------------|--------------|
| INVESTMENT ASSETS                                       |                           | SH      |        |                        | PH    |         | BOOK VALUE (SH + |          |            |                                     |              |
| A. LIFE FUND  | PERCENTAGE AS PER<br>REG. | BALANCE | FRSM+  | UL-NON UNIT<br>RESERVE | PAR   | NON PAR | PH)              | Actual % | FVC AMOUNT | TOTAL FUND<br>(Balance Sheet Value) | MARKET VALUE |
|   |                           | (a)     | (b)    | (c)                    | (d)   | (e)     | F=(b+c+d+e)      |          |            |                                     |              |
| 1. Govt. Securities                                     | Not Less than 25%         | 198.50  | 722.03 | -                      | 40928 | 111827  | 153676           | 59.78%   | -          | 153676                              | 161157       |
| 2. Govt. Securities or Other Approved Securities (Incl. | Not Less than 50%         | 198.50  | 722.03 | -                      | 43420 | 113931  | 158271           | 61.57%   | -          | 158271                              | 165943       |
| 3. Investment Subject to Exposure Norms                 |                           |         |        |                        |       |         |                  |          |            |                                     |              |
| a. Housing & Infrastructure                             |                           |         |        |                        |       |         |                  |          |            |                                     |              |
| 1. Approved Investment                                  | Not Less than 15%         | 0       | 2089   | -                      | 10777 | 19433   | 32298            | 12.58%   | 3223       | 35522                               | 37548        |
| 2. Other Investment                                     |                           | 1351    | 6100   | -                      | 1     | 2389    | 9840             | 3.31%    | 724        | 10564                               | 7649         |
| b. (i) Approved Investments                             | Not exceeding 35%         | 379     | 7317   | 674                    | 12672 | 27175   | 48217            | 18.63%   | 1371       | 49588                               | 49642        |
| (ii)"Other Investments" not to exceed 15%               | NOT exceeding 55%         | 0       | 7897   | -                      | 554   | 1592    | 10043            | 3.91%    | 453        | 10496                               | 10809        |
| TOTAL : LIFE FUND                                       | 100%                      | 1928    | 24124  | 674                    | 67424 | 164520  | 258670           | 100.00%  | 5771       | 264441                              | 271591       |

|         |  |                        | PH    |         |                  |          |            | TOTAL FUND               |              |
|---------|--|------------------------|-------|---------|------------------|----------|------------|--------------------------|--------------|
| B. PENS | SION / GROUP GRATUITY FUND   | PERCENTAGE AS PER REG. | PAR   | NON PAR | TOTAL BOOK VALUE | Actual % | FVC AMOUNT | (Balance Sheet<br>Value) | MARKET VALUE |
| 1       | Government Securities  | Not Less than 20%      | 6,361 | 10,823  | 17,184           | 65.69%   | -          | 17184                    | 18864        |
| 2       | Government Securities or other approved securities (including (i) above) | Not Less than 40%      | 6,478 | 11,497  | 17,974           | 68.71%   | -          | 17974                    | 19679        |
| 3       | Balance in approved investment   | Not Exceeding 60%      | 2,601 | 5,583   | 8,184            | 31.29%   | 522        | 8706                     | 8880         |
|         | TOTAL : PENSION / GROUP GRATUITY FUND                                    | 100%                   | 9078  | 17080   | 26158            | 100%     | 522        | 26680                    | 28559        |

# **LINKED BUSINESS**

| C. LINKED LIFE INSURANCE FUND      | PERCENTAGE AS PER REG.  | PH  |         | TOTAL FUND            | Actual %  |
|------------------------------------|-------------------------|-----|---------|-----------------------|-----------|
| C. LINKED LIFE INSORAINCE FOND     | PERCEIVIAGE AS PER REG. | PAR | NON PAR | (Balance Sheet Value) | Actual /6 |
| 1. Approved Investments            | Not Less than 75%       | -   | 119034  | 119034                | 88.25%    |
| 2. Other than Approved Investment  | Not More than 25%       | -   | 15846   | 15846                 | 11.75%    |
| TOTAL : LINKED LIFE INSURANCE FUND | 100%                    |     | 134880  | 134880                | 100.00%   |

\*\*The provision amounting to Rs.13.38 Crore @ 75% on Face Value of Rs. 17.84 Crore on NCD's of DHFL & 35.00 Crore @50% on Face Value of Rs. 70 Crore on NCD's of REL INFRA under Non Linked Funds has been created as part of prudent mechanism and reduced from Investment in NCD (Sch 8 & 8A). Out of which Rs. 6.93 Crore is against Investment Receivables of NCD's of DHFL. The net amount of Rs. 41.45 Crore is added in Application of Funds in above Table, for reconciliation purposes. As values in Schedule 8, 8A and 8B is net of provision.

# **CERTIFICATION:**

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Note: (+) FRSM refers to 'Funds representing Solvency Margin'

Funds beyond Solvency Margin shall have a separate Custody Account.

Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time

Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

Fair value change account forming part of Balance Sheet includes Rs. 2.33 crores of unrealized loss for Outstanding Interest Rate Future derivative contracts with notional face value aggregating to Rs.

450 crores. Interest rate derivative exposure being an off Balance Sheet item is not included in the investment returns. However, the details are reported separately in the prescribed format for

Interest Rate derivatives vide circular no. IRDA-F&I-CIR-INV-138-06-2014 dated 11 June 2014.

PART-B FORM L-27-UNIT LINKED BUSINESS-3A LINK TO ITEM C OF FORM 3A (PART A)

UNIT LINKED INSURANCE BUSINESS COMPANY NAME & CODE: Edelweiss Tokio Life Insurance Company Ltd. (147)

(₹`in Lacs) STATEMENT AS ON : 31 March 2021

|   |  |   |  |  |  |  |   |   | NAME OF THE FUND  |  |  |   |   |                            |   |                      |                               |                |          |
|---|--|---|--|--|--|--|---|---|---|--|--|---|---|----------------------------|---|----------------------|-------------------------------|----------------|----------|
| PARTICULARS   | BOND FUND<br>[ULIF00317/08/11BONDFUND147 | DISCONTINUANCE FUND<br>[ULIF00701/01/12DISCONT147 | GROUP BALANCER FUND<br>[ULGF00205/09/11GFBALANC<br>ER147 | GROUP BOND FUND<br>[ULGF00305/09/11GFBOND14<br>7 | GROUP GROWTH FUND<br>[ULGF00105/09/11GFGRO<br>WTH147 | MANAGED FUND<br>[ULIF00618/08/11MANAGE<br>D147 | PENSION SECURE FUND<br>E [ULIF00931/03/15ETLIPNS FI<br>SCR147 | EQUITY MIDCAP<br>JNDULIF001107/10/16ETLIMI  <br>DCAP147 | EQUITY LARGE CAP FUND<br>ULIF00118/08/11EQLARGECAP<br>147 | PE BASED FUND<br>[ULIF00526/08/11PEBASI<br>147 | PENSION GROWTH FUNI<br>ED [ULIF00831/03/15ETLIPN<br>GRT147 | D EQUITY TOP 250<br>NS [ULIF0027/07/11EQTOP<br>250147 | MONEY MARKET FUND<br>[ULIF00425/08/11MOI<br>EYMARKET147 | PENSION FUND               | GROUP MONEY MARKET FUND  [ULGF00405/09/11GF MONEYMARKET147]  EQUITY BLUE (ULIF01226/1 | 11/18ETLB [ULIF01326 | FUND<br>5/11/18ETLG<br>ID147] | TOTAL          |          |
|   | Actual Inv.                              | Actual Inv.                                       | Actual Inv.  | Actual Inv.                                      | Actual Inv.  | Actual Inv.                                    | Actual Inv.   | Actual Inv.   | Actual Inv.   | Actual Inv.                                    | Actual Inv.  | Actual Inv.   | Actual Inv.   | Actual Inv.                | Actual Inv. Actual  | Inv. Actu            | ial Inv.                      | Actual Inv.    |          |
| Opening Balance(Market Value)                         | 8,050                                    | 15,626  | 1,983  | 1,690  | 3,943  | 3,472  | 2 870   | 15,309  | 32,398  | 2,72   | 2,04   | 2 29,552  | 597   | 91                         | 7 -   | 1,348                | 360                           |                | 1,20,8   |
| dd : Inflow during the Quarter                        | 3,029                                    | 4,420   | ) 42   | 43   | 111  | 662  | 183   | 2,772   | 5,417   | 10   | )4 27:   | 2 4,789   | 290   | 7(                         | 0 -   | 937                  | 389                           |                | 23,      |
| crease / (Decrease) Value of Inv[Net]                 | 10                                       | 20  | ) 18   | (13)   | 95   | 51   | (5)   | 1.853   | 1,755   |  | 120  | 6 2,156   | 6   |                            | 2) -  | 72                   | (1)                           |                | 6,       |
| ess : Outflow during the Quarter                      | 1,603                                    | 4,245   | 10   | (10)   | 30   | 322  | ) 131   | 1,181   | 3,611   | 18   |  |   | ·   | ΛΩ'                        | 2 -   | 270                  | 70                            |                | 15,      |
|   | 9,486                                    |   |  | 1,715  | JU<br>1110   | 3,863  | 1   | 18,753  | 35,959  |  | 77   | 1   | 8   | 8                          | 2   | 2,088                | 670                           |                | 1,34,    |
| TOTAL INVESTIBLE FUNDS (MKT VALUE)                    | 5,486                                    | 15,82.  | 2,025  | 1,/15  | 4,118  | 3,863  | 918   | 18,/53  | 35,959  | <u>{</u>                                       | 2,04   | U } 33,535  | 1 005   | 9 49                       | 2 -   | ۷,000                | 0/0                           |                | 1,34,    |
| INVESTMENT OF UNIT FUND                               |  |   |  |  |  |  |   |   |   |  |  |   |   |                            |   |                      |                               | TOTAL          |          |
|   | BOND FUND                                |   | GROUP BALANCER FUND                                      |  | GROUP GROWTH FUND                                    |  | PENSION SECURE FUND   |   | EQUITY LARGE CAP FUND                                     |  |  |   |   |                            | Y GROUP MONEY MARKET EQUITY BLUE C  |                      |                               | TOTAL          |          |
|   | Actual Inv. % Actual                     | Actual Inv. % Actual                              | Actual Inv. % Actual                                     | Actual Inv. % Actual                             | Actual Inv. % Actual                                 | Actual Inv. % Actual                           | Actual Inv. % Actual  | Actual Inv. % Actual                                    | Actual Inv. % Actual                                      | Actual Inv. % Actua                            | l Actual Inv. % Actua                                      | l Actual Inv. % Actual                                | Actual Inv. % Actual                                    | Actual Inv. % Actua        | l Actual Inv. % Actual Actual Inv.  | % Actual Actual Inv. | % Actual A                    | Actual Inv. %  | % Actual |
| roved Investments (>=75%)                             |  |   |  |  |  |  |   |   |   |  |  |   |   |                            |   |                      |                               |                |          |
| Central Govt Securities                               | 1,370.75 14.45%                          | 3 5   |  | 526 30.67%                                       |  | 1  | 70] 120  13.3270  |   |   | 166 6.0  |  |   | 219 32.869  | % 296 60.19                |   | - 292                | 43.65%                        | 17,148         | 1        |
| State Government Securities Other Approved Securities | 4522 47.67%<br>210 2.21%                 |   | 0.4  | 511 29.82%<br>61 3.56%                           | ······   | 485.47 12.579                                  | % 220 23.98%<br>% 50 5.45%                                    | -   | -   | 324 11.8<br>119 4.3                            | ·····  |   | 72 10.779<br>277 41.699                                 | % 381.56 //.50<br>% 2 0.42 | 70  | - 214.98             | 32.10%<br>0.61%               | 9,455          |          |
| Corporate Bonds                                       | 2099 22.13%                              | -3  | %  | 316 18.40%                                       | 721 17.51%   | 537 13.909                                     |   |   |   | 119 4.3  |  |   | 20 18 3 039   | % - U.42                   |   | - 4                  | 0.0170                        | 1,210<br>Δ 517 |          |
| Infrastructure Bonds                                  | 572 6.03%                                |   |  | 150 8.75%  | 97 2.35%   |  | %   |   |   |  | 3%   |   | 26 3.869  | %                          |   |                      | -                             | 938            |          |
| Equity  | 30 0.32%                                 |   | 452 22.30%   | 9 0.50%  | 1615 39.22%  | <u></u>  | <u></u>   | 14304 76.27%  | 29310 81.51%  | 1,381 50.5                                     | 5% 1586 77.75  | % 26787 79.88%  | 3 0.479   |                            | 1645  | 78.80% -             | -                             | 78,285         | 5        |
| Money Market Investments                              | 156 1.64%                                |   |  | 131 7.61%  | <del></del>  |  | % 51 5.55%  | 99 0.53%  | 724 2.01%   | 295 10.8                                       | 0% 29 1.44   | 70 102.07   | 9 1.359   | % 10 1.97                  | % 44  | 2.11% 6              | 0.96%                         | 4,573          |          |
| Mutual Funds  |  |   |  |  |  |  |   |   | 313.97 0.87%  |  | 82.93 4.07   | % 971.91 2.90%  |   |                            | 20.74   | 0.99% -              | -                             | 1,390          |          |
| Deposit With Banks                                    | 45 0.47%                                 | ,   |  |  |  | 15 0.399                                       | %   |   |   |  |  |   |   |                            |   |                      | -                             | 60             |          |
| Sub Total (   | A) 9005 94.93%                           | 16057 101.49                                      | <b>%</b> 1823 90.03%                                     | 1703 99.30%                                      | 3719 90.29%  | 3577 92.599                                    | % 933 101.64%   | 14403 76.80%  | 30349 84.40%  | 2545 93.1                                      | 9% 1698 83.25  | <b>%</b> 28221 84.15%                                 | 625 94.029  | <b>690 140.07</b>          | <b>-</b> - 1710   | 81.90% 518           | 77.32%                        | 117575         | 8        |
| Current Assets:                                       | 260 2.742                                | 316 3.00  | 20 20 20 20 20 20 20 20 20 20 20 20 20 2                 | 22 4.200/  | 22 0.000   | 52 1 349                                       | 10 1000   |   |   | 46   | 20/  |   | 17 2.400  | 15 2.01                    | 0/  |                      | 0.000/                        | 772            |          |
| Accrued Interest Dividend Recievable                  | 260 2.74% 1.91 0.00                      | 316 2.00  | % 19 0.95%<br>1 0.03%                                    | 22 1.28%   | 33 0.80%   | <u></u>  | 70 10 1.5470  | 1 0.01%   | 8 0.02%   | 16 0.5   |  | 6.47 0.02%  | 17 2.499  | % 15 3.01<br>%             | 70  | - 6                  | 0.90%                         | //3            |          |
| Bank Balance  | 1.91 0.00                                | 1 0.01  |  | 10 0.50%   | 1 0.02%<br>1 0.02%                                   | <del></del>                                    | % 0.27 0.03%<br>% 1 0.06%                                     | 2 0.01%   | 8 U.U2%   | 5 0.0  | 0 0.02<br>0 1 0.05   | % 0.47 0.02%<br>% 10 0.02%                            | 34 5.089  | /0<br>26 1 0.10            | U   | 0.02% -              | 0.02%                         | 75             |          |
| Receivable For Sale Of Investments                    | 6 0.06%                                  | 1   | 70   |  | 141 3.43%  |  | 701 -1 0.00701  | 51.47 0.27%   | 187 0.52%   | 5 10 0.3                                       | 3%   | 15.84 0.05%   | 6 0.909   | 701 21 0:10                | O   | 0.37% -              | -                             | 783            |          |
| Other Current Assets (For Investments)                | 189 2.00%                                |   | 31.25 1.54%  | 31.25 1.82%                                      |  |  |   | 395.03 2.11%  | 361 1.01%   |  |  | F07 4 F70/  | •   |                            | 114   | 5.47% 148            | 22.13%                        | 1,934          |          |
| Less: Current Liabilities                             |  |   |  |  |  |  |   |   |   |  |  |   |   |                            |   |                      |                               | ,              |          |
| Payable For Investments                               |  | 373.86 2.36                                       | % 0 0.00%  | 67.10 3.91%                                      | 0 0.00%  |  | 36.43 3.97%   | 37 0.20%  | 457 1.27%   | 0.00 0.0                                       | 0%   | 299.56 0.89%  |   |                            | 31.78   | 1.52% 1.92           | 0.29%                         | 1,305          | (        |
| Fund Mgmt Charges Payable                             | 11 0.12%                                 |   |  |  | ·····  |  |   | 24 0.13%  | 47 0.13%  | ~ <del>/~~~~</del>                             | ~~~~ <del> </del> ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~         |   | 1 0.089   | % 0 0.08                   | 9% 2  | 0.12% 1              | 0.09%                         | 161            | (        |
| Other Current Liabilities (For Investments)           |  | 365 2.31  |  |  |  |  | 6.06 0.66%  |   |   | 8.10 0.3                                       |  |   | 16 2.459  |                            | · · · · · · · · · · · · · · · · · · ·   |                      | -                             | 662            | (        |
| Sub Total (   | B) 447 4.71%                             | -236 -1.49  | <b>%</b> 143 7.05%                                       | -6 -0.32%  | 233 5.65%  | 157 4.079                                      | % -25 -2.73%  | 388 2.07%   | 59 0.16%  | 21 0.7   | 5% -20 -0.99   | <b>%</b> 216 0.64%                                    | 40 5.989  | <b>%</b> -197 -40.07       | % 88  | 4.24% 152            | 22.68%                        | 1,459          |          |
| er Investments (>=75%)                                |  |   |  |  |  |  |   |   |   |  |  |   |   |                            |   |                      |                               |                |          |
| Corporate Bonds                                       | 35 0.36%                                 |   | 20 0.98%   | 18 1.02%   | 11 0.27%   | 21 0.539                                       | % 10 1.09%  |   |   | 21 0.7   |  |   |   |                            |   |                      | -                             | 135            |          |
| Infrastructure Bonds                                  |  |   |  |  |  |  |   |   |   |  |  |   |   |                            |   | -                    | -                             | -              |          |
| Other Equity  |  |   | 39 1.94%   |  | 156 3.79%  | 108 2.819                                      |   | 2234 11.91%   | 717 2.00%   |  |  |   | <u></u>   |                            | 23  | 1.11% -              | -                             | 6,334          |          |
| Other Mutual Funds                                    | -  |   |  |  | -  |  |   | 1728 9.22%  | 4833 13.44%   | ·{·······                                      |  |   | ·}·····   |                            | 266   | 12.75% -             | -                             | 9,377          |          |
| Venture Funds<br>Money Market                         |  |   |  |  |  |  |   |   |   |  |  |   |   |                            |   |                      | -                             | -              |          |
| NUCCOLL NUCCELOT                                      |  |   | - 1 - 1  | _ 8 _  | - 1 -  |  |   | - 1 - 1   | - } -   | - 1 -  | - 1 -  | 1 - 1 -   | 1 -   |                            |   | - 1 -                | - 1                           | _ 1            |          |

The aggregate of all the above Segregated Unit-Funds reconciles with item C of FORM 3A (Part A)
 Details of Item 12 of FORM LB 2 which forms part of IRDA (Acturial Report) Regulation, 2000 reconciles with FORM 3A (Part B).
 Other Investments' are as permitted under Sec 27A(2)
 Zero represents amount less than rupees one lac

Total (A) + (B) + (C)

FORM L-28-ULIP-NAV-3A

COMPANY NAME & CODE: EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)

STATEMENT AS ON : 31 March 2021

Link to FORM 3A (Part B)

PART - C

|     |                             |                                 |                |                | Assets Under                 |                    |                          |                     |                            |                            |                            |                  |                           |                      |
|-----|-----------------------------|---------------------------------|----------------|----------------|------------------------------|--------------------|--------------------------|---------------------|----------------------------|----------------------------|----------------------------|------------------|---------------------------|----------------------|
| No. | Fund Name                   | SFIN                            | Date of Launch | Par/Non<br>Par | Management on the above date | NAV as per<br>LB 2 | NAV as on the above date | Previous Qtr<br>NAV | 2nd<br>Previous Qtr<br>NAV | 3rd<br>Previous<br>Qtr NAV | 4th<br>Previous<br>Qtr NAV | Return/Yie<br>Id | 3 Year<br>Rolling<br>CAGR | Highest<br>NAV since |
|     |                             |                                 |                |                | (Rs in Lacs)                 |                    |                          |                     | IVAV                       | QUINAV                     | QII NAV                    |                  | CAGR                      | inception            |
| 1   | BOND FUND                   | ULIF00317/08/11BONDFUND147      | 28-Jul-11      | NON PAR        | 9486                         | 22.56              | 22.5625                  | 22.5490             | 21.9855                    | 21.7212                    | 20.8383                    | 8.27%            | 7.95%                     | 22.5852              |
| 2   | DISCONTINUANCE FUND         | ULIF00701/01/12DISCONT147       | 01-Jan-12      | NON PAR        | 15821                        | 18.18              | 18.1760                  | 18.1542             | 17.8081                    | 17.7590                    | 17.3707                    | 4.64%            | 5.70%                     | 18.1760              |
| 3   | GROUP BALANCER FUND         | ULGF00205/09/11GFBALANCER147    | 25-Jan-13      | NON PAR        | 2025                         | 21.77              | 21.7740                  | 21.5801             | 20.2385                    | 19.6947                    | 18.2773                    | 19.13%           | 7.97%                     | 21.8231              |
| 4   | GROUP BOND FUND             | ULGF00305/09/11GFBOND147        | 25-Jan-13      | NON PAR        | 1715                         | 20.24              | 20.2446                  | 20.4030             | 19.8615                    | 19.5639                    | 18.6489                    | 8.56%            | 8.11%                     | 20.4608              |
| 5   | GROUP GROWTH FUND           | ULGF00105/09/11GFGROWTH147      | 25-Jan-13      | NON PAR        | 4118                         | 24.13              | 24.1323                  | 23.5668             | 21.3961                    | 20.4963                    | 18.5805                    | 29.88%           | 9.13%                     | 24.4041              |
| 6   | MANAGED FUND                | ULIF00618/08/11MANAGED147       | 03-Aug-11      | NON PAR        | 3863                         | 26.90              | 26.9003                  | 26.5186             | 24.4256                    | 23.5163                    | 21.6056                    | 24.51%           | 9.38%                     | 27.1547              |
| 7   | PENSION SECURE FUND         | ULIF00931/03/15ETLIPNSSCR147    | 04-Feb-16      | NON PAR        | 918                          | 15.32              | 15.3239                  | 15.4128             | 14.8618                    | 14.6965                    | 14.0831                    | 8.81%            | 8.26%                     | 15.4505              |
| 8   | EQUITY MIDCAP FUND          | ULIF01107/10/16ETLIMIDCAP147    | 19-Jan-17      | NON PAR        | 18753                        | 16.03              | 16.0333                  | 14.3365             | 12.3621                    | 10.8763                    | 8.9599                     | 78.95%           | 9.39%                     | 16.5076              |
| 9   | EQUITY LARGE CAP FUND       | ULIF00118/08/11EQLARGECAP147    | 28-Jul-11      | NON PAR        | 35959                        | 33.51              | 33.5093                  | 31.7809             | 25.8174                    | 23.7670                    | 20.0121                    | 67.45%           | 9.55%                     | 34.8007              |
| 10  | PE BASED FUND               | ULIF00526/08/11PEBASED147       | 22-Jul-11      | NON PAR        | 2731                         | 27.97              | 27.9722                  | 27.0599             | 23.9844                    | 22.6247                    | 20.1965                    | 38.50%           | 8.71%                     | 28.5729              |
| 11  | PENSION GROWTH FUND         | ULIF00831/03/15ETLIPNSGRT147    | 04-Feb-16      | NON PAR        | 2040                         | 16.95              | 16.9543                  | 15.9629             | 13.2247                    | 12.1034                    | 10.2108                    | 66.04%           | 8.39%                     | 17.6806              |
| 12  | EQUITY TOP 250              | ULIF0027/07/11EQTOP250147       | 20-Jul-11      | NON PAR        | 33535                        | 33.26              | 33.2599                  | 31.0111             | 26.2762                    | 23.5603                    | 19.9339                    | 66.85%           | 10.20%                    | 34.3255              |
| 13  | MONEY MARKET FUND           | ULIF00425/08/11MONEYMARKET147   | 25-Aug-11      | NON PAR        | 665                          | 20.99              | 20.9888                  | 20.8090             | 20.5595                    | 20.3268                    | 19.7750                    | 6.14%            | 6.67%                     | 20.9933              |
| 14  | DISCONTINUED POLICY PENSION | ULIF01031/03/15ETLIPNSDSC147    | 04-Feb-16      | NON PAR        | 492                          | 13.09              | 13.0897                  | 13.1126             | 12.8509                    | 12.7854                    | 12.4725                    | 4.95%            | 5.89%                     | 13.1343              |
| 15  | GROUP MONEY MARKET FUND     | ULGF00405/09/11GFMONEYMARKET147 | 25-Jan-13      | NON PAR        | -                            | 10.00              | 10.0000                  | 10.0000             | 10.0000                    | 10.0000                    | 10.0000                    | NA               | NA                        | 10.0000              |
| 16  | EQUITY BLUE CHIP FUND       | ULIF01226/11/18ETLBLUCHIP147    | 31-May-19      | NON PAR        | 2088                         | 12.92              | 12.9197                  | 12.2599             | 9.8390                     | 9.0799                     | 7.6015                     | 69.96%           | NA                        | 13.4134              |
| 17  | GILT FUND                   | ULIF01326/11/18ETLGILTFND147    | 13-Jun-19      | NON PAR        | 670                          | 12.46              | 12.4635                  | 12.5409             | 12.2019                    | 12.1214                    | 11.5935                    | 7.50%            | NA                        | 12.5875              |

Note:

NA: It refers to Not Applicable, as the date of inception of the funds is less than 3 years.

# **CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-29 - Detail regarding debt securities (Non Ulip)
Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE CO. LTD

Date: 31 MARCH 2021

#### **Detail regarding Debt securities**

(₹ in Lakhs)

|                                       |                     | MARKET VA                       | ALLIE               |                                    |                     | BOOK                         | VALUE               | (* In Lakns)                 |
|---------------------------------------|---------------------|---------------------------------|---------------------|------------------------------------|---------------------|------------------------------|---------------------|------------------------------|
|                                       |                     | IVIARREI VA                     | ALUE                |                                    |                     | BOOK                         | VALUE               |                              |
|                                       | As at<br>31/03/2021 | As % of total<br>for this class | As at<br>31/03/2020 | As % of<br>total for<br>this class | As at<br>31/03/2021 | As % of total for this class | As at<br>31/03/2020 | As % of total for this class |
| Break down by credit rating           | _                   |                                 |                     |                                    |                     |                              |                     |                              |
| Sovereign                             | 1,64,913            | 65.22%                          | 1,30,605            | 62.04%                             | 1,57,344            | 64.95%                       | 1,19,716            | 59.13%                       |
| AAA rated                             | 50,671              | 20.04%                          | 54,879              | 26.07%                             | 45,369              | 18.73%                       | 53,628              | 26.49%                       |
| AA or better                          | -                   | -                               | 9,750               | 4.63%                              | -                   | -                            | 9,733               | 4.81%                        |
| Rated below AA but above A<br>A rated | 4,022               | 1.59%                           | 4,939<br>-          | 2.35%                              | 3,710               | 1.53%<br>-                   | 5,058<br>-          | 2.50%<br>-                   |
| Rated below B                         | 3,715               | 1.47%                           | 3,796               | 1.80%                              | 6,630               | 2.74%                        | 8,193               | 4.05%                        |
| Others                                |                     |                                 | -                   | _                                  |                     |                              | -                   |                              |
| MF/FD/OTHERS                          | 29,543              | 11.68%                          | 6,540               | 3.11%                              | 29,210              | 12.06%                       | 6,136               | 3.03%                        |
| A1+/P1+/PR1+/F1+                      | -                   | -                               | -                   | -                                  | -                   | -                            | -                   | -                            |
| Total                                 | 252864              | 100.00%                         | 210511              | 100.00%                            | 242263              | 100.00%                      | 202464              | 100.00%                      |
| Breakdown by residual maturity        |                     |                                 |                     |                                    |                     |                              |                     |                              |
| Up to 1 year                          | 11,557              | 4.57%                           | 11,058              | 5.25%                              | 13506               | 5.57%                        | 11,049              | 5.46%                        |
| more than 1 year and up to 3years     | 4,641               | 1.84%                           | 6,251               | 2.97%                              | 5247                | 2.17%                        | 9,752               | 4.82%                        |
| More than 3 years and up to 7years    | 35,765              |                                 | 26,642              | 12.66%                             | 34994               |                              | 27,380              | 13.52%                       |
| More than 7 years and up to 10 years  | 23,151              | 9.16%                           | 16,783              | 7.97%                              | 21571               |                              | 16,268              | 8.03%                        |
| More than 10 years and up to 15 years | 7,718               | 3.05%                           | 3,641               | 1.73%                              | 7340                |                              | 3,367               | 1.66%                        |
| More than 15 years and up to 20 years | 11,147              | 4.41%                           | 4,043               | 1.92%                              | 11108               |                              | 3,848               | 1.90%                        |
| Above 20 years                        | 1,58,886            |                                 | 1,42,094            | 67.50%                             | 148497              |                              | 1,30,801            | 64.60%                       |
| Total                                 | 252864              | 100.00%                         | 210511              | 100.00%                            | 242263              | 100.00%                      | 202464              | 100.00%                      |
| Breakdown by type of the issurer      |                     |                                 |                     |                                    |                     |                              |                     |                              |
| Central Government                    | 161157              | 63.73%                          | 1,26,974            | 60.32%                             | 1,53,676            | 63.43%                       | 1,16,049            | 57.32%                       |
| State Government                      | 4787                | 1.89%                           | 5,754               | 2.73%                              | 4,595               | 1.90%                        | 5,633               | 2.78%                        |
| Corporate Securities                  | 41712               | 16.50%                          | 36,513              | 17.34%                             | 42,233              | 17.43%                       | 39,820              | 19.67%                       |
| MF/REV REPO/TREP/FD/OTHERS            | 45209               | 17.88%                          | 41,269              | 19.60%                             | 41,758              | 17.24%                       | 40,962              | 20.23%                       |
| Others                                | -                   | -                               | -                   | -                                  | -                   | -                            | -                   | -                            |
| Total                                 | 252864              | 100.00%                         | 210511              | 100.00%                            | 242263              | 100.00%                      | 202464              | 100.00%                      |

#### Note:

<sup>1.</sup> In case of a debt instrument is rated by more than one agency, then the lowest rating has been taken for the purpose of classification.

<sup>2.</sup> Market value of the securities is in accordnace with the valuation method specified by the Authority under Accounting/ Investment regulations.

<sup>3.</sup> Figures comprises of aggregate of Life Fund and Fund Beyond Solvency Margin

#### FORM L-29- Details regarding debt securities (Pension)

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE CO. LTD** 

# **Detail regarding Debt securities**

Date: 31 MARCH 2021

|                                       | Detail regarding Dest securities |                              |                     |                              |                            |                              |                     |                              |
|---------------------------------------|----------------------------------|------------------------------|---------------------|------------------------------|----------------------------|------------------------------|---------------------|------------------------------|
|                                       | MARKET VALUE                     |                              |                     |                              | (₹ in Lakhs)<br>BOOK VALUE |                              |                     |                              |
|                                       | As at<br>31/03/2021              | As % of total for this class | As at<br>31/03/2020 | As % of total for this class | As at<br>31/03/2021        | As % of total for this class | As at<br>31/03/2020 | As % of total for this class |
| Break down by credit rating           |                                  |                              |                     |                              |                            |                              |                     |                              |
| Sovereign                             | 19565                            | 72.44%                       | 18,855              | 79.73%                       | 17872                      | 72.10%                       | 16,969              | 78.30%                       |
| AAA rated                             | 6772                             | 25.08%                       | 3,827               | 16.18%                       | 6244                       | 25.19%                       | 3,738               | 17.24%                       |
| AA or better                          | -                                | -                            | 967                 | 4.09%                        | -                          | -                            | 967                 | 4.46%                        |
| Rated below AA but above A            | -                                | -                            | 0                   |                              | -                          | -                            | C                   | -                            |
| A rated                               | -                                | -                            | 0                   |                              | -                          | -                            | C                   | -                            |
| Rated below B                         | -                                |                              |                     |                              | -                          |                              |                     |                              |
| Others                                |                                  |                              | 0                   |                              |                            |                              | C                   |                              |
| MF/FD/OTHERS                          | 670                              | 2.48%                        | 0                   | -                            | 670                        | 2.70%                        | C                   | _                            |
| A1+/P1+/PR1+/F1+                      | -                                |                              | 0                   |                              | _                          |                              | C                   |                              |
| Total                                 | 27007                            | 100.00%                      | 23649               |                              | 24786                      | 100.00%                      | 21674               | 100.00%                      |
| Breakdown by residual maturity        |                                  |                              |                     |                              |                            |                              |                     |                              |
| Up to 1 year                          | 525                              | 1.94%                        | 496                 | 2.10%                        | 488                        | 1.97%                        | 495                 | 2.28%                        |
| more than 1 year and up to 3years     | 3529                             | 13.07%                       | 1,228               | 5.19%                        | 3456                       | 13.94%                       | 1,237               | 5.71%                        |
| More than 3 years and up to 7years    | 2130                             | 7.89%                        | 1,605               | 6.79%                        | 2050                       | 8.27%                        | 1,551               | 7.15%                        |
| More than 7 years and up to 10 years  | 224                              | 0.83%                        | 714                 | 3.02%                        | 203                        | 0.82%                        | 703                 | 3.25%                        |
| More than 10 years and up to 15 years | 136                              | 0.50%                        | -                   | -                            | 126                        | 0.01                         | -                   | -                            |
| More than 15 years and up to 20 years | 178                              | 0.66%                        | 85                  | 0.36%                        | 172                        | 0.70%                        | 83                  | 0.38%                        |
| Above 20 years                        | 20286                            | 75.11%                       | 19,522              | 82.55%                       | 18290                      | 73.79%                       | 17,606              | 81.23%                       |
| Total                                 | 27007                            | 100.00%                      | 23649               | 100.00%                      | 24786                      | 100.00%                      | 21674               | 100.00%                      |
| Breakdown by type of the issurer      |                                  |                              |                     |                              |                            |                              |                     |                              |
| Central Government                    | 18864                            | 69.85%                       | 17,689              | 74.80%                       | 17184                      | 69.33%                       | 15,789              | 72.85%                       |
| State Government                      | 815                              | 3.02%                        | 1,278               | 5.40%                        | 790                        | 3.19%                        | 1,284               | 5.92%                        |
| Corporate Securities                  | 5285                             | 19.57%                       | 3,842               | 16.24%                       | 5110                       | 20.62%                       | 3,773               | 17.41%                       |
| MF/REV REPO/TREP/FD/OTHERS            | 2043                             | 7.57%                        | 841                 | 3.56%                        | 1702                       | 6.86%                        | 829                 | 3.82%                        |
| Others                                | -                                | -                            | -                   | -                            | -                          | -                            | -                   | -                            |
| Total                                 | 27007                            | 100.00%                      | 23649               | 100.00%                      | 24786                      | 100.00%                      | 21674               | 100.00%                      |

#### Note

<sup>1.</sup> In case of a debt instrument is rated by more than one agency, then the lowest rating has been taken for the purpose of classification.

<sup>2.</sup> Market value of the securities is in accordnace with the valuation method specified by the Authority under Accounting/ Investment regulations.

FORM L-29- Details regarding debt securities (Ulip)

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE CO. LTD** 

# **Detail regarding Debt securities**

(₹ in Lakhs)

Date: 31 MARCH 2021

|                                       |                     |                              |                     |                              |                     |                              |                     | (₹ in Lakhs)                 |
|---------------------------------------|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|---------------------|------------------------------|
|                                       |                     | MARKET                       | VALUE               |                              | BOOK VALUE          |                              |                     |                              |
|                                       | As at<br>31/03/2021 | As % of total for this class | As at<br>31/03/2020 | As % of total for this class | As at<br>31/03/2021 | As % of total for this class | As at<br>31/03/2020 | As % of total for this class |
| Break down by credit rating           | _                   |                              |                     |                              |                     |                              |                     |                              |
| Sovereign                             | 27708               | 72.85%                       | 13,874              | 50.34%                       | 27711               | 72.32%                       | 13,751              | 46.51%                       |
| AAA rated                             | 10133               | 26.64%                       | 8,839               | 32.07%                       | 9998                | 26.09%                       | 8,590               | 29.05%                       |
| AA or better                          | -                   | -                            | 163                 | 0.59%                        | -                   | -                            | 163                 | 0.55%                        |
| Rated below AA but above A            | -                   | -                            | 2                   | 0.01%                        | -                   | -                            | 2                   | 0.01%                        |
| A rated                               | -                   | -                            | C                   | ) -                          | -                   | -                            | C                   | -                            |
| Rated below B                         | 135                 | 0.35%                        | 135                 | 0.49%                        | 547                 | 1.43%                        | 547                 | 1.85%                        |
| Others                                |                     |                              |                     |                              |                     |                              |                     |                              |
| MF/FD/OTHERS                          | 60                  | 0.16%                        | 4,549               | 16.50%                       | 60                  | 0.16%                        | 6,516               | 22.04%                       |
| A1+/P1+/PR1+/F1+                      | -                   | -                            | -                   | -                            | -                   | -                            | -                   | -                            |
| Total                                 | 38035               | 100.00%                      | 27562               | 2 100.00%                    | 38316               | 100.00%                      | 29570               | 100.00%                      |
| Breakdown by residual maturity        |                     |                              |                     |                              |                     |                              |                     |                              |
| Up to 1 year                          | 6349                | 16.69%                       | 7,178               | 26.04%                       | 6344                | 16.56%                       | 9,141               | 30.91%                       |
| more than 1 year and up to 3years     | 23074               | 60.67%                       | 5,043               | 18.30%                       | 23362               | 60.97%                       | 4,977               | 16.83%                       |
| More than 3 years and up to 7years    | 5598                | 14.72%                       | 9,589               | 34.79%                       | 5561                | 14.51%                       | 9,801               | 33.15%                       |
| More than 7 years and up to 10 years  | 113                 | 0.30%                        | 1,031               | 3.74%                        | 111                 | 0.29%                        | 986                 | 3.34%                        |
| More than 10 years and up to 15 years | 2770                | 0.07                         | 3,086               | 11.20%                       | 2808                | 0.07                         | 3,042               | 10.29%                       |
| More than 15 years and up to 20 years | -                   | -                            | 26                  | 0.00                         | -                   | -                            | 25                  | 0.00                         |
| Above 20 years                        | 130                 |                              | 1,609               | 5.84%                        | 129                 |                              | 1,596               |                              |
| Total                                 | 38035               | 100.00%                      | 27562               | 100.00%                      | 38316               | 100.00%                      | 29570               | 100.00%                      |
| Breakdown by type of the issurer      |                     |                              |                     |                              |                     |                              |                     |                              |
| Central Government                    | 17148               |                              | 11,165              |                              | 17195               |                              | 11,067              | 37.43%                       |
| State Government                      | 10664               |                              | 3,227               | 11.71%                       | 10620               |                              | 3,186               |                              |
| Corporate Securities                  | 5590                |                              | 7,535               | 27.34%                       | 5869                |                              | 7,714               |                              |
| MF/REV REPO/TREP/FD/OTHERS            | 4633                | 12.18%                       | 5,635               | 20.45%                       | 4633                | 12.09%                       | 7,602               | 25.71%                       |
| Others                                | -                   | -                            | -                   | -                            | -                   | -                            | -                   | -                            |
| Total                                 | 38035               | 100.00%                      | 27562               | 100.00%                      | 38316               | 100.00%                      | 29570               | 100.00%                      |

#### Note:

accordnace with the valuation method

specified by the Authority under Accounting/

Investment regulations.

In case of a debt instrument is rated by more than one agency, then the lowest rating has been taken for the purpose of classification.
 Market value of the securities is in

# FORM L-30 : Related Party Transactions

Name of the Insurer: Edelweiss Tokio Life Insurance Company Limited

Registration Number: 147 dated 10 May 2011

(₹' in Lakhs) For the quarter ending For the period ending For the quarter ending For the period ending Sr. No Name of the Related Party Nature of Relationship with the Company **Description of Transactions / Categories** 31st March 2020 31st March 2021 31st March 2021 31st March 2020 (337.46)(263.02)(883.51)Reimbursement of Cost of premium towards Group Term (16.14)294.35 294.35 308.96 308.96 Premium collected for group insurance policy (120.00)(300.00)(250.10)(250.10)Royaltee Fees ESOP Charges (134.66)(223.05)(40.87)(68.81)(25.18)(57.01)(8.98)Edelweiss Financial Service Limited Holding Company Information Technology Cost (8.98)1 Staff Facility Charges -0.89 (5.24)(5.24)(24.83)(24.83)Training Expenses Advertisement and Promotions (26.77)(26.77)(21.57)(21.57)(103.46)(69.50)(69.50)(103.46)Professional expenses (14.05)(55.72)13.27 37.56 Brokerage Paid Staff Facility Charges (0.08)(0.45)Edelweiss Securities Limited 2 **Fellow Subsidiary** Information Technology Cost 0.05 (0.40)(1.71)(1.71)Share of Gratuity for transferred employees (8.95)(8.95)Interest on Non-Convertible Debentures (NCD) 0.05 0.21 Purchase of Securities (985.61)(998.25)(2,756.47)5,074.92 Sale of Securities 1,141.68 2,850.36 (8.25)(0.51)(15.54)Business centre Charges 30.29 15.92 77.37 267.97 ECL Finance Limited Fellow Subsidiary Premium collected for group insurance policy (37.28)(98.34)(5.89)(32.39)Claims paid to Group Policyholders (1.47)(1.47)Information Technology Cost 0.08 0.08 Share of Gratuity for transferred employees 1.52 Redemption of Securities Edelweiss Broking Limited Insurance Commission paid as Corporate Agent (285.88)(715.29)(103.21)(369.19)Fellow Subsidiary Brokerage Paid (4.35)(27.17)(5.36)(20.59)255.43 76.74 309.99 71.33 Interest on Non-Convertible Debentures (NCD) 23.53 34.72 -0.01 3.89 Premium collected for group insurance policy 5 Edelweiss Retail Finance Limited Fellow Subsidiary 433.83 433.83 Redemption of Securities (16.24)(2.20)(20.57)Claims paid to Group Policyholders 120.52 Edelweiss Finance & Investments Limited **Fellow Subsidiary** Interest on Non-Convertible Debentures (NCD) -(250.27)(1,067.92)(385.37)(1,023.05)Office Rent, Business Centre Charges and Facility Charges (884.81)Purchase of Securities Ecap Equities Limited 729.91 Sale of Securities Fellow Subsidiary 2.13 2.13 Information Technology Cost Recovery Information Technology Cost (1.88)(2.86)Edelweiss Asset Reconcstruction Limited **Fellow Subsidiary** Interest on Non-Convertible Debentures (NCD) 79.52 322.50 573.87 Premium collected for group insurance policy 314.06 421.19 188.45 Claims paid to Group Policyholders (429.36)(1,061.80) (163.38)(773.97)Fellow Subsidiary 9 Edelweiss Housing Finance Limited Share of Gratuity for transferred employees (0.40)(0.40)6.36 25.78 Interest on Non-Convertible Debentures (NCD) 6.42 15.43 Purchase of Securities (41.91)(1.56)(1.56)Property Insurance -Edelweiss General Insurance Company Limited Fellow Subsidiary Sale of Securities 960.31 2,055.12 10 Share of Gratuity for transferred employees (2.10)(2.10)(5.95)(5.95)Reimbursement of Cost of premium towards Group Term (178.82)(521.56)--(308.07) Purchase of Securities ---Sale of Securities 250.25 45.62 46.00 Interest on Non-Convertible Debentures (NCD) 184.63 185.37 Edelweiss House Space & Facility Charges (0.37)(0.42)2.60 2.60 9.93 9.93 Share of Gratuity for transferred employees (1.02)(1.02)(7.60) Advertisement and Promotions (35.06)(39.54)(39.54)Edelweiss Rural & Corporate Services Limited Fellow Subsidiary 11 Redemption of Securities 919.27 Professional expenses (21.55)(233.65)(148.02)(148.02)Training Expenses (18.39)(18.39)(94.19)(94.19)Other general expenses (16.71)(16.71)(262.42) Information Technology Cost (74.66)(204.84)(262.42)Edel Land Limited **Fellow Subsidiary** (47.46)(49.45)(4.24)(18.74)12 Training Expenses Managerial Remuneration (265.14)(614.81)(127.93)(465.14)**13** Key Managerial Personnel Key Managerial Personnel Insurance Premium 2.50 2.50

#### FORM - L-31

#### LNL - 6: Board of Directors & Key Persons

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED** 

Registration Number: 147 dated 10 May 2011

### **Board of Directors and Key Management Person (KMP) information**

Date: 31 MARCH 2021

| Sr. No. | Name of Directors          | Role/designation                  | Details of change in the period                        |
|---------|----------------------------|-----------------------------------|--|
| 1       | Mr. Rashesh Shah           | Chairman                          | -  |
| 2       | Mr. Rujan Panjwani         | Vice - Chairman                   | -  |
| 3       | Mr. Sumit Rai              | Managing Director & CEO           | -  |
| 4       | Mr. Subhrajit Mukhopadhyay | Executive Director                | -  |
| 5       | Mr. Deepak Mittal          | Director                          | -  |
| 6       | Mr. Ian Brimecome          | Director                          | -  |
| 7       | Ms. Kamala K.              | Director                          | -  |
| 8       | Mr. Kunnasagaran Chinniah  | Independent Director              | -  |
| 9       | Mr. Loo Chuan Tang         | Director                          | -  |
| 10      | Mr. Navtej S. Nandra       | Independent Director              | -  |
| 11      | Mr. P. N. Venkatachalam    | Independent Director              | -  |
| 12      | Mr. Saloon Tham            | Director                          | -  |
| 13      | Mr. Trevor Matthews        | Director                          | -  |
| Sr. No. | Name of KMPs               | Role/designation                  | Details of change in the period                        |
| 1       | Mr. Sumit Rai              | Managing Director & CEO           | -  |
| 2       | Mr. Subhrajit Mukhopadhyay | Executive Director                | -  |
| 3       | Mr. Vikas Bansal           | Chief Human Resource Officer      | <del>-</del>   |
| 4       | Mr. Abhishek Gupta         | Chief Marketing Officer           | -  |
| 5       | Mr. Nirmal Nogaja          | Appointed Actuary                 | -  |
| 6       | Mr. Ankur Chadha           | Chief Compliance Officer          | -  |
| 7       | Mr. Ritesh Taksali         | Chief Investment Officer (Equity) | -  |
| 8       | Mr. Ashish Kela            | Chief Investment Officer (Debt)   | -  |
| 9       | Mr. Kumar Saurav           | Chief Risk Officer                | -  |
| 10      | Mr. Sharad Maheshwari      | Interim Chief Financial Officer   | Appointed as Interim CFO & KMP w.e.f. January 27, 2021 |
| 11      | Mr. Swadesh Agrawal        | Company Secretary                 | -  |

Key Management Person(KMP) as defined in Guidelines for Corporate Governance for Insurers in India dated 18 May, 2016 (Circular Ref: IRDA/F&A/GDL/CG/100/05/2016)

#### FORM L-32-SOLVENCY MARGIN - KT 3

#### AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO.

Insurance Regulatory and Development Authority of India (Actuarial Report and Abstract for Life Insurance Business) Regulations, 2016.

Date: 31 MARCH 2021

#### **AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO.**

Form Code: KT3

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE CO. LTD. Registration Number: 147

Classification: Business within India

(₹ in Lacs)

| Item | Description                              | Adjusted Value |
|------|--|----------------|
| 01   | Available Assets in Policyholders' Fund: | 4,03,499       |
|      | Deduct:                                  | , ,            |
| 02   | Mathematical Reserves                    | 4,00,387       |
| 03   | Other Liabilities                        | -              |
| 04   | Excess in Policyholders' funds           | 3,111          |
| 05   | Available Assets in Shareholders Fund:   | 30,437         |
|      | Deduct:                                  |                |
| 06   | Other Liabilities of shareholders' fund  | -              |
| 07   | Excess in Shareholders' funds            | 30,437         |
| 08   | Total ASM (04)+(07)                      | 33,548         |
| 09   | Total RSM                                | 15,617         |
| 10   | Solvency Ratio (ASM/RSM)                 | 215%           |

#### Certification:

I, Nirmal Anil Nogaja, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of Insurance Laws (Amendment) Act 2015 and the amounts mentioned therein are true and fair to the best of my knowledge.

Nirmal Anil Nogaja **Appointed Actuary** 

Sumit Rai

MD & C.E.O.

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COMPANY NAME & CODE: Edelweiss Tokio Life Insurance Company Limited - 147 STATEMENT AS ON : 31 MARCH 2021

**DETAILS OF NON-PERFORMING ASSETS - QUARTERLY** 

Name of the fund: Life Fund

(₹ In Lacs)

| No | Description  | Bonds/ De      | bentures      | Loa            | ans           | Other debt     | Instruments      | All Othe       | er Assets        | Tot            | tal           |
|----|--|----------------|---------------|----------------|---------------|----------------|------------------|----------------|------------------|----------------|---------------|
|    |  | YTD as on date | Prev FY as on | YTD as on date | Prev FY as on | YTD as on date | Prev FY as on 31 | YTD as on date | Prev FY as on 31 | YTD as on date | Prev FY as on |
|    |  |                | 31 Mar 20     |                | 31 Mar 20     |                | Mar 20           |                | Mar 20           |                | 31 Mar 20     |
| 1  | Investment Assets (As per form 3A/3B - Total Fund) | 42233          | 39820         | -              | -             | 31150          | 30105            | 185287         | 146224           | 258670         | 216149        |
|    |  |                |               |                |               |                |                  |                |                  |                |               |
| 2  | Gross NPA  | 6631           | 1186          | -              | 1             | -              | -                | -              | -                | 6631           | 1186          |
| 3  | % of Gross NPA on Investment assets (2/1)          | 15.70%         | 2.98%         | -              | ı             | ı              | -                | -              | -                | 2.56%          | 0.55%         |
| 4  | Provision made on NPA                              | 4145           | 889           | -              | -             | -              | -                | -              | -                | 4145           | 889           |
| 5  | Provision as a % of NPA (4/2)                      | 62.51%         | 75.00%        | -              | 1             | -              | -                | -              | -                | 62.51%         | 75.00%        |
| 6  | Provision on standard assets                       | -              | -             | -              | 1             | -              | -                | -              | -                | -              | -             |
| 7  | Net Investment Assets (1-4)                        | 38089          | 38931         | -              | 1             | 31150          | 30105            | 185287         | 146224           | 254525         | 215260        |
| 8  | Net NPA (2-4)                                      | 2486           | 296           | -              | 1             | -              | -                | -              | -                | 2486           | 296           |
| 9  | % of Net NPA to Net Invetsment Assets (8/7)        | 6.53%          | 0.76%         | -              | -             | -              | -                | -              | -                | 0.98%          | 0.14%         |
| 10 | Write off made during the period                   | -              | -             | -              | -             | -              | -                | -              | -                | -              | -             |

Note: Life fund includes Fund Beyond Solvency Margin for the purpose of this disclosure.

Note: Investment Assets includes exposure to NCD's of

DHFL Rs.8.60 Crs (FV)excludes of Rs. 9.25 Crs which is matured and accounted as Investment receivable and Rel Infra is at Rs. 70 Crs.(FV).

Provision of DHFL is 75% amounting to Rs. 6.45 Crs which exlcudes provision made on matured security of Rs. 6.93 Crs. and Provision of Rel Infra is 50% amounting to Rs. 35 Crs.

#### CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various instruments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

#### Note:

- 1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund
- 2. Investment Assets reconciles with figures shown in Schedule 8, 8A, 8B & 9 of the Balance Sheet
- 3. Gross NPA is investments classified as NPA, before any provisions
- 4. Provision made on the 'Standard Assets' is as per Circular: 32/2/F&A/Circulars/ 169/Jan/2006-07 as amended from time to time.
- 5. Net Investment assets is net of 'provisions'
- 6. Net NPA is gross NPAs less provisions
- 7. Write off (if any) as approved by the Board
- 8. Security Receipts in ARC(Asset Recocntruction Companies) governed under the SARFEASI Act are included in Other Debt instruments

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COMPANY NAME & CODE: Edelweiss Tokio Life Insurance Company Limited - 147

STATEMENT AS ON : 31 MARCH 2021

**DETAILS OF NON-PERFORMING ASSETS - QUARTERLY** 

Name of Fund: Pension and General Annuity Fund

(₹ In Lacs)

| No | Description   | Bonds/ D       | ebentures        | Lo             | ans           | Other debt I   | nstruments    | All Othe       | r Assets         | To             | otal             |
|----|---|----------------|------------------|----------------|---------------|----------------|---------------|----------------|------------------|----------------|------------------|
|    |   | YTD as on date | Prev FY as on 31 | YTD as on date | Prev FY as on | YTD as on date | Prev FY as on | YTD as on date | Prev FY as on 31 | YTD as on date | Prev FY as on 31 |
|    |   |                | Mar 20           |                | 31 Mar 20     |                | 31 Mar 20     |                | Mar 20           |                | Mar 20           |
| 1  | Investment Assets (As per form 3A/ 3B - Total Fund) | 5110           | 3773             | -              | -             | 670            | 330           | 20378          | 18257            | 26158          | 22360            |
|    |   |                |                  |                |               |                |               |                |                  |                |                  |
| 2  | Gross NPA   | -              | -                | -              | -             | -              | -             | -              | -                | -              | -                |
| 3  | % of Gross NPA on Investment assets (2/1)           | -              | -                | -              | 1             | -              | ı             | -              | -                | -              | -                |
| 4  | Provision made on NPA                               | -              | -                | -              | -             | -              | -             | -              | -                | -              | -                |
| 5  | Provision as a % of NPA (4/2)                       | -              | -                | -              | •             | -              | 1             | -              | -                | -              | -                |
| 6  | Provision on standard assets                        | -              | -                | -              | •             | -              | 1             | -              | -                | -              | -                |
| 7  | Net Investment Assets (1-4)                         | 5110           | 3773             | -              | •             | 670            | 330           | 20378          | 18257            | 26158          | 22360            |
| 8  | Net NPA (2-4)                                       | -              | -                | -              | •             | -              | 1             | -              | -                | -              | -                |
| 9  | % of Net NPA to Net Invetsment Assets (8/7)         | -              | -                | -              | -             | -              | -             | -              | -                | -              | -                |
| 10 | Write off made during the period                    | -              | -                | -              |               | -              | -             | -              | -                | -              | -                |

#### **CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various instruments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

#### Note:

- 1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund
- 2. Investment Assets reconciles with figures shown in Schedule 8, 8A, 8B & 9 of the Balance Sheet
- 3. Gross NPA is investments classified as NPA, before any provisions
- 4. Provision made on the 'Standard Assets' is as per Circular: 32/2/F&A/Circulars/ 169/Jan/2006-07 as amended from time to time.
- 5. Net Investment assets is net of 'provisions'
- 6. Net NPA is gross NPAs less provisions
- 7. Write off (if any) as approved by the Board
- 8. Security Receipts in ARC(Asset Recocntruction Companies) governed under the SARFEASI Act are included in Other Debt instruments

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COMPANY NAME & CODE: Edelweiss Tokio Life Insurance Company Limited - 147

STATEMENT AS ON : 31 MARCH 2021

**DETAILS OF NON-PERFORMING ASSETS - QUARTERLY** 

(₹ In Lacs)

| No | Description  | Bonds/ De      | bentures      | Lo             | ans              | Other debt     | Instruments      | All Othe       | r Assets         | To             | tal           |
|----|--|----------------|---------------|----------------|------------------|----------------|------------------|----------------|------------------|----------------|---------------|
|    |  | YTD as on date | Prev FY as on | YTD as on date | Prev FY as on 31 | YTD as on date | Prev FY as on 31 | YTD as on date | Prev FY as on 31 | YTD as on date | Prev FY as on |
|    |  |                | 31 Mar 20     |                | Mar 20           |                | Mar 20           |                | Mar 20           |                | 31 Mar 20     |
| 1  | Investment Assets (As per form 3A/3B - Total Fund) | 5590           | 7535          | -              | -                | 60             | 146              | 129230         | 66487            | 134880         | 74168         |
|    |  |                |               |                |                  |                |                  |                |                  |                |               |
| 2  | Gross NPA  | 540            | 540           | 1              | -                | ı              | -                | 137            | 137              | 676            | 676           |
| 3  | % of Gross NPA on Investment assets (2/1)          | 9.65%          | 7.16%         | 1              | -                | ı              | -                | 0.11%          | 0.21%            | 0.50%          | 0.91%         |
| 4  | Provision made on NPA                              | 405            | 405           | -              | -                | -              | -                | 102            | 102              | 507            | 507           |
| 5  | Provision as a % of NPA (4/2)                      | 75.00%         | 75.00%        | -              | -                | -              | -                | 75.00%         | 75.00%           | 75.00%         | 75.00%        |
| 6  | Provision on standard assets                       | -              | -             | -              | -                | -              | -                | -              | -                | -              | -             |
| 7  | Net Investment Assets (1-4)                        | 5185           | 7130          | -              | -                | 60.00          | 146.00           | 129128         | 66384            | 134373         | 73661         |
| 8  | Net NPA (2-4)                                      | 135            | 135           | -              | -                | -              | -                | 34             | 34               | 169            | 169           |
| 9  | % of Net NPA to Net Invetsment Assets (8/7)        | 2.60%          | 1.89%         | -              | -                | -              | -                | 0.03%          | 0.05%            | 0.13%          | 0.23%         |
| 10 | Write off made during the period                   | -              | -             | -              | -                | -              | -                | -              | -                | -              | -             |

Name of Fund: Linked Fund

Note: Investment Assets includes exposure to NCD's of

DHFL Rs.6.76 Crs (FV) included in NPA, Provision 75% amounting to Rs. 5.07 Crs has been provided (of this 1.37 Crs matured and accounted as investment receivable)

Note:

#### **CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge. Also certified that the various instruments made and covered in the return are within the exhaustive categories provided in Investment Guidelines as amended from time to time.

#### Note:

- 1. The above statement, in the case of 'Life' Insurers is prepared 'fund-wise' Viz. Life Fund, Pension & General Annuity and Group Business and ULIP Fund
- 2. Investment Assets reconciles with figures shown in Schedule 8, 8A, 8B & 9 of the Balance Sheet
- 3. Gross NPA is investments classified as NPA, before any provisions
- 4. Provision made on the 'Standard Assets' is as per Circular: 32/2/F&A/Circulars/ 169/Jan/2006-07 as amended from time to time.
- 5. Net Investment assets is net of 'provisions'
- 6. Net NPA is gross NPAs less provisions
- 7. Write off (if any) as approved by the Board
- 8. Security Receipts in ARC(Asset Recocntruction Companies) governed under the SARFEASI Act are included in Other Debt instruments

FORM L-34-YIELD ON INVESTMENTS COMPANY NAME & CODE: EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147) Periodicity of Submission: Quarterly STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT Statement As on: 31 MARCH 2021

## NAME OF THE FUND: LIFE FUND

| 10. | CATEGORY OF INVESTMENT  | GROUP CODE   | Investment*            | Current Quarter |                      |                 | Investment*  | Year to Date (Cu |                  | NET VIELD                 | Investment*     | Year to Date (Previous Year) OME ON INVESTMENT |                      |         |
|-----|---|--------------|------------------------|-----------------|----------------------|-----------------|--------------|------------------|------------------|---------------------------|-----------------|--|----------------------|---------|
|     |   |              |                        | STMENT (Rs.)    | GROSS YIELD          | NET YIELD       |              | STMENT (Rs.)     | ROSS YIELD       | NET YIELD                 |                 | (Rs.)  | GROSS YIELD          | NET YIE |
|     | CENTRAL GOVERNMENT SECURITIES  Central Govt. Securities, Central Govt. Guaranteed Bonds                             | CGSB         | 150181                 | 2996            | 2.00%                | 2.00%           | 131135       | 11929            | 9.10%            | 9.10%                     | 114280          | 10702  | 9.36%                |         |
|     | Deposits under section 7 of Insurance Act 1938 Treasury Bills   | CDSS<br>CTRB | -                      | <del>-</del>    | -                    | -<br>-          | -            | -                | -                | -                         | -<br>588.49     | -<br>34.92                                     | -<br>5.93%           |         |
|     | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES   |              |                        |                 |                      |                 |              |                  |                  |                           |                 |  |                      |         |
|     | Other Approved Securities (excluding Infrastructure Investments)  | SGOA         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | State Government Bonds  | SGGB         | 3668                   | 65              | 1.78%                | 1.78%           | 3667         | 261              | 7.13%            | 7.13%                     | 376             | 16   | 4.37%                |         |
|     | Central Government Guaranteed Loans / Bonds   | CGSL         | 1568                   | -1              | -0.09%               | -0.09%          | 1860         | 226              | 12.17%           | 12.17%                    | 3582            | 627  | 17.50%               |         |
|     | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING  |              |                        |                 |                      |                 |              |                  |                  |                           |                 |  |                      |         |
|     | EQUIPMENT  TAXABLE BONDS OF   |              |                        |                 |                      |                 |              |                  |                  |                           |                 |  |                      |         |
| L   | Bonds / Debentures issued by NHB/Institution accredited by NHB Bonds / Debentures issued by HUDCO                   | HTDN<br>HTHD | 5380<br>-              | 110<br>-        | 2.04%                | 2.04%           | 6579<br>-    | 557<br>-         | 8.46%<br>-       | 8.46%                     | 10508<br>129.97 | 1074<br>0.03                                   | 10.22%<br>0.03%      |         |
|     | Commercial Papers - NHB / Institution accredited by NHB   | HTLN         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | TAX FREE BONDS  Bonds / Debentures issued by HUDCO  | HFHD         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | INFRASTRUCTURE INVESTMENTS Infrastructure - PSU - Equity Shares - Quoted  | ITPE         | 374                    | 2               | 0.65%                | 0.65%           | 269          | 3                | 1.09%            | 1.09%                     | 273             | -31  | -11.34%              |         |
| 2   | Infrastructure - Corporate Securities - Equity Shares - Quoted  | ITCE         | 273                    | 12              | 4.39%                | 4.39%           | 189          | -14              | -7.22%           | -7.22%                    | 148             | 39   | 26.10%               |         |
|     | TAXABLE BONDS OF  Infrastructure - PSU - Debentures / Bonds   | IPTD         | 18,268                 | 350             | 1.92%                | 1.92%           | 15,489       | 1,214            | 7.84%            | 7.84%                     | 5,343           | 371  | 6.94%                |         |
|     | INFRASTRUCTURE - OTHER CORPORATE SECURITIES - DEBENTURES/<br>BONDS  | ICTD         | -                      | -               | -                    | -               | -            | -                | -                | -                         | 1,000           | 98   | 9.79%                |         |
| )   | Infrastructure - Equity and Equity Related Instruments (Promoter Group)   | IEPG         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | Infrastructure - Debentures / Bonds / CPs / Ioans - (Promoter Group)  | IDPG         | -<br>C 430             | -               | 0.000/               | - 0.000/        | - 6 050      | -0               | - 0.000/         | - 0.000/                  | 7 000           | -  | - 2001               |         |
|     | Infrastructure - Debentures / Bonds / CPs / Ioans   | IODS         | 6,430<br>-             | -0<br>-         | 0.00%                | 0.00%           | 6,859<br>-   | -U<br>-          | 0.00%            | 0.00%                     | 7,000           | 585<br>-                                       | 8.36%<br>-           |         |
|     | Infrastructure - Other Corporate Securities - CPs   | IOPE         | _                      | -               | -<br>-               | -               | -            | -<br>-           | -                | _                         | <del>-</del>    | _  | -                    |         |
|     | Infrastructure - Other Corporate Securities - CPs Infrastructure - PSU - CPs  | ICCP<br>IPCP | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | Infrastructure - PSO - CPS  Infrastructure - Securitised Assets   | IESA         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | Infrastructure - Infrastructure Development Fund (IDF)  | IDDF         | 500                    | 10              | 2.02%                | 2.02%           | 500          | 41               | 8.17%            | 8.17%                     | 500             | 41   | 8.21%                |         |
|     | INFRASTRUCTURE OTHER- EQUITY  | IOEQ         | 206                    | -13             | (0.06)               | (0.06)          | 206          | -13              | -6.32%           | -6.32%                    | 8               | -1   | -13.43%              |         |
|     | Reclassified Approved Investments - Equity Units of InvIT   | IORE<br>EIIT | -<br>5,365             | -<br>183        | -<br>3.40%           | -<br>3.40%      | 143<br>5,101 | 8<br>667         | 5.57%<br>13.07%  | 0.06<br>13.07%            | 0<br>4,323      | -<br>518                                       | -<br>11.98%          |         |
|     | OTHER Investment Infrastructure - Securitized Debt  | IOSA         | 3,209                  | -               | -<br>-               | -<br>-          | 3,491        | -                | -                | -5.5770                   | 4,021           | 0  | 0.00%                |         |
|     | TAX FREE BONDS  Infrastructure - PSU - Debentures / Bonds   | IPFD         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS   |              |                        |                 |                      |                 |              |                  |                  |                           |                 |  |                      |         |
|     | PSU - Equity shares - quoted  | EAEQ         | 217<br>5,638           | 6<br>63         | 2.58%<br>1.12%       | 2.58%<br>1.12%  | 156<br>5,531 | -2<br>948        | -1.04%<br>17.14% | -1.04%<br>17.14%          | 187<br>6,901    | 5<br>370                                       | 2.42%<br>5.36%       |         |
|     | Corporate Securities - Equity shares (Ordinary)-quoted  Corporate Securities - Debentures                           | EACE<br>ECOS | 5,550                  | 110             | 1.99%                | 1.99%           | 4,995        | 437              | 8.76%            | 8.76%                     | 2,420           | 270  | 11.14%               |         |
|     | Corporate Securities - Debentures   | EDPG         | -                      | -               | -                    | -               | -            | -                | -                | -                         | 3,014           | -348   | -11.55%              |         |
|     | Corporate Securities - Preference Shares Application Money  | EPNQ<br>ECAM | 173<br>1,302           | 19<br>3         | 11.14%<br>0.24%      | 11.14%<br>0.24% | 225<br>2,148 | 19<br>8          | 8.58%<br>0.37%   | 8.58%<br>0.37%            | 424<br>169      | -33<br>0                                       | -7.69%<br>0.25%      |         |
| 7   | Deposits - Deposit with Scheduled Banks, Fis (Incl. Bank Balance  | ECAIVI       | 27,529                 | 794             | 2.88%                | 2.88%           | 27,198       | 2,896            | 10.65%           | 10.65%                    | 24,712          | 3,098  | 12.54%               |         |
|     | awaiting Investment), CCIL, RBI Deposits - CDs with Scheduled Banks   | EDCD         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | CCIL - CBLO Perpetual Debt Instruments of Tier I & II Capital issued by PSU   | ECBO         | -                      | -<br>-          | -                    | -               | -<br>-       | -                | -                | <del>-</del>              | -<br>-          | <del>-</del>                                   | -                    |         |
|     | Banks Mutual Funds - Gilt / G Sec / Liquid Schemes  | EUPD<br>EGMF | -                      | <u>-</u>        | -                    | <del>-</del>    | -            | -                | -                | -                         | 433             | 2  | 0.39%                |         |
|     | Mutual Funds - Under Promoter Group   | EMPG         | -                      | -               | -                    | -               | 300          | 0                | 0.11%            | 0.11%                     | 200             | 0  | 0.08%                |         |
| 3   | Corporate Securities - Bonds - (Tax Free)   | EPBF         | -                      | -               | -                    | -               | -            | -                | -                | -                         | 41              | -0   | -0.15%               |         |
| 4   | Commercial Papers   | ECCP         | -                      | -               | -                    | -               | -            | -                | -                | -                         | _               | -  | -                    |         |
| 5   | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS   | EAPB         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
| 6   | Investment Properties - Immovable   | EINP         | -                      | -               | -                    | -               | 4,180        | 733              | 17.54%           | 17.54%                    | 4,180           | 369  | 8.82%                |         |
| 7   | Passively Managed Equity ETF (Non Promoter group)   | EETF         | -                      | -               | -                    | -               | 2            | -0               | -7.02%           | -7.02%                    | 1,005           | -109   | -10.86%              |         |
| 3   | Deposits - Repo / Reverse Repo  | ECMR         | 5,408                  | 41              | 0.76%                | 0.76%           | 6,577        | 194              | 2.95%            | 2.95%                     | 2,127           | 110  | 5.17%                |         |
| 9   | Corporate Securities - Bonds - (Taxable)  | EPBT         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | Passively Managed Equity ETF (Promoter Group)  Pernetual Debt Instruments of Tier I & II Capital issued by Non PSII | EETP         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
| 1   | Perpetual Debt Instruments of Tier I & II Capital issued by Non PSU Banks   | EPPD         | 440                    | 31              | -<br>6.97%           | -<br>6.97%      | -<br>455     | 92               | -<br>20.14%      | 20.14%                    | 182             | 24   | 13.03%               |         |
|     | Units of Real Estate Investment Trust (REITs)   | ERIT         | <del>44</del> U<br>-   | - 21            | J.J1/0<br>-          | J.J1/0<br>-     | -<br>+JJ     | <i>3</i> ∠<br>-  | ∠U.14/0<br>-     | <b>20.14</b> 70<br>-      | 102             | -<br>-   | ±3.U3 <sup>7</sup> 0 |         |
| 3   | Corporate Securities - Derivative Instruments   | ECDI         |                        |                 |                      |                 |              |                  |                  |                           |                 |  |                      |         |
|     | OTHER INVESTMENTS   |              |                        |                 |                      |                 |              |                  |                  |                           |                 |  |                      |         |
|     | Equity Shares (incl. Co-op Societies) Equity Shares (PSUs & Unlisted)   | OESH<br>OEPU | 4,886<br>153           | 8<br>-4         | 0.16%<br>-2.75%      | 0.16%<br>-2.75% | 4,752<br>69  | 308<br>5         | 6.48%<br>7.61%   | 6.48%<br>7.61%            | 5,376<br>60     | 614<br>-11                                     | 11.42%<br>-18.82%    |         |
|     | Mutual Funds - Debt/ Income/ Serial Plans   | OMGS         | -                      | -               |                      |                 | -            | -                | -                | -                         | -               |  |                      |         |
|     | DEBENTURES  Debentures / Ronds / CPs / Loans (Promotor Group)   | OLDB         | -<br>4,096             | -<br>127        | -<br>3.11%           | -<br>3.11%      | -<br>4,222   | -<br>525         | -<br>12.44%      | -<br>12.44%               | -<br>5,985      | -<br>1,259                                     | -<br>21.03%          |         |
|     | Debentures / Bonds / CPs / Loans - (Promoter Group)  Mutual Funds (under Insurer's Promoter Group)                  | ODPG<br>OMPG | <del>-</del> ,030<br>- | -<br>-          | 5.11 <i>7</i> 0<br>- | J.11/0<br>-     | -            | -                | TT/U<br>-        | ±∠. <del>¬→</del> /0<br>- | -               | -<br>-   | -<br>-               |         |
|     | Passively Managed Equity ETF (Non Promoter group)   | OETF         | -                      | -               | -                    | -               | 13           | -10              | -77.62%          | -77.62%                   | 5               | 22   | 454.02%              |         |
|     | Derivative Instrument   | OCDI         | -                      | -1,402          | -                    | -               | -            | 1,566            | -                | -                         | -               | 2,505  | -                    |         |
|     | Passively Managed Equity ETF Promoter Group)  | OETP         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | Securitised Assets  | OPSA         | -                      | -<br>-          | -<br>-               | -               | -            | -                | -<br>-           | -                         | -               |  | -                    |         |
|     | Infrastructure - Debentures / Bonds / CPs / Ioans - (Promoter Group)  Term Loans (without Charge)                   | IOPD<br>OTLW | -                      | <del>-</del>    | -                    | -               | -            | -                | -                | -                         | -               | -  | <del>-</del>         |         |
|     | Debentures / Bonds / CPs / Loans  | HODS         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | Debentures / Bonds / CPs / Loans - (Promoter Group)   | HOPG         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
|     | Alternate Investment Funds (Category I)   | OAFA         | -                      | -               | -                    | -               | -            | -                | -                | -                         | -               | -  | -                    |         |
| 6   | Reclassified Approved Investments - Debt  | HORD         | 964                    | -166            | -17.27%              | -17.27%         | 1,135        | -209             | -18.39%          | -18.39%                   | 1,603           | -107   | -6.65%               |         |
|     | Debt Capital Instruments (DCI Basel III))   | ODCI         | -                      | -               | 44.00                | -               | -            | -<br>1 E         | -                | -<br>-                    | 777             | 18   | 2.31%                |         |
|     | Reclassified Approved Investments - Equity  | ORAE         |                        | 2               | 11.68%               | 11.68%          | 214          | -15<br>-         | -6.95%<br>-      | -6.95%<br>-               | 436             | 5  | 1.20%                |         |
| 9   | Venture Fund  | OVNF<br>OAFB | -<br>1,257             | -<br>-          | 0.00%                | 0.00%           | 1,062        | 9                | 0.85%            | 0.85%                     | 676             | -<br>5   | -<br>0.77%           |         |
| )   | Alternate Investment Fund (Category II)   | A.L.D        | _,,                    |                 | 3.3 <b>3</b> 70      | //              | -, - <b></b> | -                |                  | 3.3370                    | J, U            | <b>5</b>                                       | /0                   |         |

1 Based on daily simple Average of Investments

2 Yield netted for Tax 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

4 FORM-1 shall is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level. 5 Returns as presented in Gross and Net yield are absolute

6 Zero represents amount less than rupees one lac 7 Life fund includes Fund Beyond Solvency Margin for the purpose of this disclosure.

**CERTIFICATION** Certified that the information given herein are correct and complet to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-34-YIELD ON INVESTMENTS COMPANY NAME & CODE: EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147) Periodicity of Submission: Quarterly STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Statement As on: 31 MARCH 2021

## NAME OF THE FUND: PENSION AND GENERAL ANNUITY FUND

|           |   |              |                |  | : PENSION AND GENERA | AL ANNUITY FUND |                |  |                   |                 |              |                      |                        | (₹In Lakhs)    |
|-----------|---|--------------|----------------|--|----------------------|-----------------|----------------|--|-------------------|-----------------|--------------|----------------------|------------------------|----------------|
| NO.       | CATEGORY OF INVESTMENT  | GROUP CODE   | Investment*    | Current Quarte INCOME ON IVESTMENT (Rs.) | er<br>GROSS YIELD    | NET YIELD       | Investment*    | Year to Date (Control of the Income on Income of the Incom | urrent Year)      | NET YIELD       | Investment*  | Year to Date (Previo | ous Year)  GROSS YIELD | NET YIELD      |
| A         | CENTRAL GOVERNMENT SECURITIES   |              | IIV            | NVESTIVIENT (KS.)                        |                      |                 |                | NVESTIVIENT (KS.)  |                   |                 |              | INVESTMENT (Rs.)     |                        |                |
|           | Central Govt. Securities, Central Govt. Guaranteed Bonds  | CGSB         | 17459          | 372                                      | 2.13%                | 2.13%           | 16644          | 1374   | 8.26%             | 8.26%           | 16980        | 1442                 | 8.49%                  | 8.49%          |
|           | Deposits under section 7 of Insurance Act 1938  Treasury Bills                                      | CDSS<br>CTRB | -<br>-         | -<br>-                                   | -<br>-               | -               | -<br>-         | -  | -                 | -<br>-          | -            | -<br>-               | -                      | -              |
| AS        | ricasury biris  | CIND         |                |  |                      |                 |                |  |                   |                 |              |                      |                        |                |
|           | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES   |              | _              | _  | _                    | _               | _              | _  | _                 | _               | _            | <del>-</del>         | _                      | _              |
|           | Other Approved Securities (excluding Infrastructure Investments)                                    | SGOA         | 688            | 12                                       | 1.78%                | 1.78%           | 743            | 60   | 8.03%             | 8.03%           | 1,014.79     | 3.72                 | 0.37%                  | 0.37%          |
|           | State Government Bonds  Central Government Guaranteed Loans / Bonds                                 | SGGB<br>CGSL | 103            | 2  | 2.08%                | 2.08%           | 103            | 9  | 8.41%             | 8.41%           | 126          | 13                   | 10.40%                 | 10.40%         |
|           |   |              |                |  |                      |                 |                |  |                   |                 |              |                      |                        |                |
|           | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT                              |              |                |  |                      |                 |                |  |                   |                 |              |                      |                        |                |
| C1        | TAXABLE BONDS OF  Bonds / Debentures issued by NHB/Institution accredited by NHB                    | HTDN         | 508            | 9  | 1.69%                | 1.69%           | 581            | 57   | 9.85%             | 9.85%           | 1466         | 149                  | 10.19%                 | 10.19%         |
|           | Bonds / Debentures issued by HUDCO  Commercial Papers - NHB / Institution accredited by NHB         | HTHD<br>HTLN | -              | -  | -                    | -               | -              | -<br>-   | <del>-</del><br>- | -               | -<br>-       | <del>-</del><br>-    | -<br>-                 | -              |
|           | TAX FREE BONDS  | ПЕПР         |                |  |                      |                 |                |  |                   |                 |              |                      |                        |                |
|           | Bonds / Debentures issued by HUDCO  | HFHD         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Infrastructure - PSU - Equity Shares - Quoted   | ITPE         | 88             | 6  | 6.39%                | 6.39%           | 70<br>25       | 2  | 2.81%             | 2.81%           | 27           | -3                   | -10.19%                | -10.19%        |
|           | Infrastructure - Corporate Securities - Equity Shares - Quoted  **TAXABLE BONDS OF**                | ITCE         | 56             | 0  | 9.93%                | 9.93%           | 35             | 2  | 6.71%             | 6.71%           | 15           | 3                    | 20.22%                 | 20.22%         |
| D3<br>D4  | Infrastructure - PSU - Debentures / Bonds INFRASTRUCTURE - OTHER CORPORATE SECURITIES - DEBENTURES/ | IPTD<br>ICTD | 440<br>-       | -  | 1.91%                | 1.91%<br>-      | 478<br>-       | 55<br>-  | 11.53%<br>-       | 11.53%<br>-     | 237<br>301   | 5<br>26              | 1.98%<br>8.57%         | 1.98%<br>8.57% |
| D5        | BONDS Infrastructure - Equity and Equity Related Instruments (Promoter                              | IEPG         | -              | -  | -                    | -               | <del>-</del>   | -  | -                 | -               | -            | -                    | <del>-</del>           | -              |
| D6        | Group) Infrastructure - Debentures / Bonds / CPs / Ioans - (Promoter Group)                         | IDPG         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
| D7        | Infrastructure - Debentures / Bonds / CPs / Ioans   | IODS         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           |   | IOPE         | <u>-</u>       | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Infrastructure - Other Corporate Securities - CPs   | ICCP         | -<br>-         | _  | -                    | -               | -              | -  | -                 | -               | -<br>-       | -<br>-               | -                      | -              |
|           | Infrastructure - PSU - CPs Infrastructure - Securitised Assets                                      | IPCP<br>IESA | -              | -  | -<br>-               | -               | <del>-</del>   | -  | -                 | -<br>-          | -<br>-       | -                    | <del>-</del>           | -              |
|           | Infrastructure - Securitised Assets  Infrastructure - Infrastructure Development Fund (IDF)         | IDDF         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | INFRASTRUCTURE OTHER- EQUITY  | IOEQ         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Reclassified Approved Investments - Equity Units of InvIT   | IORE<br>EIIT | -<br>587       | -<br>85                                  | -<br>14.57%          | -<br>14.57%     | -<br>578       | -<br>142   | -<br>24.48%       | -<br>24.48%     | -<br>499     | -<br>68              | 13.61%                 | -<br>13.61%    |
| D16       | OTHER Investment Infrastructure - Securitized Debt  | IOSA         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | TAX FREE BONDS  Infrastructure - PSU - Debentures / Bonds   | IPFD         | <del>-</del>   | -  | -                    | -               | -              | <del>-</del>   | -                 | <del>-</del>    | <del>-</del> | <del>-</del>         | <del>-</del>           | -              |
| F         | APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS   |              |                |  |                      |                 |                |  |                   |                 |              |                      |                        |                |
|           | PSU - Equity shares - quoted  | EAEQ         | 58             | 9  | 16.13%               | 16.13%          | 46             | 7  | 16.09%            | 16.09%          | 19           | 1                    | 5.58%                  | 5.58%          |
|           | Corporate Securities - Equity shares (Ordinary)-quoted  Corporate Securities - Debentures           | EACE<br>ECOS | 1,177<br>2,652 | 121<br>47                                | 10.30%<br>1.78%      | 10.30%<br>1.78% | 1,174<br>2,344 | 246<br>158   | 20.93%<br>6.73%   | 20.93%<br>6.73% | 701<br>1,498 | 42<br>136            | 5.94%<br>9.11%         | 5.94%<br>9.11% |
|           | Corporate Securities - Debentures  Corporate Securities - Debentures                                | EDPG         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Corporate Securities - Preference Shares  Application Money   | EPNQ<br>ECAM | -<br>140       | - 0                                      | -<br>0.11%           | -<br>0.11%      | -<br>192       | 1  | -<br>0.30%        | 0.30%           | -<br>-       | - 0                  | -                      | -              |
| F7        | Deposits - Deposit with Scheduled Banks, Fis (Incl. Bank Balance awaiting Investment), CCIL, RBI    | ECDB         | 664            | 22                                       | 3.31%                | 3.31%           | 551            | 71   | 12.86%            | 12.86%          | 302          | 34                   | 11.29%                 | 11.29%         |
| E8        | Deposits - CDs with Scheduled Banks   | EDCD         | -              | -<br>-                                   | -<br>-               | -               | -              | -  | -<br>-            | -<br>-          | -<br>-       | -<br>-               | -                      | -              |
| E9<br>E10 | CCIL - CBLO  Perpetual Debt Instruments of Tier I & II Capital issued by PSU                        | ECBO<br>EUPD | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
| E11       | Banks Mutual Funds - Gilt / G Sec / Liquid Schemes  | EGMF         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Mutual Funds - Under Promoter Group   | EMPG         | -<br>-         |  | <del>-</del>         | -               | 400            | -  | 0.11%             | 0.11%           | -<br>266     | -0                   | -0.15%                 | -<br>-0.15%    |
|           | Corporate Securities - Bonds - (Tax Free)   | EPBF         | _              | _  | _                    | _               | _              | _  | _                 | _               | -            | -                    | -                      | -              |
|           | Commercial Papers  APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS                                    | ECCP<br>EAPB | -              | -  | <del>-</del>         | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Investment Properties - Immovable   | EINP         | -              | -  | <del>-</del>         | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Passively Managed Equity ETF (Non Promoter group)   | EETF         | -              | -  | -                    | -               | -              | -  | -                 | -               | 189          | -20                  | -10.59%                | -10.59%        |
| E18       | Deposits - Repo / Reverse Repo  | ECMR         | 517            | 4  | 0.76%                | 0.76%           | 749            | 22   | 2.95%             | 2.95%           | 357          | 19                   | 5.19%                  | 5.19%          |
| E19       | Corporate Securities - Bonds - (Taxable)  | EPBT         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Passively Managed Equity ETF (Promoter Group)   | EETP         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
| E21       | Perpetual Debt Instruments of Tier I & II Capital issued by Non PSU Banks                           | EPPD         | -              | -  | -<br>-               | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Units of Real Estate Investment Trust (REITs)   | ERIT         | -              | <del>-</del>                             | <del>-</del>         | _               | _              | -  | -                 | _               | -            | -                    | -                      | -              |
| £23       | Corporate Securities - Derivative Instruments   | ECDI         |                |  |                      |                 |                |  |                   |                 |              |                      |                        |                |
|           | OTHER INVESTMENTS   | 0.50::       | _              |  | <del>-</del>         |                 |                | _  |                   |                 |              |                      | _                      |                |
| F1<br>F2  | Equity Shares (incl. Co-op Societies)  Equity Shares (PSUs & Unlisted)                              | OESH<br>OEPU | -<br>-         | -  | -                    | -               | -              | -<br>-   | -                 | -<br>-          | -<br>-       | -<br>-               | -                      | -              |
|           | Mutual Funds - Debt/ Income/ Serial Plans  DEBENTURES   | OMGS<br>OLDB | -<br>-         | -  | -                    | -               | -              | -<br>-   | -                 | -               | -<br>-       | -<br>-               | -                      | -              |
|           | Debentures / Bonds / CPs / Loans - (Promoter Group)   | ODPG         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Mutual Funds (under Insurer's Promoter Group)   | OMPG         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Passively Managed Equity ETF (Non Promoter group)  Derivative Instrument                            | OETF<br>OCDI | -              | <del>-</del>                             | <del>-</del>         | -               | -              | -  | <del>-</del>      | -               | -            | -                    | <del>-</del>           | -              |
|           | Passively Managed Equity ETF Promoter Group)  | OETP         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Securitised Assets  | OPSA         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Infrastructure - Debentures / Bonds / CPs / Ioans - (Promoter Group)                                |              | -              | -  | -                    | -               | -              | <u>-</u>   | -                 | -               | -            | -                    | -                      | -              |
|           | Term Loans (without Charge)   | OTLW         | -<br>-         | -  | -                    | -               | -              | -<br>-   | -                 | -<br>-          | -<br>-       | -<br>-               | -                      | -<br>_         |
|           | Debentures / Bonds / CPs / Loans  Debentures / Bonds / CPs / Loans - (Promoter Group)               | HODS<br>HOPG | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Alternate Investment Funds (Category I)   | OAFA         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
| F16       | Reclassified Approved Investments - Debt  | HORD         | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Debt Capital Instruments (DCI Basel III))   | ODCI         | -              | -<br>-                                   | -<br>-               | -               | -              | -<br>-   | -                 | -<br>-          | -<br>-       | -                    | -                      | -              |
|           | Reclassified Approved Investments - Equity  Venture Fund  | ORAE         | -<br>-         | -  | -                    | -               | -<br>-         | -<br>-   | -                 | -               | -            | -<br>-               | -                      | -              |
|           | Venture Fund  Alternate Investment Fund (Category II)   | OVNF<br>OAFB | -              | -  | -                    | -               | -              | -  | -                 | -               | -            | -                    | -                      | -              |
|           | Reclassified Approved Investments - Debt  | ORAD         | -              |  | -                    | -               | -              | -  | <del>-</del>      | -               | -            | -                    | -                      | -              |
| F21       |   |              |                |  |                      |                 |                |  |                   |                 |              |                      |                        | 1              |

# Note:

1 Based on daily simple Average of Investments

2 Yield netted for Tax 3 In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown

4 FORM-1 shall is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 Returns as presented in Gross and Net yield are absolute 6 Zero represents amount less than rupees one lac

**CERTIFICATION** Certified that the information given herein are correct and complet to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-34-YIELD ON INVESTMENTS COMPANY NAME & CODE: EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147) Periodicity of Submission: Quarterly STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT Statement As on: 31 MARCH 2021

|                          | AS ON: 31 WARCH 2021  |                      |                   | NAME OF TH                            | E FUND : LINKE   | D FUND           |                    |   |                  |                  |                     |  |                      | (₹ In Lakhs)         |
|--------------------------|---|----------------------|-------------------|---------------------------------------|------------------|------------------|--------------------|---|------------------|------------------|---------------------|--|----------------------|----------------------|
| NO.                      | CATEGORY OF INVESTMENT  | GROUP<br>CODE        | Investment*       | Current Quarter NCOME ON STMENT (Rs.) |                  | NET YIELD        | Investment*        | Year to Date (C<br>INCOME ON<br>INVESTMENT G<br>(Rs.) | Current Year)    | NET YIELD        | Investment*         | Year to Date (Previous INCOME ON NVESTMENT (Rs.) |                      | NET YIELD            |
|                          | CENTRAL GOVERNMENT SECURITIES  Central Govt. Securities, Central Govt. Guaranteed Bonds   | CGSB                 | 17192             | -42                                   | -0.25%           | -0.25%           | 13883              | 753   | 5.42%            | 5.42%            | 6986                | 785  | 11.24%               | 11.24%               |
| A2                       | Deposits under section 7 of Insurance Act 1938  Treasury Bills  | CDSS<br>CTRB         | -<br>-            | -<br>-                                | -<br>-           | -<br>-           | -<br>624.77        | -<br>0.66   | -<br>0.11%       | -<br>0.11%       | -<br>1,890.87       | -<br>95.04                                       | -<br>5.03%           | -<br>5.03%           |
| В                        | GOVERNMENT SECURITIES / OTHER APPROVED SECURITIES   |                      |                   |                                       |                  |                  |                    |   |                  |                  |                     |  |                      |                      |
| B1                       | Other Approved Securities (excluding Infrastructure Investments)  | SGOA                 | 1,108.47          | 4.33                                  | 0.39%            | 0.39%            | 1,670.31           | 173.39  | 10.38%           | 10.38%           | 1,439.63            | 159.27   | 11.06%               | 11.06%               |
| B2                       | State Government Bonds  | SGGB                 | 7989              | 40                                    | 0.50%            | 0.50%            | 4634               | 296   | 6.40%            | 6.40%            | 1208                | 149  | 12.29%               | 12.29%               |
| В3                       | Central Government Guaranteed Loans / Bonds   | CGSL                 | 106               | 1                                     | 1.11%            | 1.11%            | 276                | 33  | 12.05%           | 12.05%           | 711                 | 96   | 13.50%               | 13.50%               |
| C                        | HOUSING & LOANS TO STATE GOVT. FOR HOUSING AND FIRE FIGHTING EQUIPMENT  TAXABLE BONDS OF  |                      |                   |                                       |                  |                  |                    |   |                  |                  |                     |  |                      |                      |
| C1<br>C2                 | Bonds / Debentures issued by NHB/Institution accredited by NHB Bonds / Debentures issued by HUDCO Commercial Papers - NHB / Institution accredited by NHB | HTDN<br>HTHD<br>HTLN | 1547<br>-<br>-    | 5<br>-<br>-                           | 0.34%<br>-<br>-  | 0.34%<br>-<br>-  | 1847<br>-<br>-     | 172<br>-<br>-   | 9.30%<br>-<br>-  | 9.30%<br>-<br>-  | 1909<br>370.40<br>- | 228<br>0.09<br>-                                 | 11.95%<br>0.02%<br>- | 11.95%<br>0.02%<br>- |
|                          | TAX FREE BONDS  Bonds / Debentures issued by HUDCO  | HFHD                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
| <b>D</b><br>D1           | INFRASTRUCTURE INVESTMENTS Infrastructure - PSU - Equity Shares - Quoted  | ITPE                 | 2075              | 179                                   | 8.60%            | 8.60%            | 1886               | 689   | 36.55%           | 36.55%           | 1873                | -458   | -24.44%              | -24.44%              |
| D2                       | Infrastructure - Corporate Securities - Equity Shares - Quoted  **TAXABLE BONDS OF**  | ITCE                 | 2925              | 207                                   | 7.09%            | 7.09%            | 2009               | 494   | 24.60%           | 24.60%           | 1147                | - <del>4</del> 58<br>-60                         | -5.25%               | -5.25%               |
| D3                       | Infrastructure - PSU - Debentures / Bonds   | IPTD                 | 903               | 12                                    | 1.33%            | 1.33%            | 2,078              | 279   | 13.42%           | 13.42%           | 1,028               | 115  | 11.17%               | 11.17%               |
| D4                       | INFRASTRUCTURE - OTHER CORPORATE SECURITIES - DEBENTURES/ BONDS Infrastructure - Equity and Equity Related Instruments (Promoter                          | ICTD                 | -<br>-            | -<br>-                                | -                | -                | -                  | -   | -                | -<br>-           | 1,129               | -  | 7.66%<br>-           | 7.66%<br>-           |
| D5<br>D6                 | Group) Infrastructure - Debentures / Bonds / CPs / loans - (Promoter  | IEPG<br>IDPG         | -                 | -                                     | _                | _                | _                  | _   | -                | _                | -                   | -  | _                    | -                    |
|                          | Group) Infrastructure - Debentures / Bonds / CPs / loans  | IODS                 | <del>-</del>      | -                                     | -                | -                | -                  | -   | -                | -                | -                   | <del>-</del>                                     | -                    | -                    |
|                          | Infrastructure - Equity (Promoter Group)  | IOPE                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
| D9                       | Infrastructure - Other Corporate Securities - CPs   | ICCP                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Infrastructure - PSU - CPs  | IPCP                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Infrastructure - Securitised Assets   | IESA                 | -<br>-            | -<br>-                                | -                | -                | -                  | -   | -<br>-           | -<br>-           | <del>-</del>        | <del>-</del>                                     | -                    | -                    |
|                          | Infrastructure - Infrastructure Development Fund (IDF) INFRASTRUCTURE OTHER- EQUITY   | IDDF                 | 112               | 26                                    | 23.40%           | 23.40%           | 195                | 124   | 63.82%           | 63.82%           | -                   | -  | -                    | -                    |
|                          | Reclassified Approved Investments - Equity  | IORE<br>EIIT         | <u>-</u>          | -                                     | -                | -                | 1,087<br>-         | 75<br>-   | 6.88%            | 6.88%            | 42                  | 1 -  | 2.28%                | 2.28%                |
| D16                      | Units of InvIT  OTHER Investment Infrastructure - Securitized Debt <u>TAX FREE BONDS</u>  | IOSA                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Infrastructure - PSU - Debentures / Bonds  APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS  | IPFD                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | PSU - Equity shares - quoted  | EAEQ                 | 1,430<br>67,255   | 144<br>3,634                          | 10.08%<br>5.40%  | 10.08%<br>5.40%  | 1,219<br>54,149    | 341<br>28,402   | 27.96%<br>52.45% | 27.96%<br>52.45% | 1,378<br>38,504     | -546<br>-9,226                                   | -39.64%<br>-23.96%   | -39.64%<br>-23.96%   |
|                          | Corporate Securities - Equity shares (Ordinary)-quoted  Corporate Securities - Debentures   | EACE<br>ECOS         | 1,817             | 10                                    | 0.58%            | 0.58%            | 2,807              | 261   | 9.31%            | 9.31%            | 2,070               | 351  | 16.95%               | 16.95%               |
|                          | Corporate Securities - Debentures   | EDPG                 | -<br>141          | - 8                                   | -<br>5.75%       | -<br>5.75%       | -<br>154           | -<br>53   | -<br>34.34%      | -<br>34.34%      | 2<br>279            | 0<br>-19   | 3.95%<br>-6.84%      | 3.95%<br>-6.84%      |
| E6                       | Corporate Securities - Preference Shares  Application Money   | EPNQ<br>ECAM         | 1,803             | 3                                     | 0.18%            | 0.18%            | 1,409              | 6   | 0.45%            | 0.45%            | 658                 | 3  | 0.45%                | 0.45%                |
| E8                       | Deposits - Deposit with Scheduled Banks, Fis (Incl. Bank Balance awaiting Investment), CCIL, RBI Deposits - CDs with Scheduled Banks                      | ECDB<br>EDCD         | -<br>-            | -                                     | 4.61%<br>-       | 4.61%<br>-       | -                  | 16<br>-   | 17.76%<br>-      | 17.76%<br>-      | 146<br>-            | -<br>-   | 16.79%<br>-          | 16.79%<br>-          |
| E9<br>E10                | CCIL - CBLO Perpetual Debt Instruments of Tier I & II Capital issued by PSU   | ECBO<br>EUPD         | <del>-</del><br>- | -                                     | -                | -                | -                  | -   | -                | -<br>-           | <del>-</del>        | -<br>-   | -                    | -                    |
| E11                      | Banks Mutual Funds - Gilt / G Sec / Liquid Schemes  | EGMF                 | -                 | -                                     | -                | -                | 502                | 4   | 0.77%            | 0.77%            | -                   | -  | -                    | -                    |
| E12                      | Mutual Funds - Under Promoter Group   | EMPG                 | <u>-</u>          | -                                     | -                | -                | -<br>_             | -<br>-  | _                | -<br>-           | 37                  | -  | 0.01%                | 0.01%                |
|                          | Corporate Securities - Bonds - (Tax Free)   | EPBF                 | -<br>-            | <u>-</u>                              | _                | _                | <u>-</u>           | <u>-</u>  | _                | <u>-</u>         | -<br>-              | -  | -                    | -                    |
|                          | Commercial Papers  APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS  | ECCP<br>EAPB         | -                 | -                                     | -                | -                | -                  | -   | -                | -                | _                   | -  | _                    | -                    |
|                          | Investment Properties - Immovable   | EINP                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | <del>-</del>                                     | -                    | -                    |
| E17                      | Passively Managed Equity ETF (Non Promoter group)   | EETF                 | 1,342             | 72                                    | 5.39%            | 5.39%            | 1,274              | 460   | 36.16%           | 36.16%           | 156                 | -8   | -5.19%               | -5.19%               |
| E18                      | Deposits - Repo / Reverse Repo  | ECMR                 | 5,293             | 40                                    | 0.76%            | 0.76%            | 4,446              | 132   | 2.97%            | 2.97%            | 2,667               | 131  | 4.92%                | 4.92%                |
| E19                      | Corporate Securities - Bonds - (Taxable)  | EPBT                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Passively Managed Equity ETF (Promoter Group)  Perpetual Debt Instruments of Tier I & II Capital issued by Non  | EETP                 | -                 | -                                     | -                | -                | -<br>-             | <del>-</del>  | <del>-</del>     | -                | -                   | <del>-</del>                                     | -                    | -                    |
| E21                      | PSU Banks   | EPPD                 | -<br>-            | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Units of Real Estate Investment Trust (REITs)  Corporate Securities - Derivative Instruments  | ERIT                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | _                    | -                    |
| F                        | OTHER INVESTMENTS   |                      |                   |                                       |                  |                  |                    |   |                  |                  |                     |  |                      |                      |
| F1<br>F2                 | Equity Shares (incl. Co-op Societies) Equity Shares (PSUs & Unlisted)   | OESH<br>OEPU         | 4,595<br>576      | 1,129<br>171                          | 24.58%<br>29.66% | 24.58%<br>29.66% | 2,813.89<br>251.24 | 2,329.60<br>228.72                                    | 82.79%<br>91.03% | 82.79%<br>91.03% | 1,545<br>154        | -510<br>-121                                     | -33.01%<br>-78.18%   | -33.01%<br>-78.18%   |
| F3                       | Mutual Funds - Debt/Income/ Serial Plans  | OMGS                 | -                 | -<br>-                                | -<br>-           | -<br>-           | 129.47             | 1.24  | 0.96%            | 0.96%            | 123                 | -  | 4.95%<br>-           | 4.95%<br>-           |
|                          | DEBENTURES  Debentures / Bonds / CPs / Loans - (Promoter Group)   | OLDB<br>ODPG         | -                 | -                                     | -                | -                | 1.52               | (0.00)  | -0.02%           | -0.02%           | 2                   | 0  | -<br>5.35%           | -<br>5.35%           |
|                          | Mutual Funds (under Insurer's Promoter Group)   | OMPG                 | -<br>9.052        | -                                     | -<br>7 (70/      | -<br>7 (70/      | -<br>5 882 12      | -<br>3 0/1 52   | -<br>51 71%      | -<br>51 71%      | -<br>F 000          | -2 6/13  | -<br>-45.05%         | -<br>-45.05%         |
|                          | Passively Managed Equity ETF (Non Promoter group)  Derivative Instrument  | OETF<br>OCDI         | 9,052             | 695<br>-                              | 7.67%<br>-       | 7.67%<br>-       | 5,882.12<br>-      | 3,041.52<br>-   | 51.71%<br>-      | 51.71%           | 5,868<br>-          | -2,643<br>-                                      | -45.05%<br>-         | -45.05%<br>-         |
|                          | Passively Managed Equity ETF Promoter Group)  | ОЕТР                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Securitised Assets  | OPSA                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Infrastructure - Debentures / Bonds / CPs / loans - (Promoter Group)  Torm Loans (without Charge)   | IOPD                 | -<br>-            | -<br>-                                | -                | -                | -                  | -   | -                | -<br>-           | -                   | <del>-</del>                                     | -                    | -                    |
|                          | Term Loans (without Charge)  Debentures / Bonds / CPs / Loans   | OTLW                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -3   | -                    | -                    |
|                          | Debentures / Bonds / CPs / Loans - (Promoter Group)   | HOPG                 | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
|                          | Alternate Investment Funds (Category I)   | OAFA                 | -                 | -                                     | -                | -                | -<br>124 02        | -   | -                | -                | 222                 | - 118  | -120 24%             | -120 24%             |
| F16                      | Reclassified Approved Investments - Debt  Debt Capital Instruments (DCI Basel III))   | HORD<br>ODCI         | 135<br>-          | -<br>-                                | -                | -                | 134.92<br>-        | -   | -                | -<br>-           | 322                 | -448<br>-  | -139.24%<br>-        | -139.24%<br>-        |
|                          | Ser capital histranichts (DCI Dasel III))   | ODCI                 | 1,179             | 345                                   | 29.27%           | 29.27%           | 1,370.10           | 1,083.13  | 79.05%           | 79.05%           | 1,088               | -482   | -44.36%              | -44.36%              |
| F17                      | Reclassified Approved Investments - Equity  | ORAE                 | 1,173             |                                       |                  |                  |                    |   |                  |                  |                     |  |                      | I                    |
| F17<br>F18               | Reclassified Approved Investments - Equity  Venture Fund  | ORAE<br>OVNF         | -                 | -                                     | -                | -                | -                  | -   | -                | -                | -                   | -  | -                    | -                    |
| F17<br>F18<br>F19<br>F20 |   |                      |                   | -                                     | -<br>-           | -<br>-           | -<br>-             | -<br>-  | -<br>-           | -                | -<br>-              | -<br>-   | -<br>-               | -<br>-               |

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year are shown 4 FORM-1 shall is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 Returns as presented in Gross and Net yield are absolute 6 Zero represents amount less than rupees one lac

<u>CERTIFICATION</u>

Certified that the information given herein are correct and complet to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

**COMPANY NAME & CODE: EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)** 

Statement as on: 31 MARCH 2021

STATEMENT OF DOWN GRADED INVESTMENTS

#### NAME OF THE FUND: LIFE FUND

(₹ In Lacs)

| NO. | NAME OF THE SECURITY  | COI  | AMOUNT<br>( As per balance<br>sheet) | DATE OF<br>PURCHASE | RATING<br>AGENCY | ORIGINAL<br>GRADE | CURRENT<br>GRADE | DATE OF<br>DOWNGRADE | REMARKS |
|-----|---|------|--------------------------------------|---------------------|------------------|-------------------|------------------|----------------------|---------|
| A.  | DURING THE QUARTER  |      |                                      |                     |                  |                   |                  |                      |         |
| 1   | ZEE ENTERTAINMENT ENTERPRISES LTD - PREF SHRS                     | EPNQ | 75                                   | 20-03-2014          | BWR              | AAA               | Α                | 07-01-2021           |         |
| В.  | AS ON DATE  |      |                                      |                     |                  |                   |                  |                      |         |
| 1   | 12.50% RELIANCE INFRASTRUCTURE LTD NCD 15-12-2021                 | IODS | 5765                                 | 02-07-2018          | FITCH            | BBB+              | D                | 14-07-2020           |         |
| 2   | 9.25% DEWANHOUS NCD 09-09-2023                                    | HORD | 865                                  | 12-02-2019          | CARE             | AAA               | D                | 06-06-2019           |         |
| 3   | 11.50% EDELWEISS RETAIL FINANCE LTD NCD 26-05-2025                | ODPG | 1466                                 | 09-05-2019          | ICRA             | AA                | A+               | 06-05-2020           |         |
| 4   | 9.25% EDELWEISS RURAL & CORPORATE SERVICES LIMITED NCD 22-12-2027 | ODPG | 2000                                 | 27-12-2017          | ICRA             | AA                | A+               | 06-05-2020           |         |
| 5   | 10.00% EDELWEISS HOUSING FINANCE LIMITED NCD 19-07-2026           | ODPG | 244                                  | 24-12-2019          | ICRA             | AA-               | A+               | 06-05-2020           |         |
| 6   | ZEE ENTERTAINMENT ENTERPRISES LTD - PREF SHRS                     | EPNQ | 75                                   | 20-03-2014          | BWR              | AAA               | Α                | 07-01-2021           |         |

#### Note:

- **1** Provides details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter (if any) are deleted from the Cumulative listing.
- **3** FORM-2 is prepared in respect of each fund. In case of ULIP FORM 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) are as as per Guidelines issued by the Authority
- **5** Life fund includes Fund Beyond Solvency Margin for the purpose of this disclosure.

#### **CERTIFICATION:**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

**COMPANY NAME & CODE: EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)** 

Statement as on: 31 MARCH 2021

STATEMENT OF DOWN GRADED INVESTMENTS

#### NAME OF THE FUND: PENSION AND GENERAL ANNUITY FUND

(₹ In Lacs)

| NO. | NAME OF THE SECURITY  | соі | AMOUNT | DATE OF<br>PURCHASE | RATING<br>AGENCY | ORIGINAL<br>GRADE | CURRENT<br>GRADE | DATE OF<br>DOWNGRADE | REMARKS |
|-----|-----------------------|-----|--------|---------------------|------------------|-------------------|------------------|----------------------|---------|
| Α.  | DURING THE QUARTER    |     | NIL    |                     |                  |                   |                  |                      |         |
| В.  | <u>AS ON DATE</u><br> |     | NIL    |                     |                  |                   |                  |                      |         |

#### Note:

- 1 Provides details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter (if any) are deleted from the Cumulative listing.
- **3** FORM-2 is prepared in respect of each fund. In case of ULIP FORM 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- **4** Category of Investmet (COI) are as as per Guidelines issued by the Authority

#### **CERTIFICATION:**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2

**COMPANY NAME & CODE: EDELWEISS TOKIO LIFE INSURANCE CO. LTD (147)** 

Statement as on: 31 MARCH 2021

STATEMENT OF DOWN GRADED INVESTMENTS

#### NAME OF THE FUND: LINKED LIFE FUND

(₹ In Lacs)

| NO. | NAME OF THE SECURITY                          | соі  | AMOUNT | DATE OF<br>PURCHASE | RATING<br>AGENCY | ORIGINAL<br>GRADE | CURRENT<br>GRADE | DATE OF<br>DOWNGRADE | REMARKS |
|-----|---|------|--------|---------------------|------------------|-------------------|------------------|----------------------|---------|
| Α.  | DURING THE QUARTER                            |      |        |                     |                  |                   |                  |                      |         |
| 1   | ZEE ENTERTAINMENT ENTERPRISES LTD - PREF SHRS | EPNQ | 85     | 20-03-2014          | BWR              | AAA               | Α                | 07-01-2021           |         |
| В.  | AS ON DATE                                    |      |        |                     |                  |                   |                  |                      |         |
| 1   | 9.25% DEWANHOUS NCD 09-09-2023                | HORD | 135    | 12-04-2017          | CARE             | AAA               | D                | 06-06-2019           |         |
| 2   | ZEE ENTERTAINMENT ENTERPRISES LTD - PREF SHRS | EPNQ | 85     | 20-03-2014          | BWR              | AAA               | Α                | 07-01-2021           |         |
| 1 2 |   |      |        |                     | _                |                   |                  |                      |         |

#### Note:

- 1 Provides details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter (if any) are deleted from the Cumulative listing.
- **3** FORM-2 is prepared in respect of each fund. In case of ULIP FORM 2 is prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) are as as per Guidelines issued by the Authority

#### **CERTIFICATION:**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

|                                       |   | For          | the Quarter         | Ended 31 Marc       | th 2021                  |               | For the Year Ended | 31 March 2021      |                          |                | For the Quarter End   | led 31 March 2020     |                          |                | For the Year Ende | ed 31 March 2020         |                       |
|---------------------------------------|---|--------------|---------------------|---------------------|--------------------------|---------------|--------------------|--------------------|--------------------------|----------------|-----------------------|-----------------------|--------------------------|----------------|-------------------|--------------------------|-----------------------|
|                                       | Particulars                                     | Premium      | No. of<br>Policies  | No. of Lives        | Sum Insured,<br>Wherever | Premium       | No. of Policies    | No. of Lives       | Sum Insured,<br>Wherever | Premium        | No. of Policies       | No. of Lives          | Sum Insured,<br>Wherever | Premium        | No. of Policies   | No. of Lives             | Sum Insure<br>Whereve |
| First year Premum                     |   |              |                     |                     | applicable               |               |                    |                    | applicable               |                |                       |                       | applicable               |                |                   |                          | applicable            |
| · · · · · · · · · · · · · · · · · · · | ial Single Premium (ISP)<br>From 0-10,000       | 0.02         | 5,424.00            | 5,429.00            | (28.23)                  | 0.20          | 5,715.00           | 5,680.00           | 44.52                    | 0.03           | 2,202.00              | 2,202.00              | 1.16                     | 0.06           | 4,225.00          | 4,225.00                 | 2                     |
|                                       | From 10,000-25,000                              | (0.01)       | (8.00)              | (6.00)              | (2.00)                   | 0.04          | 29.00              | 20.00              | 7.25                     | 0.00           | 1.00                  | 1.00                  | 0.25                     | 0.00           |                   | 1.00                     | (                     |
|                                       | From 25,001-50,000<br>From 50,001- 75,000       | 0.1<br>0.0   | 11.0<br>2.0         | 9.0<br>2.0          | 0.2<br>0.1               | 0.1<br>0.0    | 15<br>7            | 13<br>6            | 0.37<br>0.18             | 0.03<br>0.03   | 6.00<br>5.00          | 5.00<br>5.00          | 0.55<br>0.41             | 0.09<br>0.10   |                   | 19.00<br>11.00           | 1                     |
| 1                                     | From 75,000-100,000                             | 0.1          | 5.0                 | 3.0                 | 0.1                      | 0.3           | 25                 | 23                 | 0.64                     | 0.13           | 13.00                 | 11.00                 | 0.50                     | 0.57           | 58.00             | 44.00                    | 2                     |
|                                       | From 1,00,001 -1,25,000<br>Above Rs. 1,25,000   | -<br>2.0     | -<br>27.0           | -<br>16.0           | -<br>4.1                 | 0.0<br>4.6    | -<br>62            | -<br>46            | -<br>7.86                | 0.02<br>0.91   | 2.00<br>26.00         | 2.00<br>23.00         | 0.06<br>1.77             | 0.03<br>3.45   |                   | 3.00<br>67.00            | 0<br>10               |
|                                       |   | 2.0          | 27.0                | 10.0                | 4.1                      | 4.0           | 02                 | 40                 | 7.80                     | 0.91           | 26.00                 | 23.00                 | 1.77                     | 5.45           | 90.00             | 67.00                    | 10                    |
|                                       | ngle Premium-Annuity (ISPA)<br>From 0-50,000    | _            | _                   | _                   | _                        | _             | _                  | _                  | _                        | _              | _                     | _                     | _                        | _              | _                 | _                        |                       |
|                                       | From 50,001-100,000                             | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | 0.01           | 1.00              | 1.00                     | C                     |
|                                       | From 1,00,001-150,000<br>From 150,001- 2,00,000 | - 0.0        | -<br>1.0            | -<br>1.0            | -<br>0.0                 | -<br>0.02     | -<br>1.00          | 1.00               | -<br>0.02                | -<br>0.16      | -<br>8.00             | -<br>7.00             | -<br>0.16                | -<br>0.34      | 17.00             | -<br>15.00               | (                     |
|                                       | From 2,00,,001-250,000                          | 0.1          | 3.0                 | 3.0                 | 0.1                      | 0.06          | 3.00               | 3.00               | 0.06                     | 0.08           | 3.00                  | 2.00                  | 0.08                     | 0.15           |                   | 5.00                     | (                     |
|                                       | From 2,50,001 -3,00,000<br>Above Rs. 3,00,000   | 0.0<br>0.7   | 1.0<br>8.0          | 1.0<br>6.0          | 0.0<br>0.7               | 0.1<br>1.9    | 3<br>22            | 2<br>19            | 0.09<br>1.87             | 0.12<br>1.55   | 4.00<br>11.00         | 4.00<br>11.00         | 0.12<br>1.55             | 0.23<br>4.12   |                   | 8.00<br>41.00            | (                     |
| ·                                     |   |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
| •                                     | Single Premium (GSP)                            |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | From 0-10,000<br>pm 10,000-25,000               | 3.9<br>0.7   | -                   | 1,10,124.0<br>417.0 | 424.1<br>47.0            | 8.1<br>2.4    | -                  | 2,11,470<br>1,485  | 870.50<br>142.38         | 3.58<br>1.68   | 1.00                  | 86,442.00<br>1,058.00 | 392.45<br>105.48         | 8.64<br>4.92   |                   | 1,87,735.00<br>3,089.00  | 917<br>340            |
|                                       | om 25,001-50,000                                | 1.3          | -                   | 367.0               | 51.9                     | 2.7           | -                  | 778                | 103.59                   | 1.65           | -                     | 478.00                | 62.65                    | 4.81           |                   | 1,407.00                 | 199                   |
|                                       | m 50,001- 75,000                                | 0.8          | -                   | 129.0               | 23.8                     | 1.4           | -                  | 233                | 40.86                    | 0.68           | -                     | 114.00                | 19.14                    | 2.17           |                   | 366.00                   | 69                    |
|                                       | m 75,000-100,000<br>n 1,00,001 -1,25,000        | 0.3<br>0.1   | -                   | 32.0<br>10.0        | 8.2<br>2.8               | 0.5<br>0.2    | -                  | 58<br>15           | 12.86<br>4.25            | 0.22<br>0.11   | -                     | 27.00<br>10.00        | 6.11<br>2.50             | 0.71<br>0.27   |                   | 85.00<br>25.00           | 19<br>10              |
|                                       | ove Rs. 1,25,000                                | 0.3          | -                   | 20.0                | 10.9                     | 0.5           | -                  | 25                 | 12.10                    | 0.10           | -                     | 7.00                  | 1.90                     | 0.57           |                   | 26.00                    | 21                    |
| iv) Group Singl                       | le Premium- Annuity (GSPA)                      |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | From 0-50,000<br>m 50,001-100,000               | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | n 1,00,001-150,000                              | -            | -                   | -                   | -                        | -<br>-        | -                  | -                  | -                        | -              | -<br>-                | -                     | -                        | -              | -                 | -                        |                       |
| From                                  | n 150,001- 2,00,000                             | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | 1 2,00,,001-250,000<br>1 2,50,001 -3,00,000     | -            | -                   | -                   | -                        | -             | -<br>-             | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | ove Rs. 3,00,000                                | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
| v) Individual                         | non Single Premium (INSP)                       |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | From 0-10,000                                   | 0.9          | 1,053.0             | 956.0               | 164.1                    | 4.6           | 5,830              | 5,537              | 1,033.6                  | 1.48           | 2,222.00              | 2,041.00              | 692.69                   | 6.15           |                   | 9,246.00                 | 3,249                 |
|                                       | om 10,000-25,000<br>om 25,001-50,000            | 10.4<br>26.7 | 5,917.0<br>6,661.0  | 5,528.0<br>6,141.0  | 1,045.2<br>632.4         | 41.7<br>79.1  | 25,319<br>19,998   | 24,043<br>18,630   | 4,676.2<br>2,507.4       | 13.30<br>21.03 | 8,841.00<br>5,942.00  | 8,196.00<br>5,468.00  | 2,386.16<br>936.44       | 42.67<br>68.73 |                   | 26,086.00<br>18,634.00   | 9,419<br>3,479        |
|                                       | m 50,001- 75,000                                | 17.5         | 3,069.0             | 2,799.0             | 299.6                    | 50.5          | 8,875              | 8,191              | 1,029.0                  | 11.37          | 2,076.00              | 1,886.00              | 278.14                   | 38.07          |                   | 6,248.00                 | 989                   |
|                                       | m 75,000-100,000                                | 27.9         | 2,875.0             | 2,631.0             | 328.6                    | 65.2          | 6,776              | 6,222              | 847.8                    | 14.32          | 1,554.00              | 1,376.00              | 211.20                   | 42.90          |                   | 4,167.00                 | 730                   |
|                                       | n 1,00,001 -1,25,000<br>pove Rs. 1,25,000       | 11.2<br>62.2 | 1,029.0<br>2,216.0  | 949.0<br>1,914.0    | 164.1<br>726.2           | 28.8<br>133.3 | 2,590<br>4,861     | 2,399<br>4,277     | 493.1<br>1,680.3         | 7.54<br>34.45  | 782.00<br>1,319.00    | 690.00<br>1,100.00    | 121.35<br>571.24         | 24.49<br>98.94 | •                 | 2,158.00<br>3,349.00     | 438<br>1,620          |
| /i) Individual non 9                  | Single Premium- Annuity (INSPA)                 |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
| 1                                     | From 0-50,000                                   | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | m 50,001-100,000<br>n 1,00,001-150,000          | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | 150,001-150,000<br>150,001-2,00,000             | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | 2,00,,001-250,000                               | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | a 2,50,001 -3,00,000<br>pove Rs. 3,00,000       | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -<br>-                | -                        | -              | -                 | -                        |                       |
| vii) Group No                         | on Single Premium (GNSP)                        |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | From 0-10,000                                   | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | om 10,000-25,000                                | 0.0          | 1.0                 | 68.0                | 0.7                      | 0.00          | 1.00               | 68.00              | 0.70                     | 0.03           | -                     | 3.00                  | 20.91                    | 0.03           |                   | 6.00                     | 21                    |
|                                       | om 25,001-50,000<br>om 50,001- 75,000           | 0.0<br>0.0   | -                   | 10.0<br>10.0        | 1.4<br>1.0               | 0.0<br>0.0    | 1                  | 263<br>267         | 18.43<br>20.09           | (0.07)<br>0.00 | -                     | (659.00)<br>7.00      | (61.45)<br>0.70          | 0.11<br>0.01   |                   | 3,629.00<br>290.00       | 99<br>13              |
|                                       | m 75,000-100,000                                | 0.0          | -                   | 9.0                 | 0.6                      | 0.3           | -                  | 413                | 9.82                     | 0.24           | 1.00                  | 5,770.00              | 49.80                    | 0.29           |                   | 6,681.00                 | 133                   |
|                                       | 1,00,001 -1,25,000<br>pove Rs. 1,25,000         | 0.0<br>23.7  | -<br>4.0            | 1,563.0<br>6,252.0  | 14.6<br>1,780.1          | 0.0<br>28.6   | -<br>26            | 2,594<br>55,197    | 30.33<br>6,919.78        | 0.00<br>18.18  | 1.00<br>10.00         | 11.00<br>98,564.00    | 0.66<br>8,259.07         | 0.18<br>29.30  |                   | 11,074.00<br>1,63,133.00 | 75<br>14,375          |
| viii) Group Non Sir                   | ngle Premium- Annuity (GNSPA)                   |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | From 0-10,000                                   | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | om 10,000-25,000<br>om 25,001-50,000            | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | m 50,001- 75,000                                | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | m 75,000-100,000                                | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | 1,00,001 -1,25,000<br>pove Rs. 1,25,000         | -            | -                   | -                   | -                        | -             | -<br>-             | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
| Rer                                   | newal Premium :                                 |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | i) Individual                                   | 5.2          | 6.447.0             | F 422 0             | 2.050.7                  | 45.2          | 24.040             | 40.025             | 7 460 05                 | 6.50           | 0.000.00              | 7 505 00              | 2 252 65                 | 44.50          | 40 527 00         | 47.000.00                | 6.20                  |
|                                       | From 0-10,000<br>pm 10,000-25,000               | 5.2<br>39.6  | 6,117.0<br>19,252.0 | 5,133.0<br>17,108.0 | 2,050.7<br>6,225.3       | 15.3<br>106.7 | 21,818<br>64,851   | 18,935<br>59,337   | 7,469.85<br>20,224.00    | 6.58<br>39.10  | 8,833.00<br>22,740.00 | 7,505.00<br>20,170.00 | 3,352.65<br>4,884.30     | 14.53<br>96.24 |                   | 17,069.00<br>49,266.00   | 6,386<br>12,010       |
|                                       | om 25,001-50,000                                | 61.4         | 12,653.0            | 11,078.0            | 2,458.4                  | 159.2         | 43,944             | 39,894             | 8,207.90                 | 59.30          | 15,573.00             | 13,751.00             | 1,874.14                 | 133.62         |                   | 30,925.00                | 4,942                 |
|                                       | m 50,001- 75,000<br>m 75,000-100,000            | 35.8<br>42.0 | 4,266.0<br>3,608.0  | 3,638.0<br>2,989.0  | 780.4<br>567.5           | 95.5<br>101.0 | 17,217<br>10,868   | 15,482<br>9,479    | 2,673.17<br>1,833.94     | 30.08<br>43.61 | 5,683.00<br>4,587.00  | 4,966.00<br>3,891.00  | 755.45<br>739.42         | 75.48<br>88.04 |                   | 11,892.00<br>7,756.00    | 1,840<br>1,453        |
| From                                  | 1,00,001 -1,25,000                              | 21.7         | 1,526.0             | 1,285.0             | 299.7                    | 58.4          | 6,028              | 5,318              | 1,124.35                 | 12.93          | 1,459.00              | 1,234.00              | 304.47                   | 38.24          | 3,822.00          | 3,367.00                 | 726                   |
| AD                                    | ove Rs. 1,25,000                                | 101.9        | 2,938.0             | 2,340.0             | 1,450.5                  | 243.9         | 10,118             | 8,672              | 4,896.45                 | 81.25          | 2,961.00              | 2,331.00              | 1,688.53                 | 183.97         | 6,685.00          | 5,658.00                 | 3,478                 |
| <u>-</u>                              | ndividual- Annuity<br>From 0-10,000             | _            | _                   | _                   | _                        | _             | _                  | _                  | _                        | _              | _                     | _                     | _                        | _              | _                 | _                        |                       |
| Fro                                   | om 10,000-25,000                                | -            | -                   | -                   | -                        | -             | -<br>-             | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | om 25,001-50,000<br>om 50,001- 75,000           | -            | -                   | <del>-</del>        | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | m 75,000-100,000                                | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | 1,00,001 -1,25,000                              | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
| Ab                                    | oove Rs. 1,25,000                               | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
| Ī                                     | iii) Group                                      |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | From 0-10,000<br>om 10,000-25,000               | (0.0)        | -                   | (1.0)               | (0.0)                    | 0.0           | 1.00               | 62.00              | -<br>0.95                | (0.00)         | -                     | (2.00)                | (0.06)                   | 0.00           |                   | -<br>55.00               |                       |
|                                       | om 25,001-50,000                                | (0.0)        | -                   | (9.0)               | (0.8)                    | 0.0           | 6.00               | 566.00             | 43.11                    | 0.01           | 2.00<br>4.00          | 179.00                | 7.63                     | 0.05<br>0.06   | 6.00              | 610.00                   | 5                     |
|                                       | m 50,001- 75,000<br>m 75,000-100,000            | 0.0<br>0.0   | 1.0<br>-            | 48.0<br>41.0        | 4.1<br>1.5               | 0.1<br>0.0    | 5.00<br>3.00       | 1,020.00<br>379.00 | 102.97<br>22.68          | 0.04<br>0.00   | 4.00<br>1.00          | 508.00                | 48.67<br>(14.34)         | 0.06<br>0.10   |                   | 757.00<br>1,121.00       | 7<br>8                |
| From                                  | 1,00,001 -1,25,000                              | (0.0)        | -                   | (21.0)              | (1.4)                    | 0.1           | 4.00               | 1,017.00           | 84.55                    | 0.11           | -                     | 14,831.00             | 61.99                    | 0.14           | 4.00              | 15,334.00                | 9                     |
| Ab                                    | oove Rs. 1,25,000                               | 4.8          | 14.0                | 29,709.0            | 6,501.6                  | 12.5          | 80.00              | 96,365.00          | 15,901.16                | 5.21           | 18.00                 | 4,870.00              | 5,853.02                 | 34.89          | 80.00             | 93,232.00                | 23,32                 |
|                                       | Group- Annuity                                  |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |
|                                       | From 0-10,000<br>pm 10,000-25,000               | -            | -                   | -                   | -                        | -             | <del>-</del><br>-  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
| Fro                                   | om 25,001-50,000                                | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
|                                       | m 50,001- 75,000<br>m 75,000-100,000            | -            | <b>-</b><br>-       | -                   | <del>-</del><br>-        | -             | <del>-</del><br>-  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -                        |                       |
| רונזו                                 |   | -            | -                   | -                   | -                        | -             | -                  | -                  | -                        | -              | -                     | -                     | -                        | -              | -                 | -<br>-                   |                       |
|                                       | 1,00,001 -1,25,000                              |              |                     |                     |                          |               |                    |                    |                          |                |                       |                       |                          |                |                   |                          |                       |

# FORM L-37-BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP) Name of Insurer: Edelweiss Tokio Life Insurance Company Limited.

Registration Number: 147 dated 10 May 2011

## Business Acquisition through different channels (Group)

(₹ in Crores)

Date: 31 MARCH 2021

| Sr.No. | Channels                 | For the quarter ended 31 March 21 |              | For the Yea | r Ended 31 Marc  | h 21         | For the quar | ter ended 31 N   | March 20     | For the Yea | r Ended 31 Mar   | ch 20        |         |
|--------|--------------------------|-----------------------------------|--------------|-------------|------------------|--------------|--------------|------------------|--------------|-------------|------------------|--------------|---------|
| SI.NO. | Channels                 | No. of Policies/                  | No. of Lives |             | No. of Policies/ | No. of Lives |              | No. of Policies/ | No. of Lives |             | No. of Policies/ | No. of Lives |         |
|        |                          | No. of Schemes                    | Covered      | Premium     | No. of Schemes   | Covered      | Premium      | No. of Schemes   | Covered      | Premium     | No. of Schemes   | Covered      | Premium |
| 1      | Individual agents        | -                                 | 75           | 0.00        | 4                | 1,546        | 0.11         | -                | (1)          | (0.00)      | -                | (1)          | 0.34    |
| 2      | Corporate Agents-Banks   | -                                 | 1,09,650     | 3.67        | 1                | 2,20,236     | 7.05         | -                | 84,464       | 2.59        | 2                | 1,82,641     | 6.11    |
| 3      | Corporate Agents -Others | -                                 | -            | -           | -                | -            | -            | -                | -            | -           |                  |              |         |
| 4      | Brokers                  | 3                                 | 4,218        | 2.16        | 18               | 30,829       | 4.28         | 7                | 77,317       | 4.38        | 36               | 1,21,592     | 9.52    |
| 5      | Micro Agents             | -                                 | -            | -           | -                | -            | -            | -                | -            | -           |                  |              |         |
| 6      | Direct Business          | 2                                 | 5,068        | 25.31       | 6                | 20,255       | 33.35        | 6                | 30,052       | 19.43       | 15               | 73,314       | 36.04   |
|        | Total(A)                 | 5                                 | 1,19,011     | 31.15       | 29               | 2,72,866     | 44.79        | 13               | 1,91,832     | 26.39       | 53               | 3,77,546     | 52.01   |
| 1      | Referral (B)             |                                   |              |             | -                | -            | -            |                  |              |             |                  |              |         |
|        | Grand Total (A+B)        | 5                                 | 1,19,011     | 31.15       | 29               | 2,72,866     | 44.79        | 13               | 191832       | 26.39       | 53               | 3,77,546     | 52.01   |

# FORM L-38- BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (INDIVIDUALS) Name of the Insurer : EDELWEISS TOKIO LIFE INSURANCE CO. LTD.

## Business Acquisition through different channels (Individuals)

(₹ in Crores)

Date: 31 MARCH 2021

| Sr.No.  | Channels                 | For the Quarter Ended 31 March 2021 |         | For the Year End | ed 31 March 2021 | For the Quarter En | ded 31 March 2020 | For the Year Ended 31 March 2020 |         |  |
|---------|--------------------------|-------------------------------------|---------|------------------|------------------|--------------------|-------------------|----------------------------------|---------|--|
| 31.110. | Chainleis                | No. of Policies                     | Premium | No. of Policies  | Premium          | No. of Policies    | Premium           | No. of Policies                  | Premium |  |
| 1       | Individual agents        | 12,892                              | 82.21   | 39,519           | 203.14           | 11,941             | 57.40             | 41,147                           | 182.13  |  |
| 2       | Corporate Agents-Banks   | 3,287                               | 25.69   | 10,837           | 62.35            | 2,693              | 14.07             | 7,258                            | 36.52   |  |
| 3       | Corporate Agents -Others | 1,742                               | 15.16   | 5,523            | 33.10            | 724                | 5.05              | 2,783                            | 25.87   |  |
| 4       | Brokers                  | 1,259                               | 8.46    | 4,646            | 27.13            | 936                | 6.18              | 2,396                            | 16.40   |  |
| 5       | Micro Agents             | -                                   | -       | 6                | 0.00             | 142                | 0.00              | 788                              | 0.01    |  |
| 6       | Direct Business          | 9,021                               | 27.66   | 18,658           | 80.87            | 7,880              | 22.84             | 23,945                           | 68.39   |  |
| 7       | Web Aggregators          | 93                                  | 0.59    | 942              | 4.04             | 701                | 1.00              | 1,583                            | 1.79    |  |
|         | Total (A)                | 28,294                              | 159.75  | 80,131           | 410.64           | 25,017             | 106.55            | 79,900                           | 331.12  |  |
| 1       | Referral (B)             | -                                   | -       | -                | -                | -                  | -                 | -                                | -       |  |
|         | Grand Total (A+B)        | 28,294                              | 159.75  | 80,131           | 410.64           | 25,017             | 106.55            | 79,900                           | 331.12  |  |

#### **FORM L-39-Data on Settlement of Claims**

Name of the Insurer: EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED

Date: 31 MARCH 2021

#### **Ageing of Claims**

|       |                         |                          |         | No. of c     | laims paid   |                   |          | Total No. of claims | Total amount of claims paid |  |
|-------|-------------------------|--------------------------|---------|--------------|--------------|-------------------|----------|---------------------|-----------------------------|--|
| S.No. | Types of Claims         | On or before<br>matuirty | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | paid                | (₹ In Crores)               |  |
| 1     | Maturity Claims         | -                        | -       | -            | -            | -                 | -        | -                   | -                           |  |
| 2     | Survival Benefit        | 255                      | 668     | 76           | 25           | 28                | 64       | 1,116               | 4.41                        |  |
| 3     | for Annuities / Pension | 439                      | 26      | 18           | 60           | 20                | 131      | 694                 | 0.68                        |  |
| 4     | For Surrender           | 2,433                    | -       | -            | -            | -                 | -        | 2,433               | 26.96                       |  |
| 5     | Other benefits*         | 592**                    | 27      | -            | -            | -                 | -        | 619                 | 3.54                        |  |
| 1     | Group Death Claims      | -                        | 469     | -            | -            | -                 | -        | 469                 | 18.49                       |  |
| 2     | Individual Death Claims | -                        | 175     | -            | -            | -                 | -        | 175                 | 21.45                       |  |

<sup>\*</sup> Other benefits include claim under Health Product, PWB rider, Partial withdrawal, Monthly payouts and group business

<sup>\*\*</sup> No. of claims of other benefits for group business are based on claims of individual members

Ageing reckoned from the date of receipt of last requirement

FORM L-40: Quarterly claims data for Life

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED** 

Quarterly claims data for Life For the Quarter ended 31 March 21

Individual (No. of claims only)

Date: 31 MARCH 2021

| S. No. | Claims Experience   | For Death | For Maturity | For Survival Benefit | For Annuities/<br>Pension | For Surrender | Other<br>Benefits |
|--------|---|-----------|--------------|----------------------|---------------------------|---------------|-------------------|
| 1      | Claims O/S at the beginning of the period   | 19        | -            | 902                  | 551                       | 994           | 9                 |
| 2      | Claims reported during the period   | 162       | -            | 1,391                | 693                       | 2,272         | 41                |
| 3      | Claims Settled during the period  | 175       | -            | 1,116                | 694                       | 2,433         | 26                |
| 4      | T & C Rejected  | -         | -            | -                    | -                         | -             | 6                 |
| 5      | Claims Repudiated during the period  a) Less than 2years from the date of acceptance of | 4         | -            | -                    | -                         | -             | 2                 |
|        | risk b) Grater than 2 year from the date of acceptance of                               | 4         | -            | -                    | -                         | -             | 2                 |
|        | risk  | -         | -            | -                    | -                         | -             | -                 |
| 6      | Claims Written Back   | -         | -            | -                    | -                         | -             | -                 |
| 7      | Claims O/S at End of the period   | 2         | -            | 1,177                | 550                       | 833           | 16                |
|        | Less than 3months   | 2         | -            | 481                  | 299                       | -             | 16                |
|        | 3 months to 6 months  | -         | -            | 185                  | 46                        | -             | -                 |
|        | 6months to 1 year   | -         | -            | 262                  | -                         | -             | -                 |
|        | 1year and above   | -         | -            | 249                  | 205                       | 833           | -                 |

<sup>\*</sup> Other benefits include claim under Health Product, PWB rider, Partial withdrawal, Monthly payouts and group business(lives of Groups)

Group (No. of claims only)

| S. No. | Claims Experience   | For Death | For Maturity | For Survival Benefit | For Annuities/<br>Pension | For Surrender | Other<br>Benefits |
|--------|---|-----------|--------------|----------------------|---------------------------|---------------|-------------------|
| 1      | Claims O/S at the beginning of the period   | 25        | -            |                      | -                         | -             | -                 |
| 2      | Claims reported during the period   | 445       | -            |                      |                           | -             | 593               |
| 3      | Claims Settled during the period  | 469       | -            |                      |                           | -             | 593               |
| 4      | T & C Rejected  | -         | -            | -                    |                           | -             |                   |
| 5      | Claims Repudiated during the period  a) Less than 2years from the date of acceptance of | 1         | -            |                      |                           | -             | -                 |
|        | risk b) Grater than 2 year from the date of acceptance of                               | 1         | -            | -                    | -                         | -             | -                 |
|        | risk  | -         | -            |                      | -                         | -             | -                 |
| 6      | Claims Written Back   | -         | -            |                      | -                         | -             | -                 |
| 7      | Claims O/S at End of the period   | -         | -            |                      | -                         | -             | -                 |
|        | Less than 3months   |           | -            |                      |                           | -             | -                 |
|        | 3 months to 6 months  | -         | -            | -                    |                           | -             | -                 |
|        | 6months to 1 year   | -         | -            |                      |                           | -             |                   |
|        | 1year and above   | -         | -            |                      |                           | -             |                   |

FORM L-40: Quarterly claims data for Life

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE COMPANY LIMITED** 

Claims data for Life For the year ended 31 March 2021

Individual (No. of claims only)

Date: 31 MARCH 2021

| S. No. | Claims Experience  | For Death | for Maturity | Survival<br>Benefit | For Annuities/<br>Pension | For Surrender | Other<br>Benefits |
|--------|--|-----------|--------------|---------------------|---------------------------|---------------|-------------------|
| 1      | Claims O/S at the beginning of the period  | -         | -            | 555                 | 434                       | 584           | 1                 |
| 2      | Claims reported during the period  | 502       | -            | 3,218               | 2,700                     | 6,169         | 90                |
| 3      | Claims Settled during the period   | 487       | -            | 2,596               | 2,584                     | 5,920         | 61                |
| 4      | T & C Rejected   | -         | -            | -                   | -                         | -             | 10                |
| 5      | Claims Repudiated during the period a) Less than 2years from the date of acceptance of | 13        | -            | -                   | -                         | -             | 4                 |
|        | risk b) Grater than 2 year from the date of acceptance of risk                         | 13        | -            | -                   | -                         | -             | 4                 |
| 6      | Claims Written Back  | -         | -            | -                   | -                         | -             | -                 |
| 7      | Claims O/S at End of the period  | 2         | -            | 1,177               | 550                       | 833           | 16                |
|        | Less than 3months  | 2         | -            | 270                 | 211                       | -             | 16                |
|        | 3 months to 6 months   | -         | -            | 198                 |                           | -             | -                 |
|        | 6months to 1 year  | -         | -            | 240                 | 10                        | -             | -                 |
|        | 1year and above  | -         | -            | 194                 | 330                       | 994           | -                 |

<sup>\*</sup> Other benefits include claim under Health Product, PWB rider, Partial withdrawal, Monthly payouts and group business(Group Lives)

Group (No. of claims only)

| S. No. | Claims Experience  | For Death | for Maturity | Survival<br>Benefit | For Annuities/<br>Pension | For Surrender | Other<br>Benefits |
|--------|--|-----------|--------------|---------------------|---------------------------|---------------|-------------------|
| 1      | Claims O/S at the beginning of the period  | -         | -            |                     |                           | -             | -                 |
| 2      | Claims reported during the period  | 1,103     | -            |                     |                           | -             | 3,841             |
| 3      | Claims Settled during the period   | 1,095     | -            |                     |                           | -             | 3,841             |
| 4      | T & C Rejected   | -         | -            |                     |                           | -             | -                 |
| 5      | Claims Repudiated during the period  a) Less than 2 years from the date of acceptance of | 8         | -            |                     |                           | -             | -                 |
|        | risk b) Grater than 2 year from the date of acceptance                                   | 7         | -            |                     |                           | -             | -                 |
|        | of risk  | 1         | -            |                     |                           | -             | -                 |
| 6      | Claims Written Back  | -         | -            |                     |                           | -             | -                 |
| 7      | Claims O/S at End of the period  | -         | -            |                     |                           | -             | -                 |
|        | Less than 3months  | -         | -            |                     |                           | -             | -                 |
|        | 3 months to 6 months   | -         | -            |                     |                           | -             | -                 |
|        | 6months to 1 year  | -         | -            |                     |                           | -             | -                 |
|        | 1year and above  | -         | -            |                     |                           | -             | -                 |

#### FORM L-41 - GREIVANCE DISPOSAL

Name of the Insurer: **EDELWEISS TOKIO LIFE INSURANCE CO. LTD.** 

#### Date: 31 MARCH 2021

#### **GRIEVANCE DISPOSAL**

| SI.No. | Particulars                      | Opening Balance As on   | Additions during the | Complaints R      | esolved / Settled     | during the quarter | Complaints Pending at the end of the quarter | Total complaints<br>registered up to the<br>quarter during the |
|--------|----------------------------------|-------------------------|----------------------|-------------------|-----------------------|--------------------|--|--|
|        |                                  | begining of the quarter | quarter              | Fully<br>Accepted | Partially<br>Accepted | Rejected           | the end of the quarter                       | financial year   |
| 1      | Complaints made by the customers |                         |                      |                   |                       |                    |  |  |
| (a)    | Death Claims                     | 0                       | 5                    | 0                 | 0                     | 4                  | 1  | 12   |
| (b)    | Policy Servicing                 | 0                       | 25                   | 2                 | 1                     | 22                 | 0  | 56   |
| (c)    | Proposal Processing              | 0                       | 7                    | 2                 | 0                     | 5                  | 0  | 55   |
| (d)    | Survival Claims                  | 0                       | 0                    | 0                 | 0                     | 0                  | 0  | 4  |
| (e)    | ULIP Related                     | 0                       | 0                    | 0                 | 0                     | 0                  | 0  | 5  |
| (f)    | Unfair Business Practices        | 0                       | 103                  | 16                | 0                     | 87                 | 0  | 337  |
| (g)    | Others                           | 0                       | 1                    | 0                 | 0                     | 1                  | 0  | 19   |
|        | Total Number of complaints:      | 0                       | 141                  | 20                | 1                     | 119                | 1  | 488  |

| 2 | Total No. of policies during previous year:  | 79,953 |
|---|--|--------|
| 3 | Total No. of claims during previous year   | 771    |
| 4 | Total No. of policies during current year  | 80,160 |
| 5 | Total No. of claims during current year  | 1,605  |
| 6 | Total No. of Policy Complaints (current year) per 10,000 policies (current year)   | 60.88  |
| 7 | Total No. of Claim Complaints (current year) per 10,000 claims registered (current | 74.77  |

| 8      | Duration wise Pending Status                      | Complaints made by<br>Customers | Complaints made by<br>Intermediaries | Total |
|--------|---|---------------------------------|--------------------------------------|-------|
|        |   |                                 |                                      |       |
| (a)    | Upto 7 days                                       | 1                               | 0                                    | 0     |
| (b)    | 7 - 15 days                                       | 0                               | 0                                    | 0     |
| (c)    | 15-30 days  | 0                               | 0                                    | 0     |
| (d)    | 30-90 days  | 0                               | 0                                    | 0     |
| (e)    | 90 days & Beyond                                  | 0                               | 0                                    | 0     |
|        | Total No. of complaints                           | 0                               | 0                                    | 0     |
| * Open | ing balance should tally with the closing balance | of the previous financia        | al year                              |       |

| How the policy data needed for valuation is cessed.  How the valuation bases are supplied to the stem  | The policy data is extracted from the Ingenium and GPAS (policy adminifund based Group and Individual Micro insurance, the data is received for before using it for policy liability calculations using actuarial software 'Date to Prophet and DCS through var compared with bases stored in spreadsheets for consistency. | from the Operations team. Various checks are applied on the data ata Conversion System (DCS)' and 'Prophet'. |
|--|---|--|
| Interest: Maximum and minimum interest rate t  |   |  |
| <u>Individual Business</u> 1. Life - Participating Policies 2. Pension - Participating Policies  | Min 7.75% (Without MAD*) 7.75% (Without MAD)  | Max 7.75% (Without MAD) 7.75% (Without MAD)  |
| <ul><li>3. Life - Non-participating Policies</li><li>4. Annuities - Participating policies</li></ul>   | 5.00% (Without MAD)<br>N/   |  |
| <ol> <li>Annuities – Non-participating policies</li> <li>Annuities - Individual Pension Plan</li> </ol>  | 7.50% (Without MAD)   |  |
| 7. Unit Linked - Life (Non-Unit Fund)<br>8. Unit Linked - Pension (Non-Unit Fund)  | 7.00% (Without MAD) 7.00% (Without MAD)   | 7.00% (Without MAD) 7.00% (Without MAD)  |
| 9. Health Insurance  Group Business  | 7.00% (Without MAD)   | 7.00% (Without MAD)  |
| <ol> <li>Non-Participaiting (excluding OYRGTA)</li> <li>Unit Linked (Non-Unit Fund)</li> <li>Variable Insurance Plan (General Fund)</li> </ol> | 7.00% (Without MAD) 7.00% (Without MAD) 7.00% (Without MAD)   | 7.00% (Without MAD) 7.00% (Without MAD) 7.00% (Without MAD)  |
| Reserve)   | · · · · · · · · · · · · · · · · · · ·   | · · · · · · · · · · · · · · · · · · ·  |
| Mortality Rates: the mortality rates used for ea<br>Individual Business  | ch segment (Expressed as a % of Indian Assured Lives Mortality (2012-14  Min  | ), unless otherwise stated)  Max   |
| 1. Life- Participating policies<br>2. Pension - Participating Policies   | 60.0% (Without MAD)<br>102.0% (Without MAD)   | 102.0% (Without MAD)<br>102.0% (Without MAD)   |
| 3. Life- Non-participating Policies - Pure Term Plans - Non Term Plans   | 21.1% (Without MAD)<br>79.0% (Without MAD)  | 215.0% (Without MAD)<br>155.0% (Without MAD)   |
| <ul><li>4. Annuities- Participating policies</li><li>5. Annuities – Non-participating policies **</li></ul>                                    | 65% (Without MAD) of Modified Mortality for Annuitants - LIC (a)  | 80% (Without MAD) of Modified Mortality for Annuitants - LIC (a)   |
| 6. Annuities- Individual Pension Plan  | (1996-98) Ultimate Rates NA   |  |
| <ul><li>7. Unit Linked - Life</li><li>8. Unit Linked - Pension</li></ul>   | 84.0% (Without MAD) 102.0% (Without MAD)  | 111.0% (Without MAD)<br>102.0% (Without MAD)   |
| 9. Health Insurance Group Business   | 89.0% (Without MAD)   | 89.0% (Without MAD)  |
| 1. Non-Participaiting (excluding OYRGTA)   | 80.7% (Without MAD)   | 187.3% (Without MAD)   |
| Unit Linked (Non-Unit Fund)     Variable Insurance Plan (General Fund  | 102.0% (Without MAD)<br>102.0% (Without MAD)  | 102.0% (Without MAD)<br>102.0% (Without MAD)   |
| Reserve)  Expense:   | The value of future expenses has been derived to allow for all the future premium (0%-2%)/ commission (0%-25%) related, fund (0%-0.25%) related   |  |
| Individual Business  1. Life - Participating Policies  | Min<br>466 (INFL @ 5%) (without MAD)  | <b>Max</b><br>931 (INFL @ 5%) (without MAD)  |
| 2. Pension - Participating Policies  | 563 (INFL @ 5%) (without MAD)   | 703 (INFL @5%) (without MAD)   |
| <ul><li>3. Life - Non - participating Policies</li><li>4. Annuities - Participating policies</li></ul>   | 220 (without MAD)<br>18 (INFL @ 5%) (without MAD) for micro Insurance plan  | 931 (INFL @ 5%) (without MAD)  18 (INFL @ 5%) (without MAD) for micro Insurance plan                         |
| <ul><li>5. Annuities - Participating policies</li><li>6. Annuities - Individual Pension Plan</li></ul>   | 281 (INFL @ 5%) (without MAD)   | 563 (INFL @ 5%) (without MAD)  |
| 7. Unit Linked - Life  | 420 (INFL @ 5%) (without MAD)   | 931 (INFL @ 5%) (without MAD)  |
| <ul><li>8. Unit Linked - Pension</li><li>9. Health Insurance</li></ul>   | 319 (INFL @ 5%) (without MAD)<br>331 (INFL @ 5%) (without MAD)  | 638 (INFL @ 5%) (without MAD)<br>536 (INFL @ 5%) (without MAD)   |
| . <u>Group Business</u><br>Non-Participaiting (excluding OYRGTA)   | per member - 276 (INFL @ 5%) (without MAD)<br>per member - 11 (INFL @ 5%) (without MAD) for micro Insurance plan<br>per scheme - 6381 (INFL @5%) (without MAD) for fund based product   | per scheme - 6381 (INFL @5%) (without MAD) for fund based produ  |
| Unit Linked (Non-Unit Fund) Variable Insurance Plan (General Fund Reserve) Bonus Rates :   | per scheme - 9308 (INFI<br>per scheme - 6381 (INFI<br>The provisons for future bonuses are consistent with assumed valuation  | L @5%) (without MAD)   |
| Policyholders Reasonable Expectations  | The level of benefits assumed is in line with the benefit illustrations pro Bond etc) and hence meets policyholders reasonable expectation.  For participating business, taxation of surplus and shareholder transfers  |  |
| Taxation and Shareholder Transfers   | tax is included in the valuation, wherever applicable.  Transfers to Shareholders are made through a window of 90:10 of surplutax laws.   |  |
| Basis of provisions for Incurred But Not   | The provision for IBNR claims is set based on the delay in past claim exp   | perience approach.   |
| Change in Valuation Methods or Bases: ***  |   |  |
| Individuals Assurances  1. Interest  | No change   |  |
| 2. Expenses  | No change   |  |
| 3. Inflation<br><u>Annuities</u>   | No change   |  |
| <ol> <li>Interest</li> <li>a. Annuity in payment</li> </ol>  | No change   |  |
| b. Annuity during deferred period  | NA .  |  |
| c. Pension : All Plans<br>2. Expenses  | No change<br>No change  |  |
| 3. Inflation Unit Linked   | No change   |  |
| 1. Interest  | No change   |  |
| Expenses     Inflation  Health   | No change<br>No change  |  |
| <u>Health</u> 1. Interest  | No change   |  |
| <ul><li>2. Expenses</li><li>3. Inflation</li></ul>   | No change<br>No change  |  |
| <u>Group</u>   |   |  |
| <ol> <li>Interest</li> <li>Expenses</li> <li>Inflation</li> </ol>  | No change<br>No change<br>No change   |  |
| 3. Inflation  AD*  | Interest: 75 - 550 bps  Mortality: 10%; additional 5% MAD to cater COVID related pandemic risk  | k  |